

Project Performance Report

Overview

Period of Report (Dates)	10/10/2021 - 10/10/2022
Project Title	Reducing the Vulnerability by Focusing on Critical Sectors (Agriculture, Water Resources and Coastlines) in order to Reduce the Negative Impacts of Climate Change and Improve the Resilience of these Sectors.
Project Summary	Costa Rica is experiencing the effects of increasing temperatures and intensity of extreme rainfall. These effects, due to climate change, are increasing the vulnerability of the water resources of the country, threatening the sustainable production of agricultural resources that promote food security and livelihoods, as well as negatively affecting mangroves and coral reefs, which serve as protective barriers to coastal communities. Objectives: The objective of this programme is to reduce climate vulnerability by focusing on critical sectors (agriculture, water resources, and coastal zones) in order to reduce the negative impacts of climate change, and improve the resilience of those populations. This program will seek to increase climate resilience by working directly with local stakeholders and anticipated beneficiaries through the implementation of adaptation projects in each of the geographical areas selected. Projects submitted by local organizations have been screened and the preselected proposals went through an in-depth assessment of their potential for the enhancement of climate resilience, which involves an analysis of the actions' appropriateness, based on the local biophysical and socioeconomic context. The support will consist of investment in interventions, technical assistance, and training related to this plan.
Database Number	060NCRM
Implementing Entity (IE)	Fundecooperacion Para el Desarrollo Sostenible
Type of IE	National Implementing Entity
Country(ies)	Costa Rica
Relevant Geographic Points (i.e. cities, villages, bodies of water)	Communities
Name of Implementing Entity Focal Point	Fundecooperación para el Desarrollo Sostenible

Project Milestones

AFB Approval Date	10/10/2014
IE-AFB Agreement Signature Date	12/10/2014
Start of Project/Programme	10/7/2015
Actual Mid-term Review Date (if applicable)	4/7/2018
Original Completion Date	10/7/2020
Revised Completion Date after approval of extension request (if applicable)	12/7/2023

Were there any approval condition for this Project?

No

List each approval condition, if any, and report on the status of meeting them

Category of condition	
Condition or Requirement	
Current Status	
Planned actions, including a detailed time schedule	

List (only) inception report/ extension request(s)/ MTR that have been prepared for the project and provide date(s) of submission for each

* Inception Report - October 2015 * MTR-30-Nov-2018

List the Website address (URL) of project

<https://fundecooperacion.org/es/conozca-los-proyectos/>

Project Contacts

National/Regional Project Manager/Coordinator	Name	Email	Date
Coordinator	Jorge Carranza	jcarranza@fundecooperacion.org	10/10/2022
Government(s) DA	Iván Delgado	idelgado@minae.go.cr	10/10/2022
Implementing Entity	Marianella Feoli	gerencia@fundecooperacion.org	10/10/2022
Executing Agency	MAG (Ministry of Livestock and Agriculture)	fvargas@mag.go.cr	10/10/2022
Executing Agency	INTA (National Institute of Innovation and Transfer in Agricultural Technology)	lramirez@inta.go.cr	10/10/2022
Executing Agency	ALIARSE (Foundation for Sustainability and Equity)	grettel.calderon@aliarse.org	10/10/2022
Executing Agency	ACEPESA (Central American Association for Economy, Health and Environment)	mmarin@acepesa.com	10/10/2022
Executing Agency	ARESEP (Public Services Regulatory Authority)	ezamora@aresep.go.cr	10/10/2022
Executing Agency	IMN (National Meteorological Institute)	archachon@imn.ac.cr	10/10/2022
Executing Agency	MAG Ministerio de Agricultura y Ganadería - NAMA Ganadería	jsegura@mag.go.cr	10/10/2022
Executing Agency	ONS (National Seed Office)	cporras@ofinase.go.cr	10/10/2022

Financial Data

Disbursement of AF grant funds	
Cumulative total disbursement from Trustee to IE as of date (\$)	\$9,970,000.00
Estimated cumulative total disbursement from IE to EEs as of date (\$)	\$7,640,300.00
Project disbursement rate (%)	100
Project execution rate (%)	82.90
Add any comments on AF Grant Funds	It is important to notice that for every disbursement a bank fee is deducted.
Investment Income (\$)	\$0.00
Cumulative Investment Income since inception (\$)	\$0.00

Expenditure Data	
Output	Amount (\$)
1.1	\$195,436.00
1.2	\$0.00
2.1	\$0.00
2.2	\$50,626.00
2.3	\$13,932.00
3.1	\$104,538.00
3.2	\$207,424.00
3.3	\$83,335.00
EE Fee	\$121,100.00
NIE Fee	\$55,399.00
IE fee (\$)	\$55,399.00
Execution cost (\$)	\$121,100.00

Planned Expenditure Schedule		
Output	Projected Cost (\$)	Estimated Completion Date
1.1	\$442,150.00	12/1/2023
1.2	\$563,453.00	12/1/2023
2.1	\$45,114.00	12/1/2023
2.2	\$418,875.00	12/1/2023
2.3	\$0.00	12/1/2023
3.1	\$92,438.00	12/1/2023
3.2	\$34,626.00	12/1/2023
3.3	\$246,504.00	12/1/2023
EE Fee	\$455,206.00	12/1/2023
NIE FEE	\$28,340.00	12/1/2023
IE fee (\$)		\$455,206.00
Execution cost (\$)		\$2,326,706.00

Actual co-financing (if the MTR or TE have not been undertaken this reporting period, do not report on actual co-financing)	
Does this Project have Co-Financing ?	Yes
How much of the total co-financing as committed in the Project Document has actually been realized? (\$)	\$5,530,095.00
Estimated cumulative actual co-financing as verified during Mid-term Review (MTR) or Terminal Evaluation (TE). (\$)	\$3,317,341.00
Add any comments on actual co-financing in particular any issues related to the realization of in-kind, grant, credits, loans, equity, non-grant instruments and other types of co-financing.	It is expected a total of \$6.287.000 of co-financing by the Terminal Evaluation. The co-financing of the project is in-kind and part in cash.

Risk Assessment

Identified Risks		
List all Risks identified in project preparation phase and what steps are being taken to mitigate them		
Identified Risk	Current Status	Steps taken to mitigate risk
Extreme weather events or geophysical events diminish programme benefits or cause major disturbances resulting in delays due to needed emergency and recovery processes.	Low	No major extreme weather events happened during this year. However, preparation included continuous communication about risks with EE and adaptative management measures in case it happened.
Programme beneficiaries resistant to change or weak cooperation at the proposed sites.	Low	The development of the project considers the involved of the consultation of different stakeholders such as organizations, public and private institutions, that are implementing projects related to climate change. The processes facilitate an active participation of the beneficiaries in order to reinforce the beneficiaries' ownership of the project, supporting proactive and community-led initiatives.
Project beneficiaries are unable to properly manage the technologies or measures being promoted, and/or the technologies or measures do not improve operational efficiency.	Low	Knowledge transfer and awareness building through capacity building activities are contemplated throughout the programme. Promotes a capacity development approach which is based on participatory assessments. These assessments will contribute to building the beneficiaries' ownership and enable the analysis of autonomous adaptation approaches.
Stakeholders are not able to perceive reductions in vulnerability over the time-scale determined by programme duration.	Low	Maintain proactive outreach communications strategy throughout the programme. Also, the programme is strengthening local capacity to monitor project indicators through the support of the Executing Entities.
Insufficient collaboration/coordination between participating partners and stakeholders.	Low	Establishment of agreements with detailed roles and responsibilities, work plans and team building activities throughout the programme implementation. Up to now has been important the participation of NGO's, public organization, academia and other organizations and has

		been possible to create alliances among organizations. Up to today, the projects selected include letters of commitment among the EE and partners/stakeholders. Also we had made meetings with each organization to confirm their participation.
Delays in programme implementation.	Low	Although the results are on track, a delay in the implementation has been an issue to attend by the EIN. A request for extension was approved by the adaptation fund, for 18 months, however, COVID 19 has impacted the implementation during 2020 and delayed continue during 2021. Although restrictions were less that in 2020, the vaccination process in the country was slow and this make still harder to implement activities on the field).
Limited human resources in Government ministries to support activities.	Low	Promotion of early and consistent engagement of senior government decision makers on programme progress and monitoring. Fundecooperación, as NIE, has signed cooperation agreements with the government ministries (that are related to the components) in order to received technical assistance in the field.
Mismanagement of Resources.	Low	Each of the project Executing Entities reports each semester at the financial and technical level. A project financial evaluation each semester is made by the NIE to each of the project. Disbursements are to be done depending on the results of the evaluation of the EE and if there are not improvements to be done by the EE. Both the internal and external audits review and verify the sound management of resources of the project by Fundecooperación.
Fluctuations in exchange rate.	Low	Financial Coordinator monitor exchange rate (USD to Colones) in order to communicate any implication to the PC. It is important to mention the exchange rate has during the last months have been beneficial to the project.
Delays in disbursements affects project progress.	Low	In order to avoid delays has been important to anticipate as much as possible and to promote constant coordination among National Implementing Entity, Executing Entities and the Adaptation Fund. However, delays can happen and some of the delays respond to the importance of responding 100% to the requirements at the financial and administrative level.

Critical Risks Affecting Progress (Not identified at project design)

Are there any critical risks with a 50% or > likelihood of affecting progress of project? Yes

Identify Risks with a 50% or > likelihood of affecting progress of project

Identified Risk	Current Status	Steps taken to mitigate risk
Bank scams accounts of the projects.	Moderate	No changes this period. It was mentioned in a previous report: Awareness building to EE on the risks of banking scams and strengthening financial procedures at the EE. Explanatory comments of why this was identified as risk: Two Executing Entities suffered of bank scams. Specifically, ACEPESA and CAC-JICARAL, both entities reported us what happened and did the procedures required by the Investigation Agency of Costa Rica to recover the money. Both entities assumed due responsibility and replenished the money that was stolen with their own funds

		in order to continue with the planned activities of the project, while the money is recovered by the Investigation Agency. Also, this situation/risk was informed to all the other Executing Entities, in order to avoid more scams. The Executing Entities, once they receive the disbursement from the NIE, the organization must invest the money in order to avoid be easily scam by having the money in the current account. For example, funds committed in the short term must be invested in liquid money market or similar, looking for the alternative that offers the best profitability conditions with low risk. These liquidity options must ensure adequate compliance with the project's operational commitments. All interest income in case generated, will be used for the project as instructed by AFB.
Impact of COVID-19.	High	Although everything was already open for 2022, the delay in the implementation of actions is evident. The project was adapted, however for 2 years entirely of pandemia many of the actions at local level were suspended. The project needs additional time to step up and finalize some of the pending actions.
Change of Government.	High	A new political group has assume the government, this has brought stepbacks due the time needed for new authorities to adapt to the political roles. Also, in the case of the Ministry of Agriculture, the Minister and Vice Ministers had already change once during this new government. To minimize this risk fundecooperacion has tried to mantain communication with new government authorities to explained results and impact of the project so far.

Risk Measures

Were there any risk mitigation measures employed during the current reporting period? If so, were risks reduced? If not, why were these risks not reduced?

ESP Compliance

Section 1: Identified ESP Risk Management

Was the ESP risks identification complete at the time of funding approval? No

1.Compliance with the law

Are environmental or social risks present as per table II.K (II.L for REG) of the proposal?	Yes
During project/programme formulation, an impact assessment was carried out for the risks identified. Have impacts been identified that require management actions to prevent unacceptable impacts? (as per II.K/II.L)	Yes
List the identified impacts for which safeguard measures are required (as per II.K/II.L)	*For the agricultural initiatives related to fertilization or waste management practices a risk of water contamination exists. *There is a risk that the properties (land) where the initiatives will be developed may present legal issues. *Initiatives related to construction have a risk of noncompliance with the necessary permits requested by the municipality, CFIA, SETENA and others institutions (according to the dimensions of the construction).
List here the safeguard measures (i.e. avoidance,	*Request executing organizations respective permits

management or mitigation) identified for each impact that are supposed to be (or had to be) implemented during the reporting period. Please break down the safeguard measures by activity.	or legal justification of its omission for all proposed infrastructure. *Request executing organizations cadastral plans, land use permits and other legal documents that demonstrate the legality of the properties and implemented activities. *Request the respective applicable permits or the legal justification of its waiver for all proposed infrastructure.
List the monitoring indicator(s) for each impact identified.	Executing entities completed the permits and legal justification of its omission for all proposed infrastructure.
State the baseline condition for each monitoring indicator	All Executing Entities presented the legal requirements to implement the projects.
Describe each safeguard measure that has been implemented during the reporting period	All the safeguards were implemented during the first year of implementation and were followed up every year (7 years total). Also a analysis of the ESP was made by each EE.
Describe the residual impact for each impact identified - if any - using the monitoring indicator(s)	No residual impacts identified
Describe remedial action for residual impacts that will be taken	No residual impacts identified.
2.Access and equity	
Are environmental or social risks present as per table II.K (II.L for REG) of the proposal?	Yes
During project/programme formulation, an impact assessment was carried out for the risks identified. Have impacts been identified that require management actions to prevent unacceptable impacts? (as per II.K/II.L)	Yes
List the identified impacts for which safeguard measures are required (as per II.K/II.L)	*All initiatives are focused on areas vulnerable to climate change, including beneficiaries with vulnerable socioeconomic conditions; however there exists a risk that some of the initiatives, as for instance capacity building, would not be oriented towards all existing vulnerable groups. Similarly, all groups are not necessarily willing to receive capacity building. *There exists a risk to maximize existing inequities. For example there exist socioeconomic vulnerable groups that due to their location are more vulnerable to climate change and have not been identified as beneficiaries within the initiative. Similarly, identified beneficiaries are not necessarily the most vulnerable ones.
List here the safeguard measures (i.e. avoidance, management or mitigation) identified for each impact that are supposed to be (or had to be) implemented during the reporting period. Please break down the safeguard measures by activity.	*Request that the activities that will be executed to build capacity must be published in local media. In the process, priority must be targeted to women and most vulnerable local populations and/or groups. *Include contractual clauses to executing agencies, requiring equitable access to basic benefits and specifying that none of the initiatives is going to inhibit or interfere in the access to basic benefits. Include contractual clauses to executing agencies that no initiative is going to maximize existing inequity. Request previous evidence of the condition of

	vulnerability of the identified beneficiaries. Ensure continuous monitoring of the selected priority region at the country level in order to ensure that the most vulnerable beneficiaries are selected on a continuous basis.
List the monitoring indicator(s) for each impact identified.	*Contractual clauses included. *Activities posted and promoted.
State the baseline condition for each monitoring indicator	Projects implemented in vulnerable areas pre-evaluated and approved.
Describe each safeguard measure that has been implemented during the reporting period	All the safeguards were implemented during the first year of implementation and were followed up every year (7 year total). Also a analysis of the ESP was made by each EE.
Describe the residual impact for each impact identified - if any - using the monitoring indicator(s)	No residual impacts identified.
Describe remedial action for residual impacts that will be taken	No residual impacts identified.
3.Marginalized and vulnerable Groups	
Are environmental or social risks present as per table II.K (II.L for REG) of the proposal?	Yes
During project/programme formulation, an impact assessment was carried out for the risks identified. Have impacts been identified that require management actions to prevent unacceptable impacts? (as per II.K/II.L)	Yes
List the identified impacts for which safeguard measures are required (as per II.K/II.L)	*No initiatives are identified with orientation or execution that could generate a negative impact on marginalized and/or vulnerable groups. On the contrary the initiatives are oriented to generate benefits for the groups most vulnerable to climate change and socioeconomic conditions. However, there is the risk represented that during the development of the initiative, marginalized and/or vulnerable groups that could be affected during the development of the initiative are not identified yet. For example, indigenous groups that could be affected by the development of agricultural activities.
List here the safeguard measures (i.e. avoidance, management or mitigation) identified for each impact that are supposed to be (or had to be) implemented during the reporting period. Please break down the safeguard measures by activity.	*Request executing agencies the identification of vulnerable or marginalized groups that could be directly or indirectly impacted during the development of the initiative, or even after its implementation. In the case they do exist, request mitigation plans to eliminate or solve the adverse impacts. Include clauses that the development of the initiatives will not generate adverse impacts on marginalized groups.
List the monitoring indicator(s) for each impact identified.	*Identification of vulnerable beneficiaries along with Executing Entities.
State the baseline condition for each monitoring indicator	Projects implemented in vulnerable areas pre-evaluated and approved.
Describe each safeguard measure that has been implemented during the reporting period	All the safeguards were implemented during the first year of implementation and were followed up every year (7 year total) Also a analysis of the ESP was

	made by each EE.
Describe the residual impact for each impact identified - if any - using the monitoring indicator(s)	No residual impacts identified.
Describe remedial action for residual impacts that will be taken	No residual impacts identified.
4.Human rights	
Are environmental or social risks present as per table II.K (II.L for REG) of the proposal?	Yes
During project/programme formulation, an impact assessment was carried out for the risks identified. Have impacts been identified that require management actions to prevent unacceptable impacts? (as per II.K/II.L)	Yes
List the identified impacts for which safeguard measures are required (as per II.K/II.L)	*No initiatives are identified whose orientation or execution is misaligned with the established international human rights. On the contrary the objective is to promote basic human rights such as drinking water, sanitation and education. However, there does exist the possibility that for the implementation of some initiatives human rights may be disrespected, for example civil rights, quality of life, social justice, children rights, discrimination, etc.
List here the safeguard measures (i.e. avoidance, management or mitigation) identified for each impact that are supposed to be (or had to be) implemented during the reporting period. Please break down the safeguard measures by activity.	Include contractual clauses to executing agencies so that the development of the initiatives will be in compliance with human rights and that during their development no deviation or disrespect of human rights will be tolerated.
List the monitoring indicator(s) for each impact identified.	*Contractual clauses included.
State the baseline condition for each monitoring indicator	Contracts signed between EIN and EE. Follow up implemented every 6 months.
Describe each safeguard measure that has been implemented during the reporting period	All the safeguards were implemented during the first year of implementation and were followed up every year (7 year total). Also a analysis of the ESP was made by each EE.
Describe the residual impact for each impact identified - if any - using the monitoring indicator(s)	No residual impacts identified.
Describe remedial action for residual impacts that will be taken	No residual impacts identified.
5.Gender equality and women's empowerment	
Are environmental or social risks present as per table II.K (II.L for REG) of the proposal?	Yes
During project/programme formulation, an impact assessment was carried out for the risks identified. Have impacts been identified that require management actions to prevent unacceptable impacts? (as per II.K/II.L)	Yes
List the identified impacts for which safeguard measures are required (as per II.K/II.L)	*The initiatives are oriented to promote a fair and equal development between men and women. Some initiatives are also oriented to promote the active involvement of women groups in order to achieve enhanced empowerment. However, during the

	execution of the initiatives a risk exists of not promoting gender equity.
List here the safeguard measures (i.e. avoidance, management or mitigation) identified for each impact that are supposed to be (or had to be) implemented during the reporting period. Please break down the safeguard measures by activity.	Include contractual clauses to executing agencies that for all initiatives, a crosscutting component of gender equity has to exist and be maintained.
List the monitoring indicator(s) for each impact identified.	*Contractual clauses included.
State the baseline condition for each monitoring indicator	Contracts signed between EIN and EE. Follow up implemented every 6 months.
Describe each safeguard measure that has been implemented during the reporting period	All the safeguards were implemented during the first year of implementation and were followed up every year (7 year total). Also a analysis of the ESP was made by each EE.
Describe the residual impact for each impact identified - if any - using the monitoring indicator(s)	No residual impacts identified.
Describe remedial action for residual impacts that will be taken	No residual impacts identified.
6.Core labour rights	
Are environmental or social risks present as per table II.K (II.L for REG) of the proposal?	Yes
During project/programme formulation, an impact assessment was carried out for the risks identified. Have impacts been identified that require management actions to prevent unacceptable impacts? (as per II.K/II.L)	Yes
List the identified impacts for which safeguard measures are required (as per II.K/II.L)	*The risk has been identified that the people working for the beneficiaries or the executing organization of the initiatives could be outside the national or international legislation (for example minimum salary, vacations, insurance, etc.)
List here the safeguard measures (i.e. avoidance, management or mitigation) identified for each impact that are supposed to be (or had to be) implemented during the reporting period. Please break down the safeguard measures by activity.	Request executing agencies a legal declaration that shows compliance with labor rights identified by the International Organization for Work. Request confirmation from the CCSS (Social Security System) that the executing agency is in due compliance.
List the monitoring indicator(s) for each impact identified.	*Confirmation from the CCSS that the executing entity is in compliance.
State the baseline condition for each monitoring indicator	*Documentation sent to EIN.
Describe each safeguard measure that has been implemented during the reporting period	All the safeguards were implemented during the first year of implementation and were followed up every year (7 year total). Also a analysis of the ESP was made by each EE.
Describe the residual impact for each impact identified - if any - using the monitoring indicator(s)	No residual impacts identified.
Describe remedial action for residual impacts that will be taken	No residual impacts identified.
7.Indigenous people	
Are environmental or social risks present as per table	Yes

II.K (II.L for REG) of the proposal?	
During project/programme formulation, an impact assessment was carried out for the risks identified. Have impacts been identified that require management actions to prevent unacceptable impacts? (as per II.K/II.L)	Yes
List the identified impacts for which safeguard measures are required (as per II.K/II.L)	*No initiatives are identified whose orientation or execution disrespects the rights and responsibilities of indigenous groups. However, there does exist a risk that during the development of these initiatives, the rights of indigenous groups could be disrespected in a direct or collateral way, for example because of territorial or cultural issues.
List here the safeguard measures (i.e. avoidance, management or mitigation) identified for each impact that are supposed to be (or had to be) implemented during the reporting period. Please break down the safeguard measures by activity.	Request identification of indigenous groups that could be directly or indirectly be impacted during and after the development of the initiatives and in case they exist, request concrete mitigation plans to eliminate or solve the adverse impacts. Include contractual clauses to executing agencies that the development of the initiatives will not generate adverse direct or indirect impacts on indigenous groups.
List the monitoring indicator(s) for each impact identified.	*Notes from the indigenous groups that could be directly or indirectly impacted that confirms their participation approval.
State the baseline condition for each monitoring indicator	*Approval from the indigenous groups along with the proposal.
Describe each safeguard measure that has been implemented during the reporting period	All the safeguards were implemented during the first year of implementation and were followed up every year (7 year total). Also a analysis of the ESP was made by each EE.
Describe the residual impact for each impact identified - if any - using the monitoring indicator(s)	No residual impacts identified.
Describe remedial action for residual impacts that will be taken	No residual impacts identified.
8.Involuntary resettlement	
Are environmental or social risks present as per table II.K (II.L for REG) of the proposal?	Yes
During project/programme formulation, an impact assessment was carried out for the risks identified. Have impacts been identified that require management actions to prevent unacceptable impacts? (as per II.K/II.L)	Yes
List the identified impacts for which safeguard measures are required (as per II.K/II.L)	No initiative has been identified with orientation or execution requiring involuntary resettlement. However there exists a risk that this may occur, for example if it is necessary to modify the design to an aqueduct for an ASADA.
List here the safeguard measures (i.e. avoidance, management or mitigation) identified for each impact that are supposed to be (or had to be) implemented during the reporting period. Please break down the safeguard measures by activity.	Include a contractual clause for the necessity to communicate to the implementing agency and formulate a remedial plan in case the involuntary resettlement of part of the population is necessary to develop the initiative.

List the monitoring indicator(s) for each impact identified.	Contractual clauses included.
State the baseline condition for each monitoring indicator	Contracts signed between EIN and EE. Follow up implemented every 6 months
Describe each safeguard measure that has been implemented during the reporting period	All the safeguards were implemented during the first year of implementation and were followed up every year (7 year total) Also a analysis of the ESP was made by each EE.
Describe the residual impact for each impact identified - if any - using the monitoring indicator(s)	No residual impacts identified
Describe remedial action for residual impacts that will be taken	No residual impacts identified

9. Protection of natural habitats

Are environmental or social risks present as per table II.K (II.L for REG) of the proposal?	Yes
During project/programme formulation, an impact assessment was carried out for the risks identified. Have impacts been identified that require management actions to prevent unacceptable impacts? (as per II.K/II.L)	Yes
List the identified impacts for which safeguard measures are required (as per II.K/II.L)	*There is a risk that some agricultural activities are developed nearby protected areas or surrounding areas.
List here the safeguard measures (i.e. avoidance, management or mitigation) identified for each impact that are supposed to be (or had to be) implemented during the reporting period. Please break down the safeguard measures by activity.	Request cadastral plans or land use permits to verify the existence or proximity to protected areas.
List the monitoring indicator(s) for each impact identified.	*Cadastral plans approval.
State the baseline condition for each monitoring indicator	All beneficiaries have land use permits.
Describe each safeguard measure that has been implemented during the reporting period	All the safeguards were implemented during the first year of implementation and were followed up every year (7 year total). Also a analysis of the ESP was made by each EE.
Describe the residual impact for each impact identified - if any - using the monitoring indicator(s)	No residual impacts identified.
Describe remedial action for residual impacts that will be taken	No residual impacts identified.

10. Conservation of biological diversity

Are environmental or social risks present as per table II.K (II.L for REG) of the proposal?	Yes
During project/programme formulation, an impact assessment was carried out for the risks identified. Have impacts been identified that require management actions to prevent unacceptable impacts? (as per II.K/II.L)	Yes
List the identified impacts for which safeguard measures are required (as per II.K/II.L)	*The activities are focusing on Ecosystems based Adaptation as proposed, including recovery of biodiversity and agro ecological practices at the farm level. However, a minor risk of unjustified reduction

	of biodiversity during the development of agricultural activities does exist. *A risk of introduction of non indigenous species and possible invaders have been identified in the reforestation processes.
List here the safeguard measures (i.e. avoidance, management or mitigation) identified for each impact that are supposed to be (or had to be) implemented during the reporting period. Please break down the safeguard measures by activity.	Request executing agencies to identify and prevent risks of biodiversity loss and to avoid introduction of alien species. Request the technical study for the proposed reforestation processes
List the monitoring indicator(s) for each impact identified.	*Reforestation process implemented along with national authorities.
State the baseline condition for each monitoring indicator	Projects that included reforestation efforts are implemented along with authorities that already know the areas and species to use.
Describe each safeguard measure that has been implemented during the reporting period	All the safeguards were implemented during the first year of implementation and were followed up every year (7 year total). Also a analysis of the ESP was made by each EE.
Describe the residual impact for each impact identified - if any - using the monitoring indicator(s)	No residual impacts identified.
Describe remedial action for residual impacts that will be taken	No residual impacts identified.
11.Climate change	
Are environmental or social risks present as per table II.K (II.L for REG) of the proposal?	Yes
During project/programme formulation, an impact assessment was carried out for the risks identified. Have impacts been identified that require management actions to prevent unacceptable impacts? (as per II.K/II.L)	Yes
List the identified impacts for which safeguard measures are required (as per II.K/II.L)	*No initiative has been identified with an orientation or execution that could generate unjustified greenhouse gases. On the contrary there are initiatives, as the implementation of biodigesters, low carbon technologies and improved pasture that will lead to greenhouse gases reduction. There are transport processes for the initiatives but these are considered unavoidable. In Costa Rica there are established maximum emission parameters for vehicles that are controlled by RTV. (National evaluation)
List here the safeguard measures (i.e. avoidance, management or mitigation) identified for each impact that are supposed to be (or had to be) implemented during the reporting period. Please break down the safeguard measures by activity.	Request RTV for the involved vehicles for the development of the initiative.
List the monitoring indicator(s) for each impact identified.	*Vehicles included in the projects have RTV approved.
State the baseline condition for each monitoring indicator	No penalties have been received regarding RTV issues.
Describe each safeguard measure that has been implemented during the reporting period	All the safeguards were implemented during the first year of implementation and were followed up every year (7 year total). Also a analysis of the ESP was

	made by each EE.
Describe the residual impact for each impact identified - if any - using the monitoring indicator(s)	No residual impacts identified.
Describe remedial action for residual impacts that will be taken	No residual impacts identified.
12.Pollution prevention and resource efficiency	
Are environmental or social risks present as per table II.K (II.L for REG) of the proposal?	Yes
During project/programme formulation, an impact assessment was carried out for the risks identified. Have impacts been identified that require management actions to prevent unacceptable impacts? (as per II.K/II.L)	Yes
List the identified impacts for which safeguard measures are required (as per II.K/II.L)	*No initiative has been identified as a big consumer of energy, however there exists the risk that during the implementation of some initiatives, the use of energy or fuel would not be efficient. No initiatives have been identified as big consumers of natural resources and therefore would require measures for their efficient use. On the contrary, some initiatives are oriented towards the better use of available resources, however, in some initiatives there may exist the risk that the resources may not be used in an efficient way, for example in constructions or transportation. There is a risk that the generated waste in some initiatives, mainly in remote rural areas, will not be adequately disposed of. However, no initiative has been identified that generates solid waste that requires any treatment.
List here the safeguard measures (i.e. avoidance, management or mitigation) identified for each impact that are supposed to be (or had to be) implemented during the reporting period. Please break down the safeguard measures by activity.	*Request an identification of environmental aspects and impacts for each initiative and measures to control and mitigate the energy efficiency risks. *Request an identification of environmental aspects and impacts for each initiative and measures to control or mitigate those environmental aspects. *Request a waste management plan for those initiative that require one.
List the monitoring indicator(s) for each impact identified.	*Each project made a identification of possible energy efficiency risks and environmental aspects. *Waste management plans in case needed
State the baseline condition for each monitoring indicator	*Waste management plans for some beneficiaries have been implemented.
Describe each safeguard measure that has been implemented during the reporting period	All the safeguards were implemented during the first year of implementation and were followed up every year (7 year total). Also a analysis of the ESP was made by each EE.
Describe the residual impact for each impact identified - if any - using the monitoring indicator(s)	No residual impacts identified.
Describe remedial action for residual impacts that will be taken	No residual impacts identified.
13.Public health	
Are environmental or social risks present as per table	Yes

II.K (II.L for REG) of the proposal?	
During project/programme formulation, an impact assessment was carried out for the risks identified. Have impacts been identified that require management actions to prevent unacceptable impacts? (as per II.K/II.L)	Yes
List the identified impacts for which safeguard measures are required (as per II.K/II.L)	*The risk has been identified that some of the agricultural initiatives could generate health or odor problems, mainly those related to waste management.
List here the safeguard measures (i.e. avoidance, management or mitigation) identified for each impact that are supposed to be (or had to be) implemented during the reporting period. Please break down the safeguard measures by activity.	Request a technical study for the activities of waste management including occupational health measures.
List the monitoring indicator(s) for each impact identified.	*Waste management plans in case needed.
State the baseline condition for each monitoring indicator	*Waste management plans for some beneficiaries have been implemented along with the Ministry of Agriculture.
Describe each safeguard measure that has been implemented during the reporting period	All the safeguards were implemented during the first year of implementation and were followed up every year (7 year total). Also a analysis of the ESP was made by each EE.
Describe the residual impact for each impact identified - if any - using the monitoring indicator(s)	No residual impacts identified.
Describe remedial action for residual impacts that will be taken	No residual impacts identified.
14. Physical and cultural heritage	
Are environmental or social risks present as per table II.K (II.L for REG) of the proposal?	Yes
During project/programme formulation, an impact assessment was carried out for the risks identified. Have impacts been identified that require management actions to prevent unacceptable impacts? (as per II.K/II.L)	Yes
List the identified impacts for which safeguard measures are required (as per II.K/II.L)	*Since some of the activities involve indigenous population, there is a risk during the development of some of the initiatives that there will be alteration or damage to sites or cultural resources with natural or scenic value.
List here the safeguard measures (i.e. avoidance, management or mitigation) identified for each impact that are supposed to be (or had to be) implemented during the reporting period. Please break down the safeguard measures by activity.	Request compliance with Law of Cultural Heritage and Patrimony regarding identification and protection of cultural and archeological, nearby the location where the initiatives. Request the identification of preventive measures if necessary in order to avoid direct or indirect damage. Include contractual clauses that if during the development of the initiative damages to cultural, archeological or sites accepted as natural or scenic are identified, they must be communicated to the implementing entity and if necessary, actions must be suspended until finding and implementing a valid solution.
List the monitoring indicator(s) for each impact	*Plans of intervention are approved by the

identified.	indigenous population. *Notes from the indigenous groups that could be directly or indirectly impacted that confirms their participation approval.
State the baseline condition for each monitoring indicator	*Indigenous approved the interventions. *Approval from the indigenous groups along with the proposal.
Describe each safeguard measure that has been implemented during the reporting period	All the safeguards were implemented during the first year of implementation and were followed up every year (7 year total) Also a analysis of the ESP was made by each EE.
Describe the residual impact for each impact identified - if any - using the monitoring indicator(s)	No residual impacts identified.
Describe remedial action for residual impacts that will be taken	No residual impacts identified.

15.Lands and soil conservation

Are environmental or social risks present as per table II.K (II.L for REG) of the proposal?	Yes
During project/programme formulation, an impact assessment was carried out for the risks identified. Have impacts been identified that require management actions to prevent unacceptable impacts? (as per II.K/II.L)	Yes
List the identified impacts for which safeguard measures are required (as per II.K/II.L)	*No initiatives have been identified with orientation or execution that could degrade soil or productive land. On the contrary some of the initiatives are oriented towards the conservation and use of soil however there exists a risk that during the application of good practices technical errors might incur that generate degradation of land and soil.
List here the safeguard measures (i.e. avoidance, management or mitigation) identified for each impact that are supposed to be (or had to be) implemented during the reporting period. Please break down the safeguard measures by activity.	Request compliance with Law of Soil Use and Conservation and monitoring with technical endorsement, to verify that there is no risk of degradation of land and soil.
List the monitoring indicator(s) for each impact identified.	*Plans of intervention are proposed and approved by national authorities.
State the baseline condition for each monitoring indicator	*MAG was part of the creation of the plans of intervention.
Describe each safeguard measure that has been implemented during the reporting period	All the safeguards were implemented during the first year of implementation and were followed up every year (7 year total) Also a analysis of the ESP was made by each EE.
Describe the residual impact for each impact identified - if any - using the monitoring indicator(s)	No residual impacts identified.
Describe remedial action for residual impacts that will be taken	No residual impacts identified.

Section 2: Monitoring for unanticipated impacts / corrective actions required

Has monitoring for unanticipated ESP risks been carried out?	Yes
Have unanticipated ESP risks been identified during the reporting period?	No
If unanticipated ESP risks have been identified,	

describe the safeguard measures that have been taken in response and how an ESMP has been prepared/updated	
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Section 3: Categorisation

Is the categorisation according to ESP standards still relevant?	Yes
If No, please describe the changes made at activity, output or outcome level, approved by the Board, that resulted in this change of categorization.	

Section 4: Implementation arrangements

What arrangements have been put in place by the Implementing Entity during the reporting period to implement the required ESP safeguard measures?	In general, Fundecooperación established a ESP Guideline for the analysis of the possible impact of the projects. This Guideline were completed along with the EE during different workshop sessions. The implementation of all the safeguards are follow up every 6 months.
Have the implementation arrangements been effective during the reporting period?	Yes
What arrangements have been put in place by each Executing Entity during the reporting period to implement the required ESP safeguard measures?	*Each EE made a proper analysis with different stakeholders in order to warranty all the safeguards were in place.
Have the implementation arrangements at the EEs been effective during the reporting period?	Yes

Section 5: Projects/programmes with unidentified sub-projects (USPs). This section needs to be completed only if the project/proramme includes USPs.

Have the arrangements for the process described in the ESMP for ESP compliance for USPs been put in place?	Yes
Is the required capacity for ESMP implementation present and effective with the IE and the EE(s)? Please provide details.	Yes
Have all roles and responsibilities adequately been assigned and positions filled?	Yes
Has the overall ESMP been updated with the findings of the USPs that have been identified in this reporting period?	Yes

Identified USPs in the reporting period	Application of ESMP to the USP	ESP risks identified for the USP	Has an impact assessment been carried out?	Consultation held for risks and impacts identification for USP	Gender disaggregation to identify risks and impacts	Safeguard measures identified for the USP	Monitoring indicator(s) for each impact
CEDARENA	Yes	*No ESP risks identified.	Yes	Yes	Yes	Warranty compliance with the law.	Organizations and beneficiaries involve comply with legal

							requirements.
CBTC	Yes	*Conservation of biological diversity.	Yes	Yes	Yes	*Reforestation along with national authorities, experts in the topic. *An analysis was made in the area by the national authority.	*Reforestation implemented with the approval of authorities.
ALIARSE	Yes	*Core labor rights.	Yes	Yes	Yes	*Warranty compliance with the law.	*Contracts.
COOPEPURISCAL R,L	Yes	*Compliance with the law. *Core labor rights.	Yes	Yes	Yes	*Organization legally constituted.	*Organizations involve comply with legal requirements.
ACEPESA	Yes	*No ESP risks identified.	Yes	Yes	Yes	Warranty compliance with the law.	Organizations and beneficiaries involve comply with legal requirements.
INTA/FITTACORI	Yes	*No ESP risks identified.	Yes	Yes	Yes	Warranty compliance with the law.	Organizations and beneficiaries involve comply with legal requirements.
CREMA	Yes	*Compliance with the law.	Yes	Yes	Yes	*Legal compliance by the organizations involved.	*Legal papers approved.
MAG/FITTACORI	Yes	*Compliance with the law. *Core labor rights.	Yes	Yes	Yes	*Plan of implementation. *Analysis of legal requirements of beneficiaries.	*Plans for implementation ready. *Analysis of beneficiaries.
CLADA CATIE	Yes	*No ESP risks identified.	Yes	Yes	Yes	Warranty compliance with the law.	Organizations and beneficiaries involve comply with legal requirements.
Centro	Yes	*Compliance	Yes	Yes	Yes	*Plan of	*Plans for

Agrícola Cantonal de Puntarenas, sede Jicaral		with the law. *Core labor rights.				implementation *Analysis of legal requirements of beneficiaries.	implementation ready. *Analysis of beneficiaries.
UNAFOR	Yes	*Compliance with the law. *Core labor rights.	Yes	Yes	Yes	*Plan of implementation *Analysis of legal requirements of beneficiaries.	*Plans for implementation ready. *Analysis of beneficiaries.
MARVIVA	Yes	*No ESP risks identified	Yes	Yes	Yes	Warranty compliance with the law.	Organizations and beneficiaries involve comply with legal requirements.
KETO	Yes	*Compliance with the law. *Core labor rights. *Conservation of biological diversity.	Yes	Yes	Yes	*Request of approvals from the authority for implementation of actions *Verify the insurance require from the touristic sector. *The actions are implemented along with the National Park in order to avoid any risk.	*Approvals requested and received. *Insurance verified.
IMN/FUNDEYES	Yes	*No ESP risks identified	Yes	Yes	Yes	Warranty compliance with the law.	Organizations and beneficiaries involve comply with legal requirements.
FUNDACION CORCOVADO LON WILLING RAMSEY JR	Yes	*No ESP risks identified	Yes	Yes	Yes	Warranty compliance with the law.	Organizations and beneficiaries involve comply with legal requirements.
COOCAFE R.L	Yes	*Compliance with the law	Yes	Yes	Yes	*Plan of implementation	*Plans for implementation

		*Core labor rights.				*Analysis of legal requirements of beneficiaries.	ready. *Analysis of beneficiaries.
CNPL	Yes	*Compliance with the law. *Core labor rights.	Yes	Yes	Yes	*Plan of implementation *Analysis of legal requirements of beneficiaries.	*Plans for implementation ready. *Analysis of beneficiaries.
INDER/FUNDECIVI	Yes	*Physical and cultural heritage. *Indigenous People.	Yes	Yes	Yes	*Notification letters of project approval. *Plan of implementation.	*Letters of approval. *Plans for implementation ready.
CFIA	Yes	*No ESP risks identified.	Yes	Yes	Yes	Warranty compliance with the law.	Organizations and beneficiaries involve comply with legal requirements.
SETENA/COMUNICADO	Yes	*No ESP risks identified.	Yes	Yes	Yes	Warranty compliance with the law.	Organizations and beneficiaries involve comply with legal requirements.
INS	Yes	*No ESP risks identified.	Yes	Yes	Yes	Warranty compliance with the law.	Organizations and beneficiaries involve comply with legal requirements.
FEDERACIÓN DE CAMARA DE GANADEROS DE GUANACASTE	Yes	*Compliance with the law. *Core labor rights.	Yes	Yes	Yes	*Plan of implementation *Analysis of legal requirements of beneficiaries.	*Plans for implementation ready. *Analysis of beneficiaries.
CATIE	Yes	*Compliance with the law. *Core labor rights.	Yes	Yes	Yes	*Plan of implementation *Analysis of legal requirements of beneficiaries.	*Plans for implementation ready. *Analysis of beneficiaries.
ICICOR	Yes	*No ESP	Yes	Yes	Yes	Warranty	Organizations

		risks identified.				compliance with the law.	and beneficiaries involve comply with legal requirements
PRODUS	Yes	*No ESP risks identified.	Yes	Yes	Yes	Warranty compliance with the law.	Organizations and beneficiaries involve comply with legal requirements.
UNA	Yes	*No ESP risks identified.	Yes	Yes	Yes	Warranty compliance with the law.	Organizations and beneficiaries involve comply with legal requirements.
UCR-CIEDES	Yes	*Gender Equity *Conservation of biological diversity. *Soil conservation risk	Yes	Yes	Yes	*Participation of women and men as beneficiaries and as part of the team of the EE. * Soil Management Plan created, that includes best agriculture practices.	*# Participants *Soil Management Plan.
SIREFOR-SINAC	Yes	*No ESP risks identified.	Yes	Yes	Yes	Biodiversity conservation	One Early Warning system to prevent forestal fires
RAISING CORALS I	Yes	*Conservation of biological diversity.	Yes	Yes	Yes	*Reforestation along with national authorities, experts in the topic and experts from universities.	*Reforestation implemented with the approval of authorities.
MAG-PC	Yes	*Compliance with the law. *Core labor rights.	Yes	Yes	Yes	*Plan of implementation *Analysis of legal requirements of beneficiaries.	*Plans for implementation ready. *Analysis of beneficiaries.

Asociación Costarricense de la Ciencia del Suelo	Yes	*No ESP risks identified.	Yes	Yes	Yes	Sustainable natural resource management	Publications about soil conservation
CeNAT	Yes	*No ESP risks identified.	Yes	Yes	Yes	Warranty compliance with the law.	Organizations and beneficiaries involve comply with legal requirements
ONS	Yes	*Physical and cultural heritage. *Indigenous People.	Yes	Yes	Yes	*Notification letters of project approval. *Plan of implementation.	*Letters of approval. *Plans for implementation ready.
ADDAX-MAG Cartago	Yes	*No ESP risks identified.	Yes	Yes	Yes	Warranty compliance with the law.	Organizations and beneficiaries involve comply with legal requirements. Discrete agricultural adaptation practices demonstrated on-farm
RAISING CORALS II	Yes	*Conservation of biological diversity.	Yes	Yes	Yes	*Reforestation along with national authorities, experts in the topic and experts from universities.	*Reforestation implemented with the approval of authorities.
PRO-CLIMA	Yes	*Compliance with the law.	Yes	Yes	Yes	*Continue analysis of money laundry and fraud for financial mechanism.	Organizations and beneficiaries involve comply with legal requirements.
MAG-ECAS	Yes	*No ESP risks identified.	Yes	Yes	Yes	Warranty compliance with the law.	Organizations and beneficiaries involve comply with legal requirements. Discrete agricultural

							adaptation practices demonstrated on-farm
AED I	Yes	*No ESP risks identified.	Yes	Yes	Yes	Warranty compliance with the law.	Organizations and beneficiaries involve comply with legal requirements.
AED II	Yes	*No ESP risks identified.	Yes	Yes	Yes	Warranty compliance with the law.	Organizations and beneficiaries involve comply with legal requirements.
ICICOR	Yes	*No ESP risks identified.	Yes	Yes	Yes	Warranty compliance with the law.	Organizations and beneficiaries involve comply with legal requirements.
CFIA II	Yes	*No ESP risks identified.	Yes	Yes	Yes	Warranty compliance with the law.	Organizations and beneficiaries involve comply with legal requirements.
ALIARSE III	Yes	*No ESP risks identified.	Yes	Yes	Yes	Warranty compliance with the law.	Organizations and beneficiaries involve comply with legal requirements.
CRIC	Yes	*Compliance with the law.	Yes	Yes	Yes	*Legal aspects were resolve before any implementation as part of the actions that was supported by the project.	Organizations and beneficiaries involve comply with legal requirements.
INTA-Pequeños productores	Yes	*No ESP risks identified.	Yes	Yes	Yes	Warranty compliance with the law. Sustainable natural	Organizations and beneficiaries involve comply with

						resource management	legal requirements Discrete agricultural adaptation practices demonstrated on-farm
INTA GENETICA	Yes	*No ESP risks identified,.	Yes	Yes	Yes	Warranty compliance with the law.	Organizations and beneficiaries involve comply with legal requirements

Section 6: Grievances

Was a grievance mechanism established capable and known to stakeholders to accept grievances and complaints related to environmental and social risks and impacts?	Yes
Were grievances received during the reporting period?	No

List all grievances received during the reporting period regarding environmental and social impacts; gender related matters; or any other matter of project/programme activities	For each grievance, provide information on the grievance redress process	Provide the status/outcome
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Comments

GP Compliance

Section 1: Quality at entry

Was an initial gender assessment conducted during the preparation of the project/programme's first submission as a full proposal? No

Does the results framework include gender-responsive indicators broken down at the different levels (objective, outcome, output)? Yes

List the gender-responsive elements that were incorporated in the project/programme results framework

Gender-responsive element	Level	Indicator	Baseline	Target	Rated result for the reporting period
Gender-responsive indicators	Output	Percentage of beneficiaries (men and women) .	0	43,34%	Satisfactory

Gender-responsive indicators	Output	Percentage of beneficiaries trained (technicians) by gender on technical options and methods resilient to the effects of Climate Change.	0	28,22%	Satisfactory
Gender-responsive indicators	Output	Percentage of beneficiaries from the refundable funds (gender-disaggregated).	0	36,00%	Satisfactory
Gender-responsive indicators	Output	Percentage of targeted population awareness of predicted adverse impacts of climate change, and of appropriate responses (gender-disaggregated).	0	29,63%	Satisfactory
Gender-responsive indicators	Output	Percentage of beneficiaries trained on adaptation measures (gender-disaggregated).	0	26,18%	Satisfactory

Section 2: Quality during implementation and at exit

List gender equality and women's empowerment issues encountered during implementation of the project/programme. For each gender equality and women's empowerment issue describe the progress that was made as well as the results.

Gender equality and women's empowerment issues	Rated result for the reporting period	Provide justification of the rating provided
During this period there were no issues on gender equality and women empowerment	Good	

Section 3: Implementation arrangements

What arrangements have been put in place by the Implementing Entity during the reporting period to comply with the GP	<p>*A Gender Policy and Plan has been approved</p> <p>*Along with the plan and policy, workshops were made for the EIN staff. *Regarding the indicator "% of beneficiaries from the refundable funds (gender disaggregated)", even though the women could be involved in the activity, usually the agricultural</p>
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	activities are led by men, many of the applications that we receive from women are more in the commercial sector. Also in some cases women prefers their partner to ask for the credit instead of them; with this in mind we have launched "Más mujeres, mas natura" as a credit option direct for women.
Have the implementation arrangements at the IE been effective during the reporting period?	Yes
What arrangements have been put in place by each Executing Entity during the reporting period to comply with the GP?	*Identification of possible risks for gender equity in the project. *Include women in decision making processes. *Include gender equity as a main aspect to consider for including possible beneficiaries.
Have the implementation arrangements at the EE(s) been effective during the reporting period?	Yes
Have any capacity gaps affecting GP compliance been identified during the reporting period and if so, what remediation was implemented?	No

Section 4: Grievances

Was a grievance mechanism established capable and known to stakeholders to accept grievances and complaints related to gender equality and women's empowerment?	Yes
Were grievances received during the reporting period?	No

List all grievances received through the grievance mechanism during the reporting period regarding gender-related matters of project/programme activities [6]	For each grievance, provide information on the grievance redress process used	Provide the status/outcome
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Comments

Rating

Implementing Entity

Project components/outcomes	Alignment with AF outcomes	Expected Progress	Progress to date	Rating
Programme Implementation Oversight Reunions	Outcome 8	Framework functioning effectively		Marginally Satisfactory
Promotion and Awareness	Outcome 3	Implementation Communication actions		Highly Satisfactory
Monitoring and field visits	Outcome 8	Monitoring visits facilitation		Satisfactory

Please provide the Name and Contact information of the person(s) responsible for completing the Rating section

Name	Email
Carolina Reyes Rivero	creyes@fundecooperacion.org
<p>Please justify your rating. Outline the positive and negative progress made by the project since it started. Provide specific recommendations for next steps.</p> <p>The delay because of COVID-19 had an impact in the implementation expected for 2020, 2021 and even 2022, and therefore we still consider 2022 as S. As it was mentioned in a previous report (#6); even though there was an extension of time approved prior to COVID-19, the effect of this situation will most probably require additional time, for this an extension was requested until december 2023. Additionally to COVID, the change of government had also an impact in implementation.</p>	

Executing Entity / Project Coordinator

Project components/outcomes	Alignment with AF outcomes	Expected Progress	Progress to date	Rating
Component 1	Outcome 3	Increasing the adaptation capacity to climate change in the agricultural sector. Some expected results: -At least 16 agricultural and livestock units has identified technological options. -At least 16 plans for adaptation at farm level.		Highly Satisfactory
Component 2	Outcome 3	Improving water resources management in order to increase resilience in coastal communities that are more vulnerable to climate change. Some expected results: -10 Operadores have implemented a Water Safety Plan or Watershed Management Plans. -At least 3 ASADAS have undertaken a vulnerability assessment. -10 hectares of aquifer recharge areas reforested.		Highly Satisfactory
Component 3	Outcome 7	1. Implementation of different projects at the local level that respond to the adaptation needs of each community. 2. Improving the capacity of communities, producers, institutions, and stakeholders regarding adaptation to Climate Change.		Satisfactory

Please provide the Name and Contact information of the person(s) responsible for completing the Rating section

Name	Email	Institution
Marianella Feoli as PCU coordinator	gerencia@fundecooperacion.org	Fundecooperación para el Desarrollo Sostenible

Please justify your rating. Outline the positive and negative progress made by the project since it started. Provide specific recommendations for next steps.

The rating of S is because, some work is still pending. Until today, the program has achieved very good results, confirming the good job we have been doing. Fundecooperacion during 2022 has continue implementation of some interesting projects with new executing entities in areas such as: protection of seeds, management of cattle genetics, support of scaling up adaptation actions in ASADAS, among others. However, it is important to mention that the implementation has been delayed because of COVID-19

sanitary limitations, a new space was achieved during 2022 but it has not been enough to finish implementation.

Other

Project components/outcomes	Alignment with AF outcomes	Expected Progress	Progress to date	Rating
1	Outcome 6	90 Discrete agricultural adaptation practices are demonstrated on-farm. Adaptation practices include: enhanced water management techniques, enhanced soil management practices, planting techniques, post-harvest processing and diversified livestock practices.		Highly Satisfactory
2	Outcome 4	Some expected results: -10 Operadores have implemented a Water Safety Plan or Watershed Management Plans. -At least 3 ASADAS have undertaken a vulnerability assessment. -10 hectares of aquifer recharge areas reforested.		Highly Satisfactory
3	Outcome 8			Satisfactory

Please provide the Name and Contact information of the person(s) responsible for completing the Rating section

Name	Email
Tania López	tlopez@ofinase.go.cr

Please justify your rating. Outline the positive and negative progress made by the project since it started. Provide specific recommendations for next steps.

Critical risk: delay in implementation due COVID-19 and restrictions during 2020 and 2021. The programme needed the 18 months extension (that was approved prior to COVID-19) but with the COVID-19 affecting all the 18 months requested and even part of the 12 extra months allowed by the fund due COVID-19, we are afraid it will not be enough for project implementation. Additionally during 2022 a change of government impacted most of the activities.

Overall Rating

Overall rating

Satisfactory

Please justify your rating. Outline the positive and negative progress made by the project since it started. Provide specific recommendations for next steps.

Project Indicators

List of indicators

Type of Indicator (indicators towards Objectives, Outcomes, etc...)	Indicator	Baseline	Progress Since Inception	Target for Project End
Outputs	ZAE maps for selected crops of the Central Region.	Last ZAE maps of the country were made in 1980.	13	13
Outputs	Technological showcases implemented and applying technology options for the adaptation to climate change in Naranjo, Puriscal, Dota and Alvarado.	0 technological showcases implemented.	8	4
Outputs	Online platform with updated information on adaptation technologies and zoning scenarios.	0	3	1
Outputs	Technicians Beneficiaries (50% women and 50% men)	0	2072	1000
Outputs	Agricultural and livestock units has identified technological options.	0 units has identified technological options	1327	90
Outputs	Plans for adaptation at farm level.	0 Plans for adaptation at farm level	611	90
Outputs	Beneficiaries (50% women and 50% men) Component 1	0	4736	1000
Outputs	Discrete agricultural adaptation practices are demonstrated on-farm. Adaptation practices include: enhanced water management techniques, enhanced soil management practices, planting techniques, post-harvest processing and diversified livestock practices.	0	1505	90
Outputs	Number of farms implementing actions.	0	1327	90

Outputs	Ha on indigenous territories implementing technical options and methods that enhance their resilience to the effects of climate change.	0	716,4	1000
Outputs	-At least 50 initiatives have access to credit schemes for the implementation of adaptation activities.	0	14	10
Outputs	-At least 5 microfinancing institutions include credit products available to local small producers for adaptation to climate change.	0	4	5
Outputs	At least 50 ASADAS and 2 municipalities have implemented adaptation actions to improve the access to water of their communities.	0	97	52
Outputs	At least 12 ASADAS have undertaken a vulnerability assessment.	0	54	12
Outputs	At least 3 committees formed by various social actors.	0	12	3
Outputs	At least 25,000 water users benefited.	0	44510	25000
Outputs	At least 5 measures implemented for integrated watershed protection.	0	6	5
Outputs	5000 hectares of the watershed improved directly or indirectly by the implementation of management practices.	0	5000	5000

Outputs	At least 50 hectares of aquifer recharge areas reforested.	0	878	50
Outputs	Protection of aquifers.	0	259	1
Outputs	At least 25,000 inhabitants in 50 communities have their water supply and associated infrastructure, improved to manage climate-induced impacts on water supply.	0	44411	25000
Outputs	50 communities have their water supply and associated infrastructure improved.	0	78	50
Outputs	-At least 10 initiatives.	0	80	10
Outputs	-At least 2 credit products available for implementation of adaptation measures to climate change (of local water management associations (ASADAS) and national water systems).	0	2	2
Outputs	At least 500 citizens in coastal zones are prepared and trained to deal with the impacts of climate change.	0	2463	500
Outputs	At least 10 coastal communities have implemented at least one adaptation measure.	0	62	10
Outputs	8 km of coastline and beaches in protected areas, redesigned and reforested.	0	38,9	8
Outputs	At least 1 Community Coastal Reforestation Program.	0	4	1

Outputs	25 ha of mangrove reforested in Gandoca (including areas within the National Wildfire Refuge Gandoca Manzanillo).	0	25	25
Outputs	At least one coastal community implement a mangrove nursery effective to combat coastal erosion.	0	2	1
Outputs	At least one Early Warning System and Emergency Protocol implemented.	0	2	1
Outputs	At least 5 communities trained, involving traditional leaders, women and youth groups.	0	47	5
Outputs	At least 3,000 beneficiaries trained.	0	6550	3000
Outputs	At least than 50 stakeholders participating in awareness raising events.	0	459	50
Outputs	At least 1000 policymakers and technical officers exhibit improved levels of understanding of climate risk assessment and planning processes for climate change adaptation.	0	1112	1000
Outputs	-At least 10 knowledge materials (experience notes, case studies, photo stories, videos, etc.) are generated per year starting from year 2 of the programme.	0	231	10
Outputs	-A completed and operationally tested "Handbook on Coastal Adaptation", "Technical Guide for	0	9	1

	Adaptation to Climate Change for the artisanal fishing sector" and "Handbook on Water Supply Systems Adaptation" is developed by the end of the project.			
Outputs	Internalization of environmental costs of the water suppliers to facilitate their adaptation to climate change in vulnerable areas.	0	1	1
Outputs	-1 online training course.	0	12	1
Outputs	70% of programme beneficiaries make use of improved climate risk information.	0	4000	2100
Outputs	At least 10 properly installed and functioning new meteorological stations and information platforms.	0	21	10

Comments

Lessons Learned

Implementation and Adaptive Management		
Describe any changes undertaken to improve results on the ground or any changes made to project outputs (i.e. changes to project design)	Challenges & Opportunities	*Our role had a strong focus on follow up of challenges and opportunities of continuing implementation with the EE during the pandemic. *Final activities to escale results has been identified which implies new EE and new projects to support some of the pending indicators. *We have seen that in order to accelerate implementation, the direct execution services (DES) have been required. *For 2023, a focus on component 1 and

		financial mechanism is expected.
Have the environmental and social safeguard measures that were taken been effective in avoiding unwanted negative impacts?	Challenges	It has been effective in avoiding unwanted negative impacts. No changes have occurred this period.
How have gender considerations been taken into consideration during the reporting period? What have been the lessons learned as a consequence of inclusion of such considerations on project performance or impacts? List lessons learned specific to gender, detailing measures and project/programme-specific indicators highlighting the role of women as key actors in climate change adaptation.	Opportunities	All projects with EE´s must include gender equity as a main aspect for selecting possible beneficiaries, with this we can improve impacts on women and involve this population on decision making level. No changes during this period. Tailored approaches are essential: Addressing the distinct needs of women in project activities leads to more inclusive and equitable outcomes. Empowerment through gender-specific programs: These programs enhance the empowerment of women, allowing them to take on leadership roles and contribute more effectively to achieving project goals. Regular assessment for progress: Gender-responsive initiatives require continuous monitoring to track progress, adjust strategies, and ensure lasting impact. Support through financial mechanisms: There is a recognized need to support women with tailored financial mechanisms, alongside technical assistance and access to guarantee mechanisms. This ensures that women have the necessary resources to fully participate and benefit from the interventions.
Were there any delays in implementation? If so, include any causes of delays. What measures have been taken to reduce delays?	Challenges	*The first year, many of the Executing Entities were not ready for complying with the different standards needed for the implementation of the project. *The impact of natural disasters had delayed some of the actions, specially 2017-2018. *During 2020 we had a relevant slow down on execution of activities, because of COVID-19. Eventhou it was

		<p>expected that 2021 were better than 2020, because of the vaccines, the pace has improved but not 100% as expected.</p> <p>*During 2022 Costa Rica had a change of government which has impacted the implementation due that during the entire year the government representatives from the Ministers had changed several times. Fundecooperación has tried to have meeting with new authorities however due agendas it has been difficult with some of the Ministers that are important for Adapta2+.</p>
What implementation issues/lessons, either positive or negative, affected progress?	Challenges	<p>*The changes experienced during 2020-2021 allow us to confirm that virtuality is a way of doing things if needed, however at the end setback many of the actions that were needed at the local level. Adjustments were made but by today is needed more time to complete in the best way the project. *Additionally to COVID, in 2022 the change of government has impacted and delayed the implementation.</p> <p>*In general terms, the implementation have been delayed because of COVID19 and government adjustments.</p>

Has the project already reached mid term or project completion?(yes/no).

Yes

Climate Resilience Measures

What have been the lessons learned, both positive and negative, in implementing climate adaptation measures that would be relevant to the design and implementation of future projects/programmes for enhanced resilience to climate change?	Many of the adaptation measures should lead also to economic and social development accepted by the beneficiaries and to be able to replicate them in other possible beneficiaries to support the inclusion of adaptation and resilience language in public policies in order country in general and to ensure sustainability.
What is the potential for the climate resilience measures undertaken by the	*Activities are promoted at the national level by the authorities and institutions in charge possible in response to the several affectations received by the communities during the last (Hurricane Nate and the Otto Storm). Also, as NIE, it's been important that building resili-

project/programme to be replicated and scaled up both within and outside the project area?	<p>sought by National Strategies, this confirms sustainability and technical backup of national policies.</p> <p>*Other donors are now implementing similar actions in other geographic areas. *As it was noted in the MTE- the programme's component 3 is dedicated to capacity building, which is transverse to the empowerment of the beneficiaries, and ultimately the sustainability of the implementation. *The programme is operating within established institutional mandates; the programme has a clear policy that promotes adaptation to climate change and allows replications at local and national levels.</p>
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Readiness Interventions (Applicable only to NIEs that received one or more readiness grants)

What have been the lessons learned, both positive and negative, in accessing and implementing climate finance readiness support that would be relevant to the preparation, design and implementation of future concrete adaptation projects/programmes?	NA
How have the outputs (such as manuals, guidelines, procedures or the experience from providing peer support, etc) from employing readiness grants been used to inform institutional capacity needs, gender issues, and environmental and social aspects in developing and implementing concrete projects/programmes for enhanced resilience to climate change?	NA

Concrete Adaptation Interventions

What have been the lessons learned, both positive and negative, in implementing concrete adaptation interventions that would be relevant to the design and implementation of future projects/programmes implementing concrete adaptation interventions?	<p>*Some of the technologies implemented could be expensive or there are not many suppliers in rural areas, which makes difficult the implementation in several areas of the country. For example, we want to work in this issue during the next period of implementation, for example, by supporting local providers or generating local capacity. *The support of the actions, by including technical assistance, policies, strategies or other, makes possible to authorities to be involved in the implementation of the work-plan. *Adaptation to Climate Change sometimes is confused with mitigation; so it is an important task for the EIN, to identify EE that have several experience in the topic or generate capacity during the implementation of the EE.</p>
What is the potential for the concrete adaptation interventions undertaken by the project/programme to be replicated and scaled up both within and outside the project area?	<p>*The creation of an insurance for the Livestock sector have been a key result for the implementation of adaptation measures by other farmers, due it allows access to financial options. *Many ASADAS are implementing a new water fee to support the implementation of adaptation measures. *Also as it was mentioned in the MTE, a comprehensive and sustainable management of available resources (biodiversity of soil, water, and agriculture areas) is promoted within an adaptation approach that looks, among others, at promoting intelligent agriculture, improvements in the use of water services, resilience of coastal areas, and of promoting innovation and knowledge management, learning from experience, exchange of knowledge, and guiding the transformation and replicable process. *We have been working in capacity building activities that focus on strategic local needs in order to build resilience to climate change through the implementation of adaptation measures and best practices, management, organization capacity, and innovation. *We will continue to communicate and address climate hazards; this allows to other communities or possible replication.</p>

	replicate the lessons learned and to know of the positive impact of adapting to climate change. Credit have been developed mid-term: "Ganadería Pro-Clima" and "Progreso Ganadero" to finance more adaptation actions.
Knowledge Management	
How has existing information/data/knowledge been used to inform project development and implementation? What kinds of information/data/knowledge were used?	It is important to remember that the programme's 3 component is dedicated to capacity building, transversal and allows the Executing Entities to implement activities that promote the replication of the lessons learned of the projects. Also, this allows the empowerment of the beneficiaries, and ultimately the sustainability of the implemented actions. Also, the NIE communicate on a continuous basis. At each of the project, this communication allows mobilizing more actors and progressively more actors will very likely emerge. Some other communication efforts are: *Case Studies *Videos *Aplicaciones *Social Media * Various regional and national medias In the case of social media, a communication strategy to promote in different social media, the results of the projects has allowed to promote replication of the activities and is a good tool to demonstrate results from the beneficiaries and Executing Entities. Workshops have been implemented by the NIE, and directed to executing entities and financial institutions. *Also, there have been frequent meetings and communications between the NIE and the DCC, as well as with the DCC (delegated by MINAE) and finally SEPLASA as regulating entity because some of the results obtained can be promoted at the national level.
Has the existing information/data/knowledge been made available to relevant stakeholder? If so, what channels of dissemination have been used?	Existing information is available for all relevant stakeholders in the projects. There are various channels of dissemination, such as Groups in Whatsapp, Webinars and videos in youtube, Virtual information in the government for example "Platicar" https://platicar.go.cr/ , and others.
Please list any knowledge products generated and include hyperlinks whenever possible (e.g. project videos, project stories, studies and technical reports, case studies, training manuals, handbooks, strategies and plans developed, etc.)	Programa Adapta2+ Youtube videos: https://www.youtube.com/watch?v=1PYcdomA1W0&list=PLoHNclM1NDh9CLUgHAFundecooperacion web page: https://fundecooperacion.org/conozca-los-proyectos/
If learning objectives have been established, have they been met? Please describe.	*Learning tools have been generated and implemented locally with students, producers, and decision makers. The training of trainers has been one of the most effective ways to promote the sustainability of the actions. It is important to mention that component 3, has allowed to promote impact actions by raising awareness of the importance of including the adaptation issue in the actions, laws, strategies, development plans and others.
Describe any difficulties there have been in accessing or retrieving existing information (data or knowledge) that is relevant to the project. Please provide suggestions for improving access to the relevant data.	* Climate information is difficult to access, due to the policies of the country's meteorological institution. These policies have complicated the implementation of some projects that require it in order to obtain results. Finally, negotiations between the institutions involved has allowed the implementation of the projects, however it took time and delayed the implementation and results.
Has the identification of learning objectives contributed to the outcomes of the project? In what ways have they contributed?	The learning objectives have contributed to generate a greater impact of Adapta2+, by a greater dissemination of knowledge at the local level and replication of this impact at other levels. At the end of the programme, and the learning objectives, were conceptualized in a bottom-up and top-down approach. For this reason, the 3 component "Creation of Capacities" have been able to promote initiatives for strengthening national organizations in the inclusion of the adaptation issue in their daily work, and of financial mechanisms for the replication of adaptation actions, creation of necessary

	documents.
Innovation	
Describe any innovative practices or technologies that figured prominently in this project.	Innovative practices in livestock, as rational grazing, than enhance the NAMA Ganader specif measure is one of the most important in adaption as it helps capture CO2 from th helps conserve the soil and to have constant available food (pasture) for animals, so it in Other innovative practices was the creation of public policy for the protection of water implementation of concrete adaptation measures.
Complementarity/ Coherence with other climate finance sources	
Has the project been scaled-up from any other climate finance? Or has the project build upon any other climate finance initiative?	Yes
If you answered yes, kindly specify the name of the Fund/Organization.	Adaptation Fund

Results Tracker

Goal: Assist developing-country Parties to the Kyoto Protocol and the Paris Agreement that are particularly vulnerable to the adverse effects of climate change in meeting the costs of concrete adaptation projects and programmes in order to implement climate-resilient measures.

Impact: Increased resiliency at the community, national, and regional levels to climate variability and change.

Is this the mid-term or terminal project performance report? Midterm

Impact: Increased resiliency at the community, national, and regional levels to climate variability and change				
Core Indicator: No. of beneficiaries				
		Total	% of female beneficiaries	% of Youth beneficiaries
Baseline information	Direct beneficiaries supported by the project			
Baseline information	Indirect beneficiaries supported by the project			
Baseline information	Total (direct + indirect beneficiaries)	0	0	0
Target performance at completion	Direct beneficiaries supported by the project			
Target performance at completion	Indirect beneficiaries supported by the project			
Target performance	Total (direct +	0	0	0

at completion	indirect beneficiaries)			
Performance at mid-term	Direct beneficiaries supported by the project	3892	29.5	12.6
Performance at mid-term	Indirect beneficiaries supported by the project	56413	47	10
Performance at mid-term	Total (direct + indirect beneficiaries)	60305	38.25	11.3
Performance at completion	Direct beneficiaries supported by the project			
Performance at completion	Indirect beneficiaries supported by the project			
Performance at completion	Total (direct + indirect beneficiaries)	0	0	0

Outcome 1: Reduced exposure to climate-related hazards and threats

Indicator 1: Relevant threat and hazard information generated and disseminated to stakeholders on a timely basis

	Number of targeted stakeholders - Total	Number of targeted stakeholders - % of female targeted	Hazards information generated and disseminated	Overall effectiveness
Baseline information				
Target performance at completion				
Performance at mid-term	227	42	Coastal flooding	2: Partially effective
Performance at completion				

Output 1.1 Risk and vulnerability assessments conducted and updated

Indicator 1.1: No. of projects/programmes that conduct and update risk and vulnerability assessments

	No. of projects/programme that conduct and update risk and vulnerability assessments	Sector	Scale	Status
Baseline information				
Target performance at completion				
Performance at mid-term	1	Water management	Local	3: Risk and vulnerability

				assessments completed or updated
Performance at completion				

Output 1.2 Targeted population groups covered by adequate risk reduction systems

Core Indicator 1.2: No. of Early Warning Systems

	No. of adopted Early Warning Systems	Category targeted	Hazard	Geographical coverage	Number of municipalities
Baseline information					
Target performance at completion					
Performance at mid-term	1	1: Risk knowledge	Drought	Local	1
Performance at completion					

Outcome 2: Strengthened institutional capacity to reduce risks associated with climate-induced socioeconomic and environmental losses

Indicator 2: Capacity of staff to respond to, and mitigate impacts of, climate-related events from targeted institutions increased

	Number of staff targeted - Total	Number of staff targeted - % of female targeted	Sector	Capacity level
Baseline information				
Target performance at completion				
Performance at mid-term	211	21.3	Agriculture	3: Medium capacity
Performance at completion				

Output 2.1 Strengthened capacity of national and sub-national centres and networks to respond rapidly to extreme weather events

Indicator 2.1.1: No. of staff trained to respond to, and mitigate impacts of, climate-related events

	Total staff trained	% of female staff trained	Type
Baseline information			
Target performance at completion			
Performance at mid-term	211	21.3	Public
Performance at completion			

Indicator 2.1.2: No. of targeted institutions with increased capacity to minimize exposure to climate variability risks

	Type	Scale	Sector	Capacity Level
Baseline information				
Target performance at completion				
Performance at mid-term				
Performance at completion				

Output 2.2. Increased readiness and capacity of national and sub-national entities to directly access and program adaptation finance

Indicator 2.2.1: No. of targeted institutions benefitting from the direct access and enhanced direct access modality

	Number of beneficiaries	Scale	Sector	Capacity Level
Baseline information				
Target performance at completion				
Performance at mid-term				
Performance at completion				

Outcome 3: Strengthened awareness and ownership of adaptation and climate risk reduction processes

Indicator 3.1: Increase in application of appropriate adaptation responses

	Percentage of targeted population applying adaptation measures	Sector
Baseline information		
Target performance at completion		
Performance at mid-term	100	Agriculture
Performance at completion		

Output 3.1: Targeted population groups participating in adaptation and risk reduction awareness activities

Indicator 3.1.1: Percentage of targeted population awareness of predicted adverse impacts of climate change, and of appropriate responses

	No. of targeted beneficiaries	% of female participants targeted	Level of awareness
Baseline information			
Target performance at			

completion			
Performance at mid-term	377	41	3: Partially aware
Performance at completion			

Output 3.2: Stenghtened capacity of national and subnational stakeholders and entities to capture and disseminate knowledge and learning

Indicator 3.2.1: No. of technical committees/associations formed to ensure transfer of knowledge

	No. of technical committees/associations	% of women represented in committes/associations	Level of awareness
Baseline information			
Target performance at completion			
Performance at mid-term			
Performance at completion			

Indicator 3.2.2: No. of tools and guidelines developed (thematic, sectoral, institutional) and shared with relevant stakeholders

	No. of tools and guidelines	Type	Scale
Baseline information			
Target performance at completion			
Performance at mid-term			
Performance at completion			

Outcome 4: Increased adaptive capacity within relevant development sector services and infrastructure assets

Indicator 4.1: Increased responsiveness of development sector services to evolving needs from changing and variable climate

	Project/programme sector	Geographical scale	Response level
Baseline information			
Target performance at completion			
Performance at mid-term	Agriculture	Local	3: Moderately responsive (Some defined elements)
Performance at completion			

Core Indicator 4.2: Assets produced, developed, improved or strengthened

	Sector	Targeted asset	Changes in asset (quantitative or
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			qualitative)
Baseline information			
Target performance at completion			
Performance at mid-term	Agriculture	2: Physical asset (produced/improved/strengthened)	3: Moderately improved
Performance at mid-term	Coastal management	2: Physical asset (produced/improved/strengthened)	2: Somewhat improved
Performance at mid-term	Water management	2: Physical asset (produced/improved/strengthened)	2: Somewhat improved
Performance at completion			

Indicator 4.1.1: Vulnerable development sector services and infrastructure assets strengthened in response to climate change impacts, including variability

Indicator 4.1.1: No. and type of development sector services to respond to new conditions resulting from climate variability and change

	Number of services	Type	Sector
Baseline information			
Target performance at completion			
Performance at mid-term	1		Water management
Performance at mid-term	1		Agriculture
Performance at completion			

Outcome 5: Increased ecosystem resilience in response to climate change and variability-induced stress

Indicator 5: Ecosystem services and natural resource assets maintained or improved under climate change and variability-induced stress

	Natural resource improvement level	Sector	Type
Baseline information			
Target performance at completion			
Performance at mid-term	2: Partially effective	Coastal management	Biological assets
Performance at mid-term	2: Partially effective	Forests	Land
Performance at mid-term	4: Effective	Agriculture	Subsoil assets
Performance at mid-term	3: Moderately effective	Water management	Biological assets
Performance at completion			

Output 5: Vulnerable ecosystem services and natural resource assets strengthened in response to climate change impacts, including variability

Core Indicator 5.1: Natural Assets protected or rehabilitated

	Natural asset or Ecosystem (type)	Total number of natural assets or ecosystems protected/rehabilitated	Unit	Effectiveness of protection/rehabilitation
Baseline information				
Target performance at completion				
Performance at mid-term	Mangroves	25	ha rehabilitated	2: Partially effective
Performance at mid-term	Forests	55	ha protected	4: Effective
Performance at mid-term	Catchment area/Watershed/Aquifer	127	ha protected	3: Moderately effective
Performance at mid-term	Cultivated land/Agricultural land	379	ha rehabilitated	3: Moderately effective
Performance at completion				

Outcome 6: Diversified and strengthened livelihoods and sources of income for vulnerable people in targeted areas

Indicator 6.1: Increase in households and communities having more secure access to livelihood assets

	No. of targeted households	% of female headed households	Improvement level
Baseline information			
Target performance at completion			
Performance at mid-term			
Performance at completion			

Indicator 6.2: Increase in targeted population's sustained climate-resilient alternative livelihoods

	No. of targeted households	% of female headed households	% increase in income level vis-à-vis baseline	Alternate Source
Baseline information				
Target performance at completion				
Performance at mid-term				
Performance at completion				

Output 6 Targeted individual and community livelihood strategies strengthened in relation to climate change impacts, including variability

Indicator 6.1.1: No. and type of adaptation assets created or strengthened in support of individual or community livelihood strategies

	Number of Assets	Type of Assets	Sector	Adaptation
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				strategy
Baseline information				
Target performance at completion				
Performance at mid-term				
Performance at completion				

Core Indicator 6.1.2: Increased income, or avoided decrease in income

	Number of households (total number in the project area)	Income source	Income level (USD)
Baseline information			
Target performance at completion			
Performance at mid-term			
Performance at completion			

Outcome 7: Improved policies and regulations that promote and enforce resilience measures

Indicator 7: Climate change priorities are integrated into national development strategy

	Integration level
Baseline information	
Target performance at completion	
Performance at mid-term	3: Some
Performance at completion	

Output 7: Improved integration of climate-resilience strategies into country development plans

Indicator 7.1: No. of policies introduced or adjusted to address climate change risks

	No. of Policies introduced or adjusted	Sector	Scale	Type
Baseline information				
Target performance at completion				
Performance at mid-term	3	Water management	National	Public policy
Performance at completion				

Indicator 7.2: No. of targeted development strategies with incorporated climate change priorities enforced

	No. of Development strategies	Regulation	Effectiveness
Baseline information			

Target performance at completion			
Performance at mid-term			
Performance at completion			

Outcome 8: Support the development and diffusion of innovative adaptation practices, tools and technologies

Indicator 8: Innovative adaptation practices are rolled out, scaled up, encouraged and/or accelerated at regional, national and/or subnational level

	Sector of innovative practice	Geographic Scale	Type
Baseline information			
Target performance at completion			
Performance at mid-term	Water management	Local	Innovation replicated
Performance at completion			

Output 8: Viable innovations are rolled out, scaled up, encourages and/or accelerated

Indicator 8.1: No. of innovative adaptation practices, tools and technologies accelerated, scaled-up and/or replicated

	No. of innovative practices/ tools technologies	Sector	Status	Effectiveness
Baseline information				
Target performance at completion				
Performance at mid-term	4	Agriculture	Undertaking innovative practices	4: Effective
Performance at completion				

Indicator 8.2: No. of key findings on effective, efficient adaptation practices, products and technologies generated

	No. of key findings generated	Type	Effectiveness
Baseline information			
Target performance at completion			
Performance at mid-term			
Performance at completion			