



## Request for assistance in complying with the Fund's Environmental and Social Policy and Gender Policy

Submission Date: 21 October 2019

Adaptation Fund Grant ID:  
 Country: **Uganda**  
 Implementing Entity: **Ministry of Water and Environment**

### A. Timeframe of Activity

Expected start date of activity	<b>January 2020</b>
Completion date of activity	<b>June 2020</b>

### B. Type of support requested

Describe the activities to be undertaken to support the compliance of the NIE with the Fund's Environmental and Social policy and Gender policy

Types of Support Activities	Support requested (please provide short description)	Type/name of provider for the requested support <sup>1</sup>	Requested budget (USD)	Number of days
Development of procedures/manuals/guidelines for screening projects for environmental and social risks as well as gender-related risks	• Development of procedures/guidelines for environmental, social and gender risk screening and assessments	Local consultant/firm	8500	8
	• Development of indicators to monitor (environmental, social and gender risks)			7
	• Hold a technical workshop on ESS and gender. One day Will be allocated for each			2

<sup>1</sup> Specify if it is an institution, consulting firm or individual consultant. When possible, provide the name of the institution, firm or individual identified or selected.

	<p>workshop. The target stakeholders for the ESS include: selected staff from the all the departments in the Ministry of Water and Environment (MWE), Representative of the environmental policy committee and agencies such as National Environment Management Authority of Uganda (NEMA), National Water and Sewerage cooperation (NWSC), National Forestry Authority (NFA), selected staff from the Ministry of Gender and Social affairs, Civil Society Organizations e.g. World Wide Fund for Nature (WWF), International Union of Conservation of Nature (IUCN), Private sector, selected National NGO, Policy makers/members of parliament from the natural resources committee and that of climate change committee. The gender workshop Will include: Gender focal persons from the relevant Ministries, Departments and agencies, Civil Society Organisations (both international and national institutions, youth institutions- including the Uganda youth Council representatives, NGOs, selected gender and women advocacy groups and associations</p>			
<p>Development of procedures manual/guidelines for undertaking project environmental and social risk assessment, gender assessment, and for formulating risk management plans that are gender responsive</p>	<ul style="list-style-type: none"> <li><input type="checkbox"/> Development of environmental and social risk assessment and risk management tools procedures/manuals/guidelines</li> <li><input type="checkbox"/> Development of a gender assessment tool and risk management plans that are gender responsive</li> <li><input type="checkbox"/> Hold workshop to validate the environmental and social risk assessment and risk management tools and gender assessment tool. <u>Most of the participants</u></li> </ul>	<p>Local consultant/firm</p>	<p>9000</p>	<p>8 8 2</p>

	<p><u>listed in the workshop above i.e. (on Development</u> of [redacted] procedures/manuals/guidelines) will be invited in the validation workshop.</p> <p>However, additional participants will be included to make it comprehensive. For example; persons with background on risk management from disaster departments and academics. These will include representatives from the office of the prime minister- specifically, the Department of Disaster Management, Non- government Organizations working in the field of disasters, selected institutions and companies that under undertake Risk management- include Environment Impact Assessment experts, Gender experts from the ministry of Gender and social affairs</p>			
<p>Development of a policy/avenues for public disclosure and gender-responsive consultation</p>	<ul style="list-style-type: none"> <li>• Development of procedure/guidelines for public disclosure for the National implementing and Executing Entities</li> <li>• Review the current gender policy to make it comprehensive and gender responsive as well as align it to the AF guidelines</li> <li>• One day workshop on validation of the gender policy. <u>(Targeted audiences</u> Will be gathered from the following institutions: Ministry of Water and Environment (MWE) and its sister agencies, which include National Environment Management</li> </ul>	<p>Local consultant/individual</p>	<p>4000</p>	<p>4</p> <p>5</p> <p>1</p>

	<p>Authority of Uganda (NEMA), National Water and Sewerage cooperation (NWSC), National Forestry Authority (NFA), selected international and national organisations, Private sector, representatives <u>from sub-national level/local governments and representatives of minority groups e.g. the indigenous peoples</u>; Policy makers- most especially, member of natural resources committee of parliament</p>			
<p>Development of transparent, accessible, fair and effective mechanisms for receiving and addressing complaints about environmental or social harms and complaints related to gender inequalities and other adverse gender impacts caused by projects/programmes during implementation</p>	<ul style="list-style-type: none"> <li>• Review the grievance mechanism to incorporate environmental, social and gender- related complaints during project implementation</li> <li>• One day workshop to validate the reviewed document (The targeted participants will be those persons experienced <u>in gender issues and working in the areas gender complaints and grievance mechanisms</u>. Some ministries have a complaint desk and committees in place from which the targeted audiences will be selected. e.g. Ministry of Water and Environment, Ministry of Internal affairs and ministry of Justice and constitutional affairs. Other participants will be gathered from ministry of gender and social development and that of youth affairs.</li> </ul>	<p>Local consultant/individual</p>	<p>2500</p>	<p>4</p> <p>1</p>

Training of select entity staff to carry out the relevant tasks related to the implementation of the Fund's Environmental and Social Policy and the Gender Policy	Conduct capacity building of key staff in the NIE on the AF environmental and social and gender policy	Local consultant/individual	1000	2
Other type of support requested (please describe)				
<b>Total Grant Requested (USD)</b>			<b>25000</b>	

### C. Implementing Entity

This request has been prepared in accordance with the Adaptation Fund Board's procedures

Head of Implementing Entity	Signature	Date (Month, day, year)	Implementing Entity Contact Person	Telephone	Email Address
<b>Mr. Alfred Okot Okidi</b> , Permanent Secretary, Ministry of Water and Environment (NIE)		29 August 2019	<b>Mr. James Kawesi</b> , Ministry of Water and Environment	+256 785800094	<a href="mailto:jkaweesi11@gmail.com">jkaweesi11@gmail.com</a>

### D. Record of endorsement on behalf of the government

Provide the name and position of the government official, Designated Authority (DA) of the Adaptation Fund, and indicate date of endorsement. The DA endorsement letter must be attached as an annex to the request.

(Enter Name, Position, Ministry)	Date: (Month, day, year)

Telephone : 256 41 4707 000  
: 256 41 4232 095  
Fax : 256 41 4230 163  
: 256 41 4343 023  
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Ministry of Finance, Planning &  
Economic Development  
Plot 2-12, Apollo Kaggwa Road  
P.O. Box 8147  
Kampala  
Uganda

In any correspondence on  
this subject please quote No. ALD 79/251/02

THE REPUBLIC OF UGANDA

10<sup>th</sup> October, 2019

The Adaptation Fund Board  
C/O Adaptation Fund Board Secretariat  
Email: [Secretariat@Adaptation-Fund.org](mailto:Secretariat@Adaptation-Fund.org)  
Fax: 202 522 3240/5

**ENDORSEMENT FOR A PROPOSAL FOR A TECHNICAL ASSISTANCE  
GRANT FOR THE ENVIRONMENTAL, SOCIAL POLICY AND GENDER  
POLICY (TA-ESGP) BY THE MINISTRY OF WATER AND ENVIRONMENT-  
UGANDA.**

In my capacity as designated authority for the Adaptation Fund in Uganda, I confirm that the above project is in accordance with the national environment and gender policies in fulfilment of the Adaptation Fund requirements intended to mitigate adverse impacts and risks posed by climate change projects especially to the vulnerable communities in Uganda.

Accordingly, I am pleased to endorse the above technical assistance grant request with support from the Adaptation Fund. If approved, the final product will be used during the preparation of the Adaptation Fund projects by Ministry of Water and Environment as a National Implementation Entity.

Please accept the assurances of my highest regard and esteem.

Keith Muhakanizi

**PERMANENT SECRETARY/SECRETARY TO THE TREASURY**

Copy to: The Permanent Secretary, Ministry of Water and Environment

*Mission*

*"To formulate sound economic policies, maximize revenue mobilization, ensure efficient allocation and accountability for public resources so as to achieve the most rapid and sustainable economic growth and development"*



ADAPTATION FUND

**Request for assistance in complying with the Fund's Environmental and Social Policy and Gender Policy**

Submission Date: 30 August 2019

Adaptation Fund Grant ID:

Country: **Uganda**

Implementing Entity: **Ministry of Water and Environment**

**A. Timeframe of Activity**

Expected start date of activity	<b>January 2020</b>
Completion date of activity	<b>June 2020</b>

**B. Type of support requested**

Describe the activities to be undertaken to support the compliance of the NIE with the Fund's Environmental and Social policy and Gender policy

Types of Support Activities	Support requested (please provide short description)	Type/name of provider for the requested support <sup>1</sup>	Requested budget (USD)	
Development of procedures/manuals/guidelines for screening projects for environmental and social risks as well as gender-related risks	• Development procedures/guidelines for environmental, social and gender risk screening and assessments	Local consultant/firm	8,500	8
	• Development of indicators to monitor (environmental, social and gender risks)			7
	• Hold a technical workshop on ESS and gender. The workshop Will each be held for one day to discuss ESS and Gender respectively			2
Development of procedures manual/guidelines for undertaking	• Development of environmental and social risk assessment and risk	Local consultant/firm	9,000	8


<sup>1</sup> Specify if it is an institution, consulting firm or individual consultant. When possible, provide the name of the institution, firm or individual identified or selected.

project environmental and social risk assessment, gender assessment, and for formulating risk management plans that are gender responsive	<p>management tools procedures/manuals/guidelines</p> <ul style="list-style-type: none"> <li>• Development of a gender assessment tool and risk management plans that are gender responsive</li> <li>• Hold workshop to validate the environmental and social risk assessment and risk management tools and gender assessment tool</li> </ul>			8
Development of a policy/avenues for public disclosure and gender-responsive consultation	<ul style="list-style-type: none"> <li>• Development of procedure/guidelines for public disclosure for the National implementing and Executing Entities</li> <li>• Review the current gender policy to make it comprehensive and gender responsive as well as align it to the AF guidelines</li> <li>• One day workshop on validation of the gender policy</li> </ul>	Local consultant/individual	4,000	4
Development of transparent, accessible, fair and effective mechanisms for receiving and addressing complaints about environmental or social harms and complaints related to gender inequalities and other adverse gender impacts caused by projects/programmes during implementation.	<ul style="list-style-type: none"> <li>• Review the grievance mechanism to incorporate environmental, social and gender- related complaints during project implementation</li> <li>• One day workshop to validate the reviewed document</li> </ul>	Local consultant/individual	2,500	4
Training of select entity staff to carry out the relevant tasks related to the implementation of the Fund's Environmental and Social Policy and the Gender Policy	Conduct capacity building of key staff in the NIE on the AF environmental and social and gender policy	Local consultant/individual	1,000	2
Other type of support requested (please describe)				
<b>Total Grant Requested (USD)</b>			<b>25,000</b>	



**C. Implementing Entity**

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Head of Implementing Entity	Signature	Date (Month, day, year)	Implementing Entity Contact Person	Telephone	Email Address
Mr. Alfred Okot Okidi, Permanent Secretary, Ministry of Water and Environment (NIE)		29 August 2019	Mr. James Kawesi, Ministry of Water and Environment	+256 785800094	<a href="mailto:jkaweesi11@gmail.com">jkaweesi11@gmail.com</a>

**D. Record of endorsement on behalf of the government**

Provide the name and position of the government official, Designated Authority (DA) of the Adaptation Fund, and indicate date of endorsement. The DA endorsement letter must be attached as an annex to the request.

(Enter Name, Position, Ministry) Kashim Mubareka Permanent Secretary/Secretary to the Deputy Min. of Finance, Planning & Econ. Dev. Mubareka	Date: (Month, day, year) 11-10-2019
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