



ADAPTATION FUND

Project Performance Report

Overview

Period of Report (Dates)	8/17/2023 - 8/16/2024
Project Title	Ger Community Resilience Project (GCRP)
Project Summary	
Database Number	AF00000317
Implementing Entity (IE)	UN-Habitat
Type of IE	Multilateral Implementing Entity
Country(ies)	Mongolia
Relevant Geographic Points (i.e. cities, villages, bodies of water)	Ulaanbaatar city, Mongolia
Name of Implementing Entity Focal Point	Odicea Angelo Barrios

Project Milestones	
AFB Approval Date	3/24/2023
IE-AFB Agreement Signature Date	6/21/2023
Start of Project/Programme	8/17/2023
Actual Mid-term Review Date (if applicable)	
Original Completion Date	8/16/2027
Revised Completion Date after approval of extension request (if applicable)	

Were there any approval condition for this Project?

No

List each approval condition, if any, and report on the status of meeting them	
Category of condition	
Condition or Requirement	
Current Status	
Planned actions, including a detailed time schedule	

List (only) inception report/ extension request(s)/ MTR that have been prepared for the project and provide date(s) of submission for each

Inception Report was submitted on 16 September 2023.

List the Website address (URL) of project

The project website is under development.

Project Contacts			
National/Regional Project	Name	Email	Date

Manager/Coordinator			
National Project Manager	Enkhtsetseg Shagdarsuren	enkhtsetseg.shagdarsuren@un.org	10/10/2024
Implementing Entity	Odicea Angelo Barrios	odicea.angelobarrios1@un.org	10/10/2024
Executing Agency	Nominerdene Batnasan	Nomin-Erdene_Batnasan@wvi.org	10/10/2024
Government(s) DA	Batjargal Zamba	z_batjargal@yahoo.com	10/10/2024
Executing Agency	Gomboluudev Purevjav	p.gombo@hotmail.com	10/10/2024

Financial Data

Disbursement of AF grant funds	
Cumulative total disbursement from Trustee to IE as of date (\$)	\$1,674,376.00
Estimated cumulative total disbursement from IE to EEs as of date (\$)	\$748,613.35
Project disbursement rate (%)	21.03
Project execution rate (%)	10.20
Add any comments on AF Grant Funds	
Investment Income (\$)	\$0.00
Cumulative Investment Income since inception (\$)	\$0.00

Expenditure Data	
Output	Amount (\$)
Output 1.1 Identify adaptation needs in the urban development sector	\$5,215.44
Output 1.2 Review of existing adaptation policy and regulations from the urban context and suggest required integration of urban adaptation measures	\$9,000.00
Output 1.3 Detailed khoroo and District level flood/hazard risk, exposure and vulnerability assessment reports prepared for the selected Ger areas	\$43,700.21
Output 1.4 Integration workshops held to ensure that urban adaptation is prominently featured in Mongolia's NAP and 2025 NDC update, and climate change adaptation considerations are mainstreamed into future urban-related policies and plans	\$9,995.51
Output 1.5 Urban adaptation mainstreamed into local government policy and planning in the target areas	\$0.00
Output 2.1 Capacity building programme implemented at the sub-national level to plan for and manage urban adaptation actions	\$47,754.55
Output 2.2 Capacity building programme implemented at the community level to manage and maintain small-scale adaptation infrastructure	\$14,051.74
Output 2.3 Capacity built to meet future urban adaptation financing needs and community-based disaster risk reduction and assets protection trainings	\$1,543.10
Output 3.1 Technical studies – EIA, ESSS Scoping, and Engineering and hydrological studies-required for flood protection in the selected areas	\$117,138.35
Output 3.2 Flood protection infrastructure developed in response to climate change related flood impacts	\$314,927.86
Output 3.3 Trees and bushes planted by the communities along the flood protection facilities to create additional resilience and broader environmental sustainability	\$0.00
Output 3.4 Flood resilient sanitation facilities constructed by the target communities	\$0.00

Output 4.1 Knowledge captured from project implementation and disseminated through media, web-stories and case studies	\$0.00
Output 4.2 National and local government and research community have increased knowledge resources at its disposal	\$18,356.82
Output 4.3 Bringing Global Knowledge on best practices to Implementing Partners and communities	\$81,056.93
IE fee (\$)	\$48,832.30
Execution cost (\$)	\$37,040.54

Planned Expenditure Schedule

Output	Projected Cost (\$)	Estimated Completion Date
Output 1.1 Identify adaptation needs in the urban development sector	\$6,584.56	10/31/2024
Output 1.2 Review of existing adaptation policy and regulations from the urban context and suggest required integration of urban adaptation measures	\$7,800.00	10/31/2024
Output 1.3 Detailed khoroo and District level flood/hazard risk, exposure and vulnerability assessment reports prepared for the selected Ger areas	\$59,799.79	9/30/2024
Output 1.4 Integration workshops held to ensure that urban adaptation is prominently featured in Mongolia's NAP and 2025 NDC update, and climate change adaptation considerations are mainstreamed into future urban-related policies and plans	\$21,800.00	11/30/2024
Output 1.5 Urban adaptation mainstreamed into local government policy and planning in the target areas	\$30,000.00	1/31/2026
Output 2.1 Capacity building programme implemented at the sub-national level to plan for and manage urban adaptation actions	\$15,445.45	7/31/2025
Output 2.2 Capacity building programme implemented at the community level to manage and maintain small-scale adaptation infrastructure	\$46,148.26	7/31/2025
Output 2.3 Capacity built to meet future urban adaptation financing needs and community-based disaster risk reduction and assets protection trainings	\$79,656.90	7/31/2025
Output 3.1 Technical studies – EIA, ESSS Scoping, and Engineering and hydrological studies- required for flood protection in the selected areas	\$72,861.65	9/30/2024
Output 3.2 Flood protection infrastructure developed in response to climate change related flood impacts	\$3,607,611.10	12/31/2025
Output 3.3 Trees and bushes planted by the communities along the flood protection facilities to create additional resilience and broader environmental sustainability	\$100,000.00	7/31/2025
Output 3.4 Flood resilient sanitation facilities constructed by the target communities	\$400,000.00	8/31/2025
Output 4.1 Knowledge captured from project implementation and disseminated through media, web-stories and case studies	\$3,600.00	12/31/2024
Output 4.2 National and local government and research community have increased knowledge resources at its disposal	\$69,653.18	12/31/2024
Output 4.3 Bringing Global Knowledge on best practices to Implementing Partners and communities	\$123,943.07	7/31/2025
IE fee (\$)	\$340,374.00	
Execution cost (\$)	\$260,000.00	

Actual co-financing (if the MTR or TE have not been undertaken this reporting period, do not report on actual co-financing)	
Does this Project have Co-Financing ?	No
How much of the total co-financing as committed in the Project Document has actually been realized? (\$)	\$0.00
Estimated cumulative actual co-financing as verified during Mid-term Review (MTR) or Terminal Evaluation (TE). (\$)	\$0.00
Add any comments on actual co-financing in particular any issues related to the realization of in-kind, grant, credits, loans, equity, non-grant instruments and other types of co-financing.	

Risk Assessment

Identified Risks		
List all Risks identified in project preparation phase and what steps are being taken to mitigate them		
Identified Risk	Current Status	Steps taken to mitigate risk
Emergencies of global concern such as the Covid-19 pandemic	Low	The IE closely monitored the global and country situations to prevent and mitigate the risk.
Environmental/social: Current climate and seasonal variability and long winters (October – April) result in infrastructure construction delays	Low	To avoid any delay due to winter season, the IE along with EE prepared an intensive work plan to complete all construction related procurement and contractual processes planned for 2025 by end of next April so that the companies can utilize the entire construction season starting from 1 May 2025 for the construction.
Environmental/social: Continued post Covid-19 socio-economic impacts and challenges such as shortage and cost increase of construction materials	Low	The IE has monitored the market availability and cost fluctuation of the construction materials required for the project implementation.
Institutional: Loss of government support (at ministerial and municipal level) for the project (activities and outputs) may result in lack of prioritization of the project activities.	Moderate	The IE and EE worked closely with the Ministry of Environment and Tourism and local government organizations. So far, the project has not experienced any significant issues in this regard.
Institutional: Loss of government support (at khoroo / community level) for the project (activities and outputs) may result in lack of prioritization of the project activities.	Moderate	The IE and EE worked closely with the target khoroo administrations and communities. So far, the project has not experienced any significant issues in this regard.
Institutional: Capacity constraints of local institutions, communities and the private sector may limit the effective implementation of interventions	Low	The PIU and EE worked closely tracking the progress of work with the target khoroo administrations, communities and private companies engaged in the project implementation.
Financial: Inflation and instability of the national currency leading to budget issues and increased prices for	Moderate	The IE closely monitored the inflation and currency fluctuation in the country.

infrastructure delivery		
Institutional: Communities may not adopt activities during or after the project, including infrastructure maintenance	Low	The PIU and EE worked closely with the target communities providing necessary information and trainings. They have been very active and willing to get involved in the project.
Financial: Complexity of financial management and procurement. Certain administrative processes could delay the project execution or could lack integrity	Low	The IE worked closely with its Regional Office and EEs to avoid any delays and integrity issues in administrative and procurement processes.
Institutional: A lack of coordination between and within national government partners.	Moderate	The IE and EE worked closely with the Project Working Group, District Working Groups and NDA regularly updating and engaging them to the extent possible. So far, the project has not experienced any significant issue in this regard.

Critical Risks Affecting Progress (Not identified at project design)

Are there any critical risks with a 50% or > likelihood of affecting progress of project? No

Identify Risks with a 50% or > likelihood of affecting progress of project

Identified Risk	Current Status	Steps taken to mitigate risk
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Risk Measures

Were there any risk mitigation measures employed during the current reporting period? If so, were risks reduced? If not, why were these risks not reduced?

2024 is an election year in Mongolia with the parliamentary election scheduled on 28 June 2024 and the local government election scheduled on 11 Oct 2024. In connection with this, there was and still is a potential risk as per the predefined 2 risks including "Loss of government support (at ministerial and municipal level) for the project (activities and outputs) may result in lack of prioritization of the project activities" and "A lack of coordination between and within national government partners". As per the predefined risk mitigation measures, the IE with EE have been working closely with the national and local government immediate partners who have technical and administrative support roles for the project implementation. Although the project has experienced a bit of delays in seeking approvals and securing signatures of the respective officials during the milestones of the infrastructure design development, it has not been significant.

ESP Compliance

Section 1: Identified ESP Risk Management

Was the ESP risks identification complete at the time of funding approval? Yes

1. Compliance with the law

Are environmental or social risks present as per table II.K (II.L for REG) of the proposal?	Yes
During project/programme formulation, an impact assessment was carried out for the risks identified. Have impacts been identified that require	Yes

management actions to prevent unacceptable impacts? (as per II.K/II.L)	
List the identified impacts for which safeguard measures are required (as per II.K/II.L)	The physical construction activities in the Component 3 require building permits and design approval from national and local governments. And during the construction activities, related laws and regulations should be followed by the EEs and subcontractors. Initial screening identified a potential risk related to the compliance with the laws and regulations in Part II, Section E of the project proposal.
List here the safeguard measures (i.e. avoidance, management or mitigation) identified for each impact that are supposed to be (or had to be) implemented during the reporting period. Please break down the safeguard measures by activity.	IE will require the Executing Entity to produce all permits and permissions for construction prior to construction commencing. IE will, as part of its oversight function, ensure that all construction works will take place during the permitted construction season in Mongolia (usually May – September). Express written consent (complying with FPIC principles) will be gained in writing before any household level sanitation works will commence under Output 3.4.
List the monitoring indicator(s) for each impact identified.	Permissions obtained in writing with relevant signatures, stamps, etc
State the baseline condition for each monitoring indicator	None
Describe each safeguard measure that has been implemented during the reporting period	All procurement activities during the reporting period were conducted according to the UN procurement rules and regulations. The IE and EEs have worked under the directives and support of the Project Working Group and Sub-working Groups in the target districts to ensure the compliance of the technical standards for the design development and respective studies under component 3. The project activities have been implemented and supervised according to the respective Mongolian laws, regulations and technical standards so far.
Describe the residual impact for each impact identified - if any - using the monitoring indicator(s)	The impacts identified are likely to apply until the project ends.
Describe remedial action for residual impacts that will be taken	IE will continue working closely with EE to ensure that the Executing Entity obtains all permits and permissions for construction prior to construction commencing. IE will, as part of its oversight function, ensure that all construction works will take place during the permitted construction season in Mongolia (usually May – September). A written consent (complying with FPIC principles) will be obtained in writing before any household level sanitation works will commence under Output 3.4.
2.Access and equity	
Are environmental or social risks present as per table II.K (II.L for REG) of the proposal?	Yes
During project/programme formulation, an impact assessment was carried out for the risks identified.	Yes

Have impacts been identified that require management actions to prevent unacceptable impacts? (as per II.K/II.L)	
List the identified impacts for which safeguard measures are required (as per II.K/II.L)	If improperly sited or designed, the infrastructure may not benefit the poorest, most vulnerable or recent migrants
List here the safeguard measures (i.e. avoidance, management or mitigation) identified for each impact that are supposed to be (or had to be) implemented during the reporting period. Please break down the safeguard measures by activity.	Under Component 3, before construction starts there will be a further consultation process before, during and after construction of the drainage infrastructure to ensure continued consent. Under Output 3.4, the sanitation facilities to ensure that the poorest households have been selected, that they are receiving the support they need and that the facilities continue to work – and/or there is a maintenance plan in place. They will be consulted for the detailed design and implementation of the planned flood protection infrastructure and improved toilets. Specific needs expressed during community consultations will be reflected and tracked to ensure that flood facilities and improved toilets are designed and implemented to pose no risk and negative impacts to children, youth and older persons. Community monitoring will be conducted during and after the processes and improvements based on the grievances and comments from the beneficiary communities will be done.
List the monitoring indicator(s) for each impact identified.	Consultations conducted, Men and women have equal access and get equal benefit. Survey Equal access for migrant families. Survey informal interviews and focus groups
State the baseline condition for each monitoring indicator	N/A
Describe each safeguard measure that has been implemented during the reporting period	Sex disaggregated databases for meeting attendance and community profiles were developed and maintained by the EE field staff and monitoring officer to ensure the beneficiaries' equal participation. IE and EE have established a grievance redress mechanism for the project that uses communication tools accessible for target communities such as grievance boxes in the target areas, project email, phone call and message, and Facebook page. A template for complaint was developed and placed at the target khoroo offices to provide the target communities an accessible, transparent, fair, and effective means of communication if there are any concerns regarding project implementation. EE field staff and community leaders were provided training in procedures for receiving messages, recording, and reporting of any grievances. The Grievance Redress system information is placed in the target khoroo offices to allow communities to voice their opinions or complaints. Email and post addresses of the AFB, IE, and EE are published on the project FB page.
Describe the residual impact for each impact	The impacts identified are likely to apply until the

identified - if any - using the monitoring indicator(s)	project ends.
Describe remedial action for residual impacts that will be taken	The monitoring of the situation using the identified indicators by IE and EE will continue in order to mitigate any residual impacts. Before construction starts there will be a consultation process before, during and after construction of the drainage infrastructure to ensure continued consent. The communities will be further engaged and consulted for the implementation of the planned flood protection infrastructure and improved toilets. Specific needs expressed during community consultations will be reflected and tracked to ensure that flood facilities and improved toilets are designed and implemented to pose no risk and negative impacts to children, youth and older persons. Community monitoring will be conducted during and after the processes and improvements based on the grievances and comments from the beneficiary communities will be done.
3.Marginalized and vulnerable Groups	
Are environmental or social risks present as per table II.K (II.L for REG) of the proposal?	Yes
During project/programme formulation, an impact assessment was carried out for the risks identified. Have impacts been identified that require management actions to prevent unacceptable impacts? (as per II.K/II.L)	Yes
List the identified impacts for which safeguard measures are required (as per II.K/II.L)	If improperly sited or designed, the infrastructure may not benefit the poorest, most vulnerable or recent migrants
List here the safeguard measures (i.e. avoidance, management or mitigation) identified for each impact that are supposed to be (or had to be) implemented during the reporting period. Please break down the safeguard measures by activity.	Under Component 3, before construction starts there will be a further consultation process before, during and after construction of the drainage infrastructure to ensure continued consent. Under Output 3.4, the sanitation facilities to ensure that the poorest households have been selected, that they are receiving the support they need and that the facilities continue to work – and/or there is a maintenance plan in place. They will be consulted for the detailed design and implementation of the planned flood protection infrastructure and improved toilets. Specific needs expressed during community consultations will be reflected and tracked to ensure that flood facilities and improved toilets are designed and implemented to pose no risk and negative impacts to children, youth and older persons. Community monitoring will be conducted during and after the processes and improvements based on the grievances and comments from the beneficiary communities will be done.
List the monitoring indicator(s) for each impact identified.	Consultations conducted, Men and women have equal access and get equal benefit. Survey Equal access for migrant families. Survey informal interviews and focus groups

State the baseline condition for each monitoring indicator	Not available
Describe each safeguard measure that has been implemented during the reporting period	Sex disaggregated databases for meeting attendance and community profiles were developed and maintained by the EE field staff and monitoring officer to ensure the beneficiaries' equal participation. IE and EE have established a grievance redress mechanism for the project that uses communication tools accessible for target communities such as grievance boxes in the target areas, project email, phone call and message, and Facebook page. A template for complaint was developed and placed at the target khoroo offices to provide the target communities an accessible, transparent, fair, and effective means of communication if there are any concerns regarding project implementation. EE field staff and community leaders were provided training in procedures for receiving messages, recording, and reporting of any grievances. The Grievance Redress system information is placed in the target khoroo offices to allow communities to voice their opinions or complaints. Email and post addresses of the AFB, IE, and EE are published on the project FB page.
Describe the residual impact for each impact identified - if any - using the monitoring indicator(s)	The impacts identified are likely to apply until the project ends.
Describe remedial action for residual impacts that will be taken	The monitoring of the situation using the identified indicators by IE and EE will continue in order to mitigate any residual impacts. Before construction starts there will be a consultation process before, during and after construction of the drainage infrastructure to ensure continued consent. The communities will be further engaged and consulted for the implementation of the planned flood protection infrastructure and improved toilets. Specific needs expressed during community consultations will be reflected and tracked to ensure that flood facilities and improved toilets are designed and implemented to pose no risk and negative impacts to children, youth and older persons. Community monitoring will be conducted during and after the processes and improvements based on the grievances and comments from the beneficiary communities will be done.
4.Human rights	
Are environmental or social risks present as per table II.K (II.L for REG) of the proposal?	Yes
During project/programme formulation, an impact assessment was carried out for the risks identified. Have impacts been identified that require management actions to prevent unacceptable impacts? (as per II.K/II.L)	Yes
List the identified impacts for which safeguard measures are required (as per II.K/II.L)	Risks to human rights emerge from the risk of involuntary resettlement. See the involuntary settlement.

List here the safeguard measures (i.e. avoidance, management or mitigation) identified for each impact that are supposed to be (or had to be) implemented during the reporting period. Please break down the safeguard measures by activity.	UN-Habitat Human Rights Officer will monitor the compliance of the human rights during the project. In case of unanticipated case of violation of human rights the respective mitigation measures will be developed and implemented with consultation of key stakeholders including the beneficiary communities. A clause will be included in all contracts stating that the contractor will comply to human rights markers (and all other safeguard standards
List the monitoring indicator(s) for each impact identified.	Consultations conducted, Men and women have equal access and get equal benefit. Survey Equal access for migrant families. Survey informal interviews and focus groups
State the baseline condition for each monitoring indicator	Not available.
Describe each safeguard measure that has been implemented during the reporting period	EE worked closely with the infrastructure design company, local governments and communities to ensure the planned river embankment is constructed only on public land and minimize potential disruptions to neighborhood communities including noise and dust pollution and access road change and blockage and so on.
Describe the residual impact for each impact identified - if any - using the monitoring indicator(s)	The impacts identified are likely to apply until the project ends.
Describe remedial action for residual impacts that will be taken	UN-Habitat Human Rights Officer will further monitor the compliance of the human rights during the project. In case of unanticipated case of violation of human rights the respective mitigation measures will be developed and implemented with consultation of key stakeholders including the beneficiary communities. A clause will be included in all contracts stating that the contractor will comply to human rights markers (and all other safeguard standards.
5. Gender equality and women's empowerment	
Are environmental or social risks present as per table II.K (II.L for REG) of the proposal?	Yes
During project/programme formulation, an impact assessment was carried out for the risks identified. Have impacts been identified that require management actions to prevent unacceptable impacts? (as per II.K/II.L)	Yes
List the identified impacts for which safeguard measures are required (as per II.K/II.L)	There is a risk that any negative impact of the project may disproportionately affect women. There is also a risk that the project's soft activities under components 1, 2, and 4 may exclude women.
List here the safeguard measures (i.e. avoidance, management or mitigation) identified for each impact that are supposed to be (or had to be) implemented during the reporting period. Please break down the safeguard measures by activity.	There will be a target of 50% of women engaged in the design and build of all infrastructure under component 3, with designs reflecting the perspectives and needs of women. Under training/capacity building activities under Components 1&2, a target of 50% women participation will be used. Communities will be organized, and quotas will be

	used to ensure women and girls are included / represented. For government workshops and trainings, quotas will apply. Under Output 3.4, the as part of the consultation process, sanitation facility designs will be specifically discussed in women/FHH only focus/discussion groups to ensure that the designs are inclusive, benefit women and do not lead to unintended risks in terms of safety or women feeling reluctant to use them.
List the monitoring indicator(s) for each impact identified.	Women engaged through consultations and in decision-making positions
State the baseline condition for each monitoring indicator	None
Describe each safeguard measure that has been implemented during the reporting period	Sex disaggregated databases for meetings and community profiles were developed and maintained by the EE field staff and monitoring officer to ensure the beneficiaries' equal participation. IE and EE have established a grievance redress mechanism for the project that uses communication tools accessible for target communities such as grievance boxes in the target areas, project email, phone call and message, and Facebook page. A template for complaint was developed and placed at the target khoroo offices to provide the target communities an accessible, transparent, fair, and effective means of communication if there are any concerns regarding project implementation. EE field staff and community leaders were provided training in procedures for receiving messages, recording, and reporting of any grievances. The Grievance Redress system information is placed in the target khoroo offices to allow communities to voice their opinions or complaints. Email and post addresses of the AFB, IE, and EE are published on the project FB page.
Describe the residual impact for each impact identified - if any - using the monitoring indicator(s)	The impacts identified are likely to apply until the project ends.
Describe remedial action for residual impacts that will be taken	Communities will be organized, and quotas will be used to ensure women and girls are equally represented. For government workshops and trainings, quotas will apply. Sanitation facility designs will be specifically discussed in women/FHH only focus/discussion groups to ensure that the designs are inclusive, benefit women and do not lead to unintended risks in terms of safety or women feeling reluctant to use them.
6.Core labour rights	
Are environmental or social risks present as per table II.K (II.L for REG) of the proposal?	Yes
During project/programme formulation, an impact assessment was carried out for the risks identified. Have impacts been identified that require management actions to prevent unacceptable impacts? (as per II.K/II.L)	Yes

List the identified impacts for which safeguard measures are required (as per II.K/II.L)	Violations of labour rights of contractors working under or employed by the project
List here the safeguard measures (i.e. avoidance, management or mitigation) identified for each impact that are supposed to be (or had to be) implemented during the reporting period. Please break down the safeguard measures by activity.	All workers employed under the project and by its contractors will be formalized, with proper, legally binding contracts that workers understand. All construction contract workers will have the right to have their contracts explained to them, and will be empowered to report anonymously to the National Project Manager or through the grievance mechanism if they feel they are being unfairly treated in any way. Targets for female employment will be set in conjunction with contractors. Compliance with minimum wage, if applicable. Ensuring equal pay for men and women. All workers will be given basic safety equipment, including helmets and high visibility jackets. Construction will only take place during the daylight hours. Construction will take place between the months of May and September, in compliance with the law, and so construction workers are not exposed to Ulaanbaatar's extreme cold temperatures.
List the monitoring indicator(s) for each impact identified.	All workers have proper contracts, in compliance with the law, paid above minimum wage. Contracts, reporting, worker testimony gained through informal discussions
State the baseline condition for each monitoring indicator	None
Describe each safeguard measure that has been implemented during the reporting period	IE and EE worked together to ensure that all contract documents with subcontractor require adherence to ILO standards for employment and working conditions.
Describe the residual impact for each impact identified - if any - using the monitoring indicator(s)	The impacts identified are likely to apply until the project ends.
Describe remedial action for residual impacts that will be taken	IE will continue working together EE to ensure that all contract documents with subcontractor require adherence to ILO standards for employment and working conditions and implemented properly.
7.Indigenous people	
Are environmental or social risks present as per table II.K (II.L for REG) of the proposal?	No
During project/programme formulation, an impact assessment was carried out for the risks identified. Have impacts been identified that require management actions to prevent unacceptable impacts? (as per II.K/II.L)	
List the identified impacts for which safeguard measures are required (as per II.K/II.L)	
List here the safeguard measures (i.e. avoidance, management or mitigation) identified for each impact that are supposed to be (or had to be) implemented during the reporting period. Please break down the safeguard measures by activity.	
List the monitoring indicator(s) for each impact	

identified.	
State the baseline condition for each monitoring indicator	
Describe each safeguard measure that has been implemented during the reporting period	
Describe the residual impact for each impact identified - if any - using the monitoring indicator(s)	
Describe remedial action for residual impacts that will be taken	
8. Involuntary resettlement	
Are environmental or social risks present as per table II.K (II.L for REG) of the proposal?	Yes
During project/programme formulation, an impact assessment was carried out for the risks identified. Have impacts been identified that require management actions to prevent unacceptable impacts? (as per II.K/II.L)	Yes
List the identified impacts for which safeguard measures are required (as per II.K/II.L)	The proposed drainage infrastructures are mainly on public land following the existing beds of rivers and spring. The risks of displacement will be mainly relevant to those plot owners who are affected in the areas required for construction activities.
List here the safeguard measures (i.e. avoidance, management or mitigation) identified for each impact that are supposed to be (or had to be) implemented during the reporting period. Please break down the safeguard measures by activity.	Community Development Councils will be formed with membership of all households benefitting from construction. The design of drainage sections will be done through a participatory planning process involving the CDC. The intervention is budgeted in a way that inhabitants can be compensated for expenses if they need to temporary relocate during construction. Moreover, an alternative drainage plan has been developed (and has already been considered) if inhabitants do not agree. Besides that, a clause will be included in all contracts stating that contractors will comply to human rights markers (and other relevant safeguard standards). The UN-Habitat Human rights officers and PWG will check compliance. A 'reassessment' will take place as part of the detailed engineering design work. At this stage, the occupancy status of the plots affected by construction activities under 3.2 will be re-checked as there is potential for change in the situation between now and works commencing.
List the monitoring indicator(s) for each impact identified.	All people have unhindered access to their houses throughout, and there is no damage. Photographs, testimony gained through informal discussions
State the baseline condition for each monitoring indicator	None
Describe each safeguard measure that has been implemented during the reporting period	EE worked closely with the infrastructure design company, local governments and communities to ensure the planned river embankment is constructed only on public land and minimize potential disruptions to neighborhood communities including noise and dust pollution and access road change and

	blockage and so on.
Describe the residual impact for each impact identified - if any - using the monitoring indicator(s)	The impacts identified are likely to apply until the project ends.
Describe remedial action for residual impacts that will be taken	IE will ensure that EE works closely with the infrastructure design company, local governments and communities to ensure the planned river embankment is constructed only on public land and minimize potential disruptions to neighborhood communities including noise and dust pollution and access road change and blockage and so on. Besides that, a clause will be included in all contracts stating that contractors will comply to human rights markers (and other relevant safeguard standards). The UN-Habitat Human rights officers and PWG will check compliance.
9. Protection of natural habitats	
Are environmental or social risks present as per table II.K (II.L for REG) of the proposal?	Yes
During project/programme formulation, an impact assessment was carried out for the risks identified. Have impacts been identified that require management actions to prevent unacceptable impacts? (as per II.K/II.L)	Yes
List the identified impacts for which safeguard measures are required (as per II.K/II.L)	The proposed project is in a densely populated urban area. There are not thought to be any significant natural habitats or areas of important biodiversity in or near the project site. However, soil and rocks for construction are purchased through Mongolian companies. This will be explored in more detail during the project implementation and preventive measures will be developed and implemented as needed
List here the safeguard measures (i.e. avoidance, management or mitigation) identified for each impact that are supposed to be (or had to be) implemented during the reporting period. Please break down the safeguard measures by activity.	While risks are thought to be minimal against this principle, contractors will be required contractually to demonstrate that they are sourcing materials from local sources in a way that is not detrimental to the environment. Contractor procurement records will be checked. Also, a hydrological study is planned before the detailed design development to double check if there is any factor related to this principle that can be affected by the proposed intervention.
List the monitoring indicator(s) for each impact identified.	Procurement records, evidence of supplier
State the baseline condition for each monitoring indicator	None
Describe each safeguard measure that has been implemented during the reporting period	The Agreement of Cooperation with WVIM, the main Executing Entity of the project, included detailed reference to the project ESMP and GP. The National Project Manager and EE's monitoring officer have been monitoring the compliance to the AF ESP.
Describe the residual impact for each impact identified - if any - using the monitoring indicator(s)	The impacts identified are likely to apply until the project ends.

Describe remedial action for residual impacts that will be taken	IE will ensure that an Agreement of Cooperation with Executing Entities includes a detailed reference to the project ESMP and GP. The National Project Manager and EE's monitoring officer will monitor the compliance to the AF ESP using the monitoring indicators.
10.Conservation of biological diversity	
Are environmental or social risks present as per table II.K (II.L for REG) of the proposal?	Yes
During project/programme formulation, an impact assessment was carried out for the risks identified. Have impacts been identified that require management actions to prevent unacceptable impacts? (as per II.K/II.L)	Yes
List the identified impacts for which safeguard measures are required (as per II.K/II.L)	The proposed project is in a densely populated urban area. There are not thought to be any significant natural habitats or areas of important biodiversity in or near the project site. However, soil and rocks for construction are purchased through Mongolian companies. This will be explored in more detail during the project implementation and preventive measures will be developed and implemented as needed.
List here the safeguard measures (i.e. avoidance, management or mitigation) identified for each impact that are supposed to be (or had to be) implemented during the reporting period. Please break down the safeguard measures by activity.	While risks are thought to be minimal against this principle, contractors will be required contractually to demonstrate that they are sourcing materials from local sources in a way that is not detrimental to the environment. Contractor procurement records will be checked. Also, a hydrological study is planned before the detailed design development to double check if there is any factor related to this principle that can be affected by the proposed intervention.
List the monitoring indicator(s) for each impact identified.	Procurement records, evidence of supplier
State the baseline condition for each monitoring indicator	None
Describe each safeguard measure that has been implemented during the reporting period	The Agreement of Cooperation with WVIM, the main Executing Entity of the project, included detailed reference to the project ESMP and GP. The National Project Manager and EE's monitoring officer have been monitoring the compliance to the AF ESP.
Describe the residual impact for each impact identified - if any - using the monitoring indicator(s)	The impacts identified are likely to apply until the project ends.
Describe remedial action for residual impacts that will be taken	IE will ensure that an Agreement of Cooperation with Executing Entities includes a detailed reference to the project ESMP and GP. The National Project Manager and EE's monitoring officer will monitor the compliance to the AF ESP using the monitoring indicators.
11.Climate change	
Are environmental or social risks present as per table II.K (II.L for REG) of the proposal?	Yes

During project/programme formulation, an impact assessment was carried out for the risks identified. Have impacts been identified that require management actions to prevent unacceptable impacts? (as per II.K/II.L)	Yes
List the identified impacts for which safeguard measures are required (as per II.K/II.L)	There are two potential risks under this principle, unnecessary emissions arising from the construction or operation of the infrastructure, or maladaptation arising from poor design or improper functioning of it.
List here the safeguard measures (i.e. avoidance, management or mitigation) identified for each impact that are supposed to be (or had to be) implemented during the reporting period. Please break down the safeguard measures by activity.	In line with the above, contractors will be required to prefer local materials over imported materials. The detailed engineering design under 3.1 will be required to demonstrate that maladaptation does not occur by, for example, shifting flood waters to other built up areas.
List the monitoring indicator(s) for each impact identified.	Local materials used throughout. Procurement documents
State the baseline condition for each monitoring indicator	None
Describe each safeguard measure that has been implemented during the reporting period	The Agreement of Cooperation with WVIM, the main Executing Entity of the project, included detailed reference to the project ESMP and GP. The National Project Manager and EE's monitoring officer have monitored the compliance to the AF ESP.
Describe the residual impact for each impact identified - if any - using the monitoring indicator(s)	The impacts identified are likely to apply until the project ends.
Describe remedial action for residual impacts that will be taken	IE will ensure that an Agreement of Cooperation with Executing Entities includes a detailed reference to the project ESMP and GP. The National Project Manager and EE's monitoring officer will monitor the compliance to the AF ESP using the monitoring indicators.
12.Pollution prevention and resource efficiency	
Are environmental or social risks present as per table II.K (II.L for REG) of the proposal?	Yes
During project/programme formulation, an impact assessment was carried out for the risks identified. Have impacts been identified that require management actions to prevent unacceptable impacts? (as per II.K/II.L)	Yes
List the identified impacts for which safeguard measures are required (as per II.K/II.L)	With improper site management, construction waste may be disposed improperly
List here the safeguard measures (i.e. avoidance, management or mitigation) identified for each impact that are supposed to be (or had to be) implemented during the reporting period. Please break down the safeguard measures by activity.	Requiring construction contractors to dispose of waste in compliance with procedures and guidelines of the government of Mongolia
List the monitoring indicator(s) for each impact identified.	All waste effectively disposed of. Procurement documents (ensuring responsibility), photographs
State the baseline condition for each monitoring indicator	None

Describe each safeguard measure that has been implemented during the reporting period	The Agreement of Cooperation with WVIM, the main Executing Entity of the project, included detailed reference to the project ESMP and GP. The National Project Manager and EE's monitoring officer have been monitoring the compliance to the AF ESP.
Describe the residual impact for each impact identified - if any - using the monitoring indicator(s)	The impacts identified are likely to apply until the project ends.
Describe remedial action for residual impacts that will be taken	IE will ensure that an Agreement of Cooperation with Executing Entities includes a detailed reference to the project ESMP and GP. The National Project Manager and EE's monitoring officer will monitor the compliance to the AF ESP using the monitoring indicators.
13.Public health	
Are environmental or social risks present as per table II.K (II.L for REG) of the proposal?	Yes
During project/programme formulation, an impact assessment was carried out for the risks identified. Have impacts been identified that require management actions to prevent unacceptable impacts? (as per II.K/II.L)	Yes
List the identified impacts for which safeguard measures are required (as per II.K/II.L)	Risks to public health (and safety) could arise from the following: 1) Poor site management, 2) contamination of drainage water (either directly or indirectly from project activities), 3) Maladaptation (where infrastructure is ineffective or directs flood waters elsewhere)
List here the safeguard measures (i.e. avoidance, management or mitigation) identified for each impact that are supposed to be (or had to be) implemented during the reporting period. Please break down the safeguard measures by activity.	References to standards and laws to which the activity will need to comply will be included in all legal agreements with all sub-contractors, including steps and responsibilities for compliance. It will be ensured that each person associated with the project is aware of domestic and international laws and compliance needs to technical standards requirements. Beneficiary communities will conduct community monitoring on the impacts of the construction activities on the human health and safety.
List the monitoring indicator(s) for each impact identified.	Safety training provided. Protective gear available. Photos, reports Water hygiene practices observed. Training materials
State the baseline condition for each monitoring indicator	None
Describe each safeguard measure that has been implemented during the reporting period	The contracts between WVIM, the executing entity, and the construction companies included the Supplier Code of Conduct as an annex, which required that the ICSC international health and safety standards are complied by the contractor.
Describe the residual impact for each impact identified - if any - using the monitoring indicator(s)	The impacts identified are likely to apply until the project ends.
Describe remedial action for residual impacts that will be taken	IE with EE will further ensure that references to standards and laws to which the activity will need to

	<p>comply will be included in all legal agreements with all sub-contractors, including steps and responsibilities for compliance. It will be ensured that each person associated with the project is aware of domestic and international laws and compliance needs to technical standards requirements. Beneficiary communities will conduct community monitoring on the impacts of the construction activities on the human health and safety.</p>
14. Physical and cultural heritage	
Are environmental or social risks present as per table II.K (II.L for REG) of the proposal?	No
During project/programme formulation, an impact assessment was carried out for the risks identified. Have impacts been identified that require management actions to prevent unacceptable impacts? (as per II.K/II.L)	
List the identified impacts for which safeguard measures are required (as per II.K/II.L)	
List here the safeguard measures (i.e. avoidance, management or mitigation) identified for each impact that are supposed to be (or had to be) implemented during the reporting period. Please break down the safeguard measures by activity.	
List the monitoring indicator(s) for each impact identified.	
State the baseline condition for each monitoring indicator	
Describe each safeguard measure that has been implemented during the reporting period	
Describe the residual impact for each impact identified - if any - using the monitoring indicator(s)	
Describe remedial action for residual impacts that will be taken	
15. Lands and soil conservation	
Are environmental or social risks present as per table II.K (II.L for REG) of the proposal?	Yes
During project/programme formulation, an impact assessment was carried out for the risks identified. Have impacts been identified that require management actions to prevent unacceptable impacts? (as per II.K/II.L)	Yes
List the identified impacts for which safeguard measures are required (as per II.K/II.L)	This risk has been triggered as construction will be taking place in a flood prone area.
List here the safeguard measures (i.e. avoidance, management or mitigation) identified for each impact that are supposed to be (or had to be) implemented during the reporting period. Please break down the safeguard measures by activity.	While risks are thought to be minimal against this principle, contractors will be required contractually to demonstrate that they are sourcing materials from local sources in a way that is not detrimental to the environment. Contractor procurement records will be checked. Also, a hydrological study is planned before the detailed design development to double check if there is any factor related to this principle that can be

	affected by the proposed intervention.
List the monitoring indicator(s) for each impact identified.	Procurement records, evidence of supplier
State the baseline condition for each monitoring indicator	None
Describe each safeguard measure that has been implemented during the reporting period	The Agreement of Cooperation with WVIM, the main Executing Entity of the project, included detailed reference to the project ESMP and GP. The National Project Manager and EE's monitoring officer have been monitoring the compliance to the AF ESP.
Describe the residual impact for each impact identified - if any - using the monitoring indicator(s)	The impacts identified are likely to apply until the project ends.
Describe remedial action for residual impacts that will be taken	IE will ensure that an Agreement of Cooperation with Executing Entities includes a detailed reference to the project ESMP and GP. The National Project Manager and EE's monitoring officer will monitor the compliance to the AF ESP using the monitoring indicators.

Section 2: Monitoring for unanticipated impacts / corrective actions required

Has monitoring for unanticipated ESP risks been carried out?	Yes
Have unanticipated ESP risks been identified during the reporting period?	No
If unanticipated ESP risks have been identified, describe the safeguard measures that have been taken in response and how an ESMP has been prepared/updated	

Section 3: Categorisation

Is the categorisation according to ESP standards still relevant?	Yes
If No, please describe the changes made at activity, output or outcome level, approved by the Board, that resulted in this change of categorization.	

Section 4: Implementation arrangements

What arrangements have been put in place by the Implementing Entity during the reporting period to implement the required ESP safeguard measures?	IE informed national and local government stakeholders, Project Working Group, District Sub-Working Groups, EEs, and target communities about the required ESP safeguard measures and requested their cooperation for the compliance of the ESPM of the project. The IE project manager is overseeing the project ESPM implementation. The IE's Agreement of Cooperation with the main EE includes detailed reference to the project ESMP and GP. The EE Project Manager and monitoring officer are responsible for the monitoring of the project compliance to the AF ESP and reporting about it in the periodic reports to the IE. IE with the EE established a grievance redress mechanism for the
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	<p>project that uses communication tools accessible for target communities including grievance boxes in the target areas, project email, phone call and message, and Facebook page. A template for complaints about the project was developed and placed at the target khoroo offices to provide the target communities an accessible, transparent, fair, and effective means of communication if there are any concerns regarding project implementation. The EE field staff and community leaders have been trained in receiving messages, recording and reporting any grievances. The Grievance Redress system information is published on Facebook page and placed in the target khoroo offices to allow communities to voice their opinions or complaints. Email and post addresses of the AFB, IE, and EE are published on the project FB page.</p>
Have the implementation arrangements been effective during the reporting period?	Yes
What arrangements have been put in place by each Executing Entity during the reporting period to implement the required ESP safeguard measures?	<p>The WVIM is the main EE of the project. Sex disaggregated databases for meeting attendance and community profiles were developed and maintained by the WVIM field staff and monitoring officer to ensure the project beneficiaries' equal participation. Also, the target communities have been mobilized, organized and trained by the WVIM social mobilisers to conduct community monitoring over the ESP compliance in the project intervention areas.</p>
Have the implementation arrangements at the EEs been effective during the reporting period?	Yes

Section 5: Projects/programmes with unidentified sub-projects (USPs). This section needs to be completed only if the project/proramme includes USPs.

Have the arrangements for the process described in the ESMP for ESP compliance for USPs been put in place?	
Is the required capacity for ESMP implementation present and effective with the IE and the EE(s)? Please provide details.	
Have all roles and responsibilities adequately been assigned and positions filled?	
Has the overall ESMP been updated with the findings of the USPs that have been identified in this reporting period?	

Identified USPs in the reporting period	Application of ESMP to the USP	ESP risks identified for the USP	Has an impact assessment been carried out?	Consultation held for risks and impacts identification for USP	Gender disaggregation to identify risks and impacts	Safeguard measures identified for the USP	Monitoring indicator(s) for each impact
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Section 6: Grievances

Was a grievance mechanism established capable and known to stakeholders to accept grievances and complaints related to environmental and social risks and impacts?	Yes
Were grievances received during the reporting period?	No

List all grievances received during the reporting period regarding environmental and social impacts; gender related matters; or any other matter of project/programme activities	For each grievance, provide information on the grievance redress process	Provide the status/outcome
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Comments

As the construction activities have not started during the reporting period, no ESP related issues and grievances was raised by the communities and project stakeholders.

GP Compliance

Section 1: Quality at entry

Was an initial gender assessment conducted during the preparation of the project/programme's first submission as a full proposal? Yes

Does the results framework include gender-responsive indicators broken down at the different levels (objective, outcome, output)? Yes

List the gender-responsive elements that were incorporated in the project/programme results framework

Gender-responsive element	Level	Indicator	Baseline	Target	Rated result for the reporting period
Women that are less vulnerable to flooding	Objective	Women participation by percentage	0	50%	Poor
1.3 Women participating in risk and vulnerability assessments	Output	Women participation by percentage	0	50%	Good
1.4 Women participating in integration workshops	Output	Women participation by percentage	0	50%	Good
2. Women aware of predicted adverse impacts of climate change, and of appropriate responses	Outcome	Women participation by percentage	0	50%	Good
2.1 Women	Output	Women	0	50%	Good

participating in awareness campaigns and trainings		participation by percentage			
2.2 Women participating in awareness campaigns and trainings	Output	Women participation by percentage	0	50%	Good
2.3 Women participating in awareness campaigns and trainings	Output	Women participation by percentage	0	50%	Good
3. Beneficiary women	Outcome	Women composition by percentage	0	50%	Good
3.1 Women consulted for the technical studies	Output	Women composition by percentage	0	50%	Good
3.4 Toilets constructed under the project	Output	Number of toilets that are appropriate for women, elderly and persons with disability	0	400	Poor
4.2 Women participation	Output	Women participation by percentage	0	50%	Good

Section 2: Quality during implementation and at exit

List gender equality and women's empowerment issues encountered during implementation of the project/programme. For each gender equality and women's empowerment issue describe the progress that was made as well as the results.

Gender equality and women's empowerment issues	Rated result for the reporting period	Provide justification of the rating provided
No specific issue encountered during the reporting period.		

Section 3: Implementation arrangements

What arrangements have been put in place by the Implementing Entity during the reporting period to comply with the GP	1. A sex disaggregated template for a meeting/event attendance was developed for meeting participants' data collection. 2. A sex disaggregated database for workshops and consultations was developed and has been regularly updated to monitor the participation and gender disparity during the project implementation. 3. A template for communities' consent to establish a primary group was developed for sex disaggregated data collection of the community members.
Have the implementation arrangements at the IE been	Yes

effective during the reporting period?	
What arrangements have been put in place by each Executing Entity during the reporting period to comply with the GP?	For the reporting period, two executing entities have been engaged by the project. Percentage of female members in the EE teams is above 50%. All of EEs are using sex disaggregated templates for recording information on meeting attendance, meeting database and communities' internal agreement to ensure gender disparity and women engagement. Based on these tools, GP compliance has been closely monitored. For the community organizations established as part of the People's Process, gender equality in the composition of training participants was monitored. Also, gender parity and gender considerations in the planning and implementation of Components 2 & 3 were closely guided and monitored.
Have the implementation arrangements at the EE(s) been effective during the reporting period?	Yes
Have any capacity gaps affecting GP compliance been identified during the reporting period and if so, what remediation was implemented?	No

Section 4: Grievances

Was a grievance mechanism established capable and known to stakeholders to accept grievances and complaints related to gender equality and women's empowerment?	Yes
Were grievances received during the reporting period?	No

List all grievances received through the grievance mechanism during the reporting period regarding gender-related matters of project/programme activities [6]	For each grievance, provide information on the grievance redress process used	Provide the status/outcome used

Comments

It is still early report in terms of the Objective and some output level Gender indicators. Therefore, the rates of the reporting period results were put as "poor".

Rating

Implementing Entity

Project components/outcomes	Alignment with AF outcomes	Expected Progress	Progress to date	Rating
Outcome 1. Mongolia's climate change (NDC and NAP), urban and sub-national policies and plans reflect urban adaptation considerations and future financing needs	Outcome 2	50%	Ontrack	Satisfactory
Outcome 2. Mongolia has the capacity in place to plan	Outcome 2	25%	Ontrack	Satisfactory

for, design, manage and finance its future urban adaptation needs				
Outcome 3. Physical assets developed in response to climate change impacts - specifically flood-adaptation measures	Outcome 4	25%	Ontrack	Satisfactory
Outcome 4. National and local governments and communities have the knowledge necessary to manage their own adaptation planning and actions, now and in the future	Outcome 3	25%	Ontrack	Satisfactory

Please provide the Name and Contact information of the person(s) responsible for completing the Rating section

Name	Email
Enkhtsetseg Shagdarsuren	enkhtsetseg.shagdarsuren@un.org

Please justify your rating. Outline the positive and negative progress made by the project since it started. Provide specific recommendations for next steps.

So far, the project implementation is satisfactory as all planned milestones for the reporting period have been achieved. The milestones include successful project inception, EE engagement, Project Working Group and Sub Working Groups established, and functional, detailed design of the infrastructure projects initiated and ongoing, and capacity building activities started and ongoing. Partnering with scientists and technical experts has significantly expanded the project stakeholders' knowledge and capabilities. This collaboration has enabled the project team to access specialized resources and expertise, allowing for the implementation of best practices and innovative solutions in the project activities. As next steps, 2 packages to be selected for the construction work start to address winter flooding problem.

Executing Entity / Project Coordinator

Project components/outcomes	Alignment with AF outcomes	Expected Progress	Progress to date	Rating
Outcome 1. Mongolia's climate change (NDC and NAP), urban and sub-national policies and plans reflect urban adaptation considerations and future financing needs	Outcome 2	100%	Completed	Satisfactory

Please provide the Name and Contact information of the person(s) responsible for completing the Rating section

Name	Email	Institution
Gomboluudev Purevjav	p.gombo@hotmail.com	Climate Change on Nature and Society NGO

Please justify your rating. Outline the positive and negative progress made by the project since it started. Provide specific recommendations for next steps.

CCNS NGO done the following activities under the Small-scale Agreement of Cooperation signed with UN-Habitat: - Desk study to review and analyze previous research to better understand urban and infrastructure vulnerabilities - Developed a list of priority adaptation actions for addressing critical risks and vulnerabilities identified and validated through thorough research and stakeholder consultations. - Inclusion of the identified urban adaptation and resilience actions into the renewed National Adaptation Plan (NAP). These activities are expected to contribute significantly to informed policy decisions and effective adaptation strategies for enhancing urban resilience in Mongolia amidst climate change challenges.

Other

Project components/outcomes	Alignment with AF outcomes	Expected Progress	Progress to date	Rating
Outcome 1. Mongolia's climate change (NDC and NAP), urban and sub-national policies and plans reflect urban adaptation considerations and future financing needs	Outcome 2	50%	Ontrack	Satisfactory
Outcome 2. Mongolia has the capacity in place to plan for, design, manage and finance its future urban adaptation needs	Outcome 2	25%	Ontrack	Satisfactory
Outcome 3. Physical assets developed in response to climate change impacts - specifically flood-adaptation measures	Outcome 4	25%	Ontrack	Satisfactory
Outcome 4. National and local governments and communities have the knowledge necessary to manage their own adaptation planning and actions, now and in the future	Outcome 3	25%	Ontrack	Satisfactory

Please provide the Name and Contact information of the person(s) responsible for completing the Rating section

Name	Email
Nomin-Erdene Batnasan	Nomin-Erdene_Batnasan@wvi.org

Please justify your rating. Outline the positive and negative progress made by the project since it started. Provide specific recommendations for next steps.

WVIM has accomplished all tasks for the reporting period in accordance with the terms outlined in the Agreement of Cooperation between WVIM and UN-Habitat. These include the establishment of a fully functional Project Execution Unit (PEU), a Project Working Group (PWG) and 2 Sub-Working groups. Other activities are on track and being implemented without a delay. During the reporting period, the project conducted a series of consultations and workshops focused on capacity building, stakeholder engagement, and project information dissemination. These activities encompassed discussions on flood project infrastructure needs for design development, community mobilization, project implementation action plans, and training sessions covering topics such as permafrost and planting suitable trees for stability enhancement before flooding. For the climate adaptation capacity building at the community level, target communities have been mobilized and organized into community groups. So far, seven primary groups have been formed, with 73 members (48.2 percent female) in four (out of eight) khoros. 23.3% of the members come from low-income households. 7 khoroo communities developed their action plan (CAP) involving a total of 246 community members (69.5% of whom were female participants) with the aim at building their resilience against climate change impacts and improving their living environment. Under Output 3, the Terms of Reference to design flood protection facilities was developed with close cooperation with the City Planning and Development Department, local government and target communities. The design company for the flood protection facilities was selected and contracted by WVIM's internal supply chain policy. Currently the design development is ongoing with close consultation with the project team, local government and communities.

Overall Rating

Overall rating

Satisfactory

Please justify your rating. Outline the positive and negative progress made by the project since it started. Provide specific recommendations for next steps.

The project implementation is on track as all planned milestones for the reporting period have been achieved. EE should be ready by May 2025 to start the construction activity by ensuring all the required procurement, contractual and other processes for the construction works completed until then.

Project Indicators

List of indicators

Type of Indicator (indicators towards Objectives, Outcomes, etc...)	Indicator	Baseline	Progress Since Inception	Target for Project End
Objectives	Number of direct and indirect beneficiaries that are less vulnerable to flooding (AF Core Indicator)	0	Early to report	67932
Objectives	Number of people living in partner cities and human settlements that are less vulnerable to climate change impacts (UN-Habitat DOC3 Domain level indicator)	0	Early to report	67932
Objectives	Percentage of women that are less vulnerable to flooding	0	Early to report	50%
Outcomes	1.1 Number of partner countries with stronger urban content in nationally determined contributions, national adaptation plans, nationally appropriate mitigation action and other global climate action frameworks and instruments (UN-Habitat DOC3)	N/A	Early to report	+1
Outcomes	1.2 Number of projects/	N/A	Early to report	1

	programmes that conduct and update risk and vulnerability assessments (AF Indicator 1.1)			
Outputs	1.1 Number of policies reviewed	0	5 Laws including on Urban Development, Land, Water, Environmental Protection reviewed from the urban adaptation and resilience perspective	2
Outputs	1.2 Number of reviewed adaptation policy and regulations	0	The National Adaptation Plan was reviewed from the urban adaptation perspective.	2
Outputs	1.3.1 Number of risk and vulnerability assessments	0	5 khoroo communities done the rapid needs assessment for flood resilience.	6
Outputs	1.3.2 Percentage of women participating in risk and vulnerability assessments	0	68.8%	50%
Outputs	1.4.1 Number of workshops held	0	2 workshops held to identify and validate the urban adaptation priority needs	3
Outputs	1.4.2 Percentage of women participating in integration workshops	0	50%	50%
Outputs	1.5 Number of policies introduced or adjusted to address climate change risk (AF indicator 7.1) Number of effective resilience-building activities, recommendations and/or interventions incorporated into partner city plans, policies and initiatives (CCTA)	0	Early to report	2
Outcomes	2.1 Percentage of	0	Early to report	100%

	targeted population aware of predicted adverse impacts of climate change, and of appropriate responses (AF Indicator 3.1)			
Outcomes	2.2 Percentage of women aware of predicted adverse impacts of climate change, and of appropriate responses	0	Early to report	50%
Outputs	2.1.1 Number of awareness campaigns and trainings in line with AF indicator 2.1.2	0	4 trainings for Disaster Risk Reduction and Mitigation, O&M small-scale adaptation infrastructure, environmental Hygiene, Disease Prevention & Waste Management Disposal	4
Outputs	2.1.2 No. and type of risk reduction actions or strategies introduced at local level	0	Early to report	2
Outputs	2.1.3 Percentage of women participating in awareness campaigns and trainings	0	49.7%	50%
Outputs	2.2.1 Number of awareness campaigns and trainings in line with AF indicator 3.2.2	0	4 trainings for Disaster Risk Reduction and Mitigation, O&M small-scale adaptation infrastructure, environmental Hygiene, Disease Prevention & Waste Management Disposal	4
Outputs	2.2.2 No. and type of risk reduction actions or strategies introduced at community level	0	Early to report	2
Outputs	2.2.3 Percentage of	0	77.2%	50%

	women participating in awareness campaigns and trainings			
Outputs	2.3.1 Number of awareness campaigns and trainings in line with AF indicator 2.2.1	0	Early to report	4
Outputs	2.3.2 Percentage of women participating in awareness campaigns and trainings	0	Early to report	50%
Outcomes	3.1 Number of assets produced, developed, improved, or strengthened to respond to the impacts of climate change (AF Core Indicator)	0	Early to report	4 Flood facilities 400 improved resilient toilets
Outcomes	3.2 Percentage of beneficiary women	0	Early to report	50%
Outputs	3.1.1 Number of studies	0	2 studies including hydrology and permafrost study which were done at the target project sites.	4
Outputs	3.1.2 Percentage of women consulted for the technical studies	0	70%	50%
Outputs	3.2 Number of flood protection infrastructures strengthened or constructed to withstand conditions resulting from climate variability and change (AF 4.1.2)	0	Early to report	1.54km retention wall 5.578km drainage 2.182 km canals
Outputs	3.3 Number of trees and bushes planted to withstand conditions resulting from climate variability and change	0	Early to report	100%
Outputs	3.4.1 Number of populations with access to improved	0	Early to report	1000

	sanitation (UN-Habitat DOC1)			
Outputs	3.4.2 Number of toilets that are appropriate for women, elderly and persons with disability (UN-Habitat SII)	0	Early to report	400
Outcomes	4 Number of effective resilience-building activities, recommendations and/or interventions incorporated into partner city plans, policies and initiatives. (UN-Habitat CCTA) Number of key findings on effective, efficient adaptation practices, products and technologies generated (AF 8.2)	0	Early to report	2
Outputs	4.1 Number of stories, information, cases, observations posted in media including project web-pages	0	Early to report	10
Outputs	4.2.1 Number of institutions trained in line with AF indicator 3.1.1.	0	Early to report	5
Outputs	4.2.2 Percentage of women participation	0	Early to report	50%
Outputs	4.3 Number of documentations of best practices shared with the implementing partners and communities	0	Early to report	2

Comments

Lessons Learned

Implementation and Adaptive Management

Describe any changes undertaken to improve results on the ground or any changes made to project outputs (i.e. changes to project design)	Challenges & Opportunities	No change was made to the project outputs and design during the reporting period.
Have the environmental and social safeguard measures that were taken been effective in avoiding unwanted negative impacts?	Opportunities	It is early to report on this as the construction activities have not yet started.
How have gender considerations been taken into consideration during the reporting period? What have been the lessons learned as a consequence of inclusion of such considerations on project performance or impacts? List lessons learned specific to gender, detailing measures and project/programme-specific indicators highlighting the role of women as key actors in climate change adaptation.	Opportunities	1. A sex disaggregated attendance sheet for meeting/event attendance was developed and used by EE for the monitoring of the equal participation of the beneficiaries. 2. A sex disaggregated database for workshops and consultations was developed and has been regularly updated by EE to monitor the participation and gender disparity during the project implementation. 3. A template for communities' consent to establish a primary group was developed and used by EE for sex disaggregated data collection of the community members.
Were there any delays in implementation? If so, include any causes of delays. What measures have been taken to reduce delays?	Opportunities	There was no delay during the reporting period.
What implementation issues/lessons, either positive or negative, affected progress?	Opportunities	It is early to report in this regard.

Has the project already reached mid term or project completion?(yes/no).

No

Climate Resilience Measures	
What have been the lessons learned, both positive and negative, in implementing climate adaptation measures that would be relevant to the design and implementation of future projects/programmes for enhanced resilience to climate change?	
What is the potential for the climate resilience measures undertaken by the project/programme to be replicated and scaled up both within and outside the project area?	
Readiness Interventions (Applicable only to NIEs that received one or more readiness grants)	

What have been the lessons learned, both positive and negative, in accessing and implementing climate finance readiness support that would be relevant to the preparation, design and implementation of future concrete adaptation projects/programmes?	
How have the outputs (such as manuals, guidelines, procedures or the experience from providing peer support, etc) from employing readiness grants been used to inform institutional capacity needs, gender issues, and environmental and social aspects in developing and implementing concrete projects/programmes for enhanced resilience to climate change?	
Concrete Adaptation Interventions	
What have been the lessons learned, both positive and negative, in implementing concrete adaptation interventions that would be relevant to the design and implementation of future projects/programmes implementing concrete adaptation interventions?	
What is the potential for the concrete adaptation interventions undertaken by the project/programme to be replicated and scaled up both within and outside the project area?	
Knowledge Management	
How has existing information/data/knowledge been used to inform project development and implementation? What kinds of information/data/knowledge were used?	
Has the existing information/data/knowledge been made available to relevant stakeholder? If so, what channels of dissemination have been used?	
Please list any knowledge products generated and include hyperlinks whenever possible (e.g. project videos, project stories, studies and technical reports, case studies, training manuals, handbooks, strategies and plans developed, etc.)	
If learning objectives have been established, have they been met? Please describe.	
Describe any difficulties there have been in accessing or retrieving existing information (data or knowledge) that is relevant to the project. Please provide suggestions for improving access to the relevant data.	
Has the identification of learning objectives contributed to the outcomes of the project? In what ways have they contributed?	
Innovation	
Describe any innovative practices or technologies that figured prominently in this project.	
Complementarity/ Coherence with other climate finance sources	
Has the project been scaled-up from any other climate finance? Or has the project build upon any	

other climate finance initiative?	
If you answered yes, kindly specify the name of the Fund/Organization.	

Results Tracker

Goal: Assist developing-country Parties to the Kyoto Protocol and the Paris Agreement that are particularly vulnerable to the adverse effects of climate change in meeting the costs of concrete adaptation projects and programmes in order to implement climate-resilient measures.

Impact: Increased resiliency at the community, national, and regional levels to climate variability and change.

Is this the mid-term or terminal project performance report?

Impact: Increased resiliency at the community, national, and regional levels to climate variability and change

Core Indicator: No. of beneficiaries				
		Total	% of female beneficiaries	% of Youth beneficiaries
Baseline information	Direct beneficiaries supported by the project	12564	50	30
Baseline information	Indirect beneficiaries supported by the project	55368	50	30
Baseline information	Total (direct + indirect beneficiaries)	67932	50	30
Target performance at completion	Direct beneficiaries supported by the project	12564	50	30
Target performance at completion	Indirect beneficiaries supported by the project	55368	50	30
Target performance at completion	Total (direct + indirect beneficiaries)	67932	50	30
Performance at mid-term	Direct beneficiaries supported by the project			
Performance at mid-term	Indirect beneficiaries supported by the project			
Performance at mid-term	Total (direct + indirect beneficiaries)	0	0	0
Performance at completion	Direct beneficiaries supported by the project			

Performance at completion	Indirect beneficiaries supported by the project			
Performance at completion	Total (direct + indirect beneficiaries)	0	0	0

Outcome 1: Reduced exposure to climate-related hazards and threats

Indicator 1: Relevant threat and hazard information generated and disseminated to stakeholders on a timely basis

	Number of targeted stakeholders - Total	Number of targeted stakeholders - % of female targeted	Hazards information generated and disseminated	Overall effectiveness
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Output 1.1 Risk and vulnerability assessments conducted and updated

Indicator 1.1: No. of projects/programmes that conduct and update risk and vulnerability assessments

	No. of projects/programme that conduct and update risk and vulnerability assessments	Sector	Scale	Status
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Output 1.2 Targeted population groups covered by adequate risk reduction systems

Core Indicator 1.2: No. of Early Warning Systems

	No. of adopted Early Warning Systems	Category targeted	Hazard	Geographical coverage	Number of municipalities
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Outcome 2: Strengthened institutional capacity to reduce risks associated with climate-induced socioeconomic and environmental losses

Indicator 2: Capacity of staff to respond to, and mitigate impacts of, climate-related events from targeted institutions increased

	Number of staff targeted - Total	Number of staff targeted - % of female targeted	Sector	Capacity level
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Output 2.1 Strengthened capacity of national and sub-national centres and networks to respond rapidly to extreme weather events

Indicator 2.1.1: No. of staff trained to respond to, and mitigate impacts of, climate-related events

	Total staff trained	% of female staff trained	Type
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Indicator 2.1.2: No. of targeted institutions with increased capacity to minimize exposure to climate

variability risks

	Type	Scale	Sector	Capacity Level
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Output 2.2. Increased readiness and capacity of national and sub-national entities to directly access and program adaptation finance

Indicator 2.2.1: No. of targeted institutions benefitting from the direct access and enhanced direct access modality

	Number of beneficiaries	Scale	Sector	Capacity Level
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Outcome 3: Strengthened awareness and ownership of adaptation and climate risk reduction processes

Indicator 3.1: Increase in application of appropriate adaptation responses

	Percentage of targeted population applying adaptation measures	Sector
Baseline information	0	Disaster risk reduction
Target performance at completion	50	Disaster risk reduction
Performance at mid-term		
Performance at completion		

Output 3.1: Targeted population groups participating in adaptation and risk reduction awareness activities

Indicator 3.1.1: Percentage of targeted population awareness of predicted adverse impacts of climate change, and of appropriate responses

	No. of targeted beneficiaries	% of female participants targeted	Level of awareness
Baseline information	67932	50	1: Aware of neither
Target performance at completion	67932	50	4: Mostly aware
Performance at mid-term			
Performance at completion			

Output 3.2: Stenghtened capacity of national and subnational stakeholders and entities to capture and disseminate knowledge and learning

Indicator 3.2.1: No. of technical committees/associations formed to ensure transfer of knowledge

	No. of technical committees/associations	% of women represented in committes/associations	Level of awareness
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Indicator 3.2.2: No. of tools and guidelines developed (thematic, sectoral, institutional) and shared with

relevant stakeholders

	No. of tools and guidelines	Type	Scale

Outcome 4: Increased adaptive capacity within relevant development sector services and infrastructure assets

Indicator 4.1: Increased responsiveness of development sector services to evolving needs from changing and variable climate

	Project/programme sector	Geographical scale	Response level
Baseline information	Disaster risk reduction	Local	1: Non responsive (Lacks all elements)
Target performance at completion	Disaster risk reduction	Local	4: Mostly responsive (Most defined elements)
Performance at mid-term			
Performance at completion			

Core Indicator 4.2: Assets produced, developed, improved or strengthened

	Sector	Targeted asset	Changes in asset (quantitative or qualitative)
Baseline information	Disaster risk reduction	2: Physical asset (produced/improved/strengthened)	1: Not improved
Target performance at completion	Disaster risk reduction	2: Physical asset (produced/improved/strengthened)	4: Mostly Improved
Performance at mid-term			
Performance at completion			

Indicator 4.1.1: Vulnerable development sector services and infrastructure assets strengthened in response to climate change impacts, including variability

Indicator 4.1.1: No. and type of development sector services to respond to new conditions resulting from climate variability and change

	Number of services	Type	Sector
Baseline information	0		Disaster risk reduction
Target performance at completion	2		Disaster risk reduction
Performance at mid-term			
Performance at completion			

Outcome 5: Increased ecosystem resilience in response to climate change and variability-induced stress

Indicator 5: Ecosystem services and natural resource assets maintained or improved under climate change and variability-induced stress

	Natural resource improvement level	Sector	Type
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Output 5: Vulnerable ecosystem services and natural resource assets strengthened in response to climate change impacts, including variability

Core Indicator 5.1: Natural Assets protected or rehabilitated

	Natural asset or Ecosystem (type)	Total number of natural assets or ecosystems protected/rehabilitated	Unit	Effectiveness of protection/rehabilitation
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Outcome 6: Diversified and strengthened livelihoods and sources of income for vulnerable people in targeted areas

Indicator 6.1: Increase in households and communities having more secure access to livelihood assets

	No. of targeted households	% of female headed households	Improvement level
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Indicator 6.2: Increase in targeted population's sustained climate-resilient alternative livelihoods

	No. of targeted households	% of female headed households	% increase in income level vis-à-vis baseline	Alternate Source
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Output 6 Targeted individual and community livelihood strategies strengthened in relation to climate change impacts, including variability

Indicator 6.1.1: No. and type of adaptation assets created or strengthened in support of individual or community livelihood strategies

	Number of Assets	Type of Assets	Sector	Adaptation strategy
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Core Indicator 6.1.2: Increased income, or avoided decrease in income

	Number of households (total number in the project area)	Income source	Income level (USD)
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Outcome 7: Improved policies and regulations that promote and enforce resilience measures

Indicator 7: Climate change priorities are integrated into national development strategy

	Integration level
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Output 7: Improved integration of climate-resilience strategies into country development plans

Indicator 7.1: No. of policies introduced or adjusted to address climate change risks

	No. of Policies introduced or adjusted	Sector	Scale	Type
Indicator 7.2: No. of targeted development strategies with incorporated climate change priorities enforced				
	No. of Development strategies	Regulation	Effectiveness	

Outcome 8: Support the development and diffusion of innovative adaptation practices, tools and technologies

Indicator 8: Innovative adaptation practices are rolled out, scaled up, encouraged and/or accelerated at regional, national and/or subnational level

	Sector of innovative practice	Geographic Scale	Type
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Output 8: Viable innovations are rolled out, scaled up, encourages and/or accelerated

Indicator 8.1: No. of innovative adaptation practices, tools and technologies accelerated, scaled-up and/or replicated

	No. of innovative practices/ tools technologies	Sector	Status	Effectiveness
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Indicator 8.2: No. of key findings on effective, efficient adaptation practices, products and technologies generated

	No. of key findings generated	Type	Effectiveness
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