



ADAPTATION FUND

# Project Performance Report

## Overview

Period of Report (Dates)	11/21/2022 - 11/21/2023
Project Title	EMBRACING THE SUN: Redefining Public Space as a Solution for the Effects of Global Climate Change in Indonesia's Urban Areas
Project Summary	
Database Number	AF00000189
Implementing Entity (IE)	Partnership for Governance Reform (Kemitraan) of Indonesia
Type of IE	National Implementing Entity
Country(ies)	Indonesia
Relevant Geographic Points (i.e. cities, villages, bodies of water)	Samarinda City, East Kalimantan Province, Indonesia
Name of Implementing Entity Focal Point	Abimanyu Sasongko Aji

## Project Milestones

AFB Approval Date	10/21/2021
IE-AFB Agreement Signature Date	3/8/2022
Start of Project/Programme	11/21/2022
Actual Mid-term Review Date (if applicable)	8/21/2023
Original Completion Date	5/21/2024
Revised Completion Date after approval of extension request (if applicable)	11/21/2024

Were there any approval condition for this Project?

Yes

**List each approval condition, if any, and report on the status of meeting them**

Category of condition	Other
Condition or Requirement	
Current Status	Condition met and cleared by the AFB Sec
Planned actions, including a detailed time schedule	

**List (only) inception report/ extension request(s)/ MTR that have been prepared for the project and provide date(s) of submission for each**

Inception report has been sent on 21 December 2022

**List the Website address (URL) of project**

<https://kemitraan.or.id/program/adaptation-fund-project-samarinda/>

<b>Project Contacts</b>			
<b>National/Regional Project Manager/Coordinator</b>	<b>Name</b>	<b>Email</b>	<b>Date</b>
Implementing Entity	Abimanyu Sasongko Aji	abimanyu.aji@kemitraan.or.id	11/7/2023
Government(s) DA	Laksmi Dewanthi	ldhewanthi@menlhk.go.id	4/9/2021
Executing Agency	R.A. Retno Hastijanti	retno.hastijanti@cecur.or.id	10/21/2021

## Financial Data

<b>Disbursement of AF grant funds</b>	
Cumulative total disbursement from Trustee to IE as of date (\$)	\$506,811.00
Estimated cumulative total disbursement from IE to EEs as of date (\$)	\$75,934.00
Project disbursement rate (%)	66.67
Project execution rate (%)	9.99
Add any comments on AF Grant Funds	
Investment Income (\$)	\$0.00
Cumulative Investment Income since inception (\$)	\$0.00

<b>Expenditure Data</b>	
<b>Output</b>	<b>Amount (\$)</b>
Output 1.1.1 Research conducted on climate-resilient public space, including best practices and lesson learned within the Asia-Pacific Region, and South-East Asia cities in particular	\$32,953.00
Output 1.1.2 Assesment tool and methodology for evaluation of climate-resilient Public Space developed	\$0.00
Output 1.1.3 Public Space guidelines, incorporating new typologies that can be used as a best practice for replication	\$3,135.00
Output 2.1.1 Community profiling developed for targeted locations	\$445.00
Output 2.1.2 Targeted communities are enggaged in design processes through a participatory approach (e.g. workshop, interactive debate, etc.), focused on climate-resilient Public Space	\$13,218.00
Output 2.2.1 Climate-resilient Public Space is co-developed and built in the selected communities (in the City of Samarinda) based on previous findings	\$1,311.00
Output 2.2.2 Community groups are established, based on the existing governance structure (if present), to ensure adequate maintainance of the Public Space	\$0.00
Output 3.1.1 Training for community groups to base strengthen community adaptation in Public Space location	\$0.00
Output 3.1.2 Training for government officials in key sector (e.g. planning department) on project findings, methodologies and approaches app	\$0.00
Output 3.2.1 Lessons learned and best practices on climate-resilient Public Space and community adaptive capacity building are captured and disseminated for regional replication	\$0.00
Output 4.1.1 Evaluation of place quality before the intervention, at completion of the intervention, and two years after the completion of the intervention	\$0.00
Output 4.2.1 High-level internal meeting with Samarinda Government Municipalities	\$3,064.00
IE fee (\$)	\$5,949.00

Execution cost (\$)	\$15,859.00
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**Planned Expenditure Schedule**

Output	Projected Cost (\$)	Estimated Completion Date
Output 1.1.1 Research conducted on climate-resilient public space, including best practices and lesson learned within the Asia-Pacific Region, and South-East Asia cities in particular	\$58,738.00	11/1/2024
Output 1.1.2 Assesment tool and methodology for evaluation of climate-resilient Public Space developed	\$13,295.00	11/1/2024
Output 1.1.3 Public Space guidelines, incorporating new typologies that can be used as a best practice for replication	\$6,361.00	11/1/2024
Output 2.1.1 Community profiling developed for targeted locations	\$8,937.00	11/1/2024
Output 2.1.2 Targeted communities are engaged in design processes through a participatory approach (e.g. workshop, interactive debate, etc.), focused on climate-resilient Public Space	\$22,527.00	11/1/2024
Output 2.2.1 Climate-resilient Public Space is co-developed and built in the selected communities (in the City of Samarinda) based on previous findings	\$406,195.00	11/1/2024
Output 2.2.2 Community groups are established, based on the existing governance structure (if present), to ensure adequate maintainance of the Public Space	\$9,496.00	11/1/2024
Output 3.1.1 Training for community groups to base strengthen community adaptation in Public Space location	\$14,115.00	11/1/2024
Output 3.1.2 Training for government officials in key sector (e.g. planning department) on project findings, methodologies and approaches app	\$13,036.00	11/1/2024
Output 3.2.1 Lessons learned and best practices on climate-resilient Public Space and community adaptive capacity building are captured and disseminated for regional replication	\$48,216.00	11/1/2024
Output 4.1.1 Evaluation of place quality before the intervention, at completion of the intervention, and two years after the completion of the intervention	\$16,367.00	11/1/2024
Output 4.2.1 High-level internal meeting with Samarinda Government Municipalities	\$16,587.00	11/1/2024

IE fee (\$)	\$58,670.00
Execution cost (\$)	\$56,362.00

**Actual co-financing (if the MTR or TE have not been undertaken this reporting period, do not report on actual co-financing)**

Does this Project have Co-Financing ?	No
How much of the total co-financing as committed in the Project Document has actually been realized? (\$)	\$0.00
Estimated cumulative actual co-financing as verified during Mid-term Review (MTR) or Terminal Evaluation (TE). (\$)	\$0.00
Add any comments on actual co-financing in particular any issues related to the realization of in-kind, grant, credits, loans, equity, non-grant instruments and other types of co-financing.	

# Risk Assessment

Identified Risks		
List all Risks identified in project preparation phase and what steps are being taken to mitigate them		
Identified Risk	Current Status	Steps taken to mitigate risk
Weak commitment built by project implementers with central/provincial/local government due to changes in government structure and lack of coordination and communication.	Moderate	This project will build in Segiri Market neighborhood that being hot-spot area related to political issues and social economy activities. The development process that happened in the election year might be one of the sensitive issues that use to politicization the designated area. To minimize the risk, PMU will ask the municipal government to form an official team under Secretary of Samarinda City (ex officio) supervision
Changes in project personnel can affect the availability of qualified staff	Low	In establishing working relationships with the PMU, the Consortium implements a recruitment system with output of work contracts during the project. With this mechanism, personnel attachment with the consortium in achieving the project goal will be the legal basis.
Delays in disbursement of funds, procurement and institutional efficiency (long approval process and others) that delay project implementation.	Moderate	Building active communication with the grantor and fulfilling all forms of financial procedures in budget disbursement.
Lack of community (direct beneficiaries) in supporting to the project	Moderate	This project will encourage the communities and introduce participatory methods to so that they can be provided with understanding on the impacts of climate change.
Communities are less aware of climate change and have lack of enthusiasm to respond to disasters. If beneficiaries are not fully aware of the impacts of climate change, it is difficult to gain their commitment in climate change adaptation through public space development.	Moderate	- PMU engaged the communities and introduced participatory methods to so that they can be provided with understanding on the impacts of climate change.&#160;- The PMU builds community-based adaptation to climate change through activities or events that can help boost awareness of climate change impacts, so then they are more enthusiastic to participate in adaptation process toward climate resilience and disaster responsive. (PMU has developed a stakeholder engagement plan, PMU has conducted workshops with multi-stakeholders to build knowledge and awareness) - PMU initiates public campaigns, with an aim to educate the community on local issues of climate change and climate adaptation strategies. - PMU has built the common understanding in community (direct beneficiaries) through FGD, CeCUR's social media, online media and City Government of Samarinda. - PMU has built communication strategy to increase awareness through podcast and public discussion.
Conflict of community interest in developed public space	Moderate	This project will build trust among stakeholders in the Segiri Market neighborhood. There will be a representation person for each group that coordinates in the decision-making process. Furthermore, this project will promote collaboration

		in every phase of development to prevent conflict of community interest
Low technical knowledge of municipal government officials and communities to maintaining the public space.	Moderate	This project will provide the municipal government and communities with sustainable scheme related to public space maintenance. The alternative sustainable scheme is expected to reduce the maintenance cost that burden the local development budget

### Critical Risks Affecting Progress (Not identified at project design)

Are there any critical risks with a 50% or > likelihood of affecting progress of project? Yes

Identify Risks with a 50% or > likelihood of affecting progress of project

Identified Risk	Current Status	Steps taken to mitigate risk
The lengthy selection of method and technology to be used in the participative design process in order to form a simple visualization for public.	Low	Suggestion to replace with: Community needs are identified manually through community-supported through series of field observation (without AI technology).
The lengthy process to develop design parameters.	Low	Data are processed manually to achieve preliminary public space design, in which design parameters are being used to validate the outcome of such preliminary design.

### Risk Measures

Were there any risk mitigation measures employed during the current reporting period? If so, were risks reduced? If not, why were these risks not reduced?

Yes, risk mitigation measures has been employed through the mitigation steps taken as above. The potential risks have been reduced.

## ESP Compliance

### Section 1: Identified ESP Risk Management

Was the ESP risks identification complete at the time of funding approval? Yes

#### 1.Compliance with the law

Are environmental or social risks present as per table II.K (II.L for REG) of the proposal?	Yes
During project/programme formulation, an impact assessment was carried out for the risks identified. Have impacts been identified that require management actions to prevent unacceptable impacts? (as per II.K/II.L)	Yes
List the identified impacts for which safeguard measures are required (as per II.K/II.L)	Insufficient alignment with laws, regulations and standards, particularly for interventions under component 2 (construction of public space).
List here the safeguard measures (i.e. avoidance,	Submit request for the Statement of Capability for

management or mitigation) identified for each impact that are supposed to be (or had to be) implemented during the reporting period. Please break down the safeguard measures by activity.	Environmental Management and Monitoring (SPPL) for the component 2
List the monitoring indicator(s) for each impact identified.	SPPL issued by the Municipal Office of Environment
State the baseline condition for each monitoring indicator	0
Describe each safeguard measure that has been implemented during the reporting period	Request for SPPL submitted
Describe the residual impact for each impact identified - if any - using the monitoring indicator(s)	Delay in the issuance of the SPPL that can delay the construction process
Describe remedial action for residual impacts that will be taken	Coordinate with the Municipal Office of Environment and the Mayor Office to accelerate the issuance of the SPPL
<b>2.Access and equity</b>	
Are environmental or social risks present as per table II.K (II.L for REG) of the proposal?	Yes
During project/programme formulation, an impact assessment was carried out for the risks identified. Have impacts been identified that require management actions to prevent unacceptable impacts? (as per II.K/II.L)	Yes
List the identified impacts for which safeguard measures are required (as per II.K/II.L)	Unequal distribution of project benefits among target communities. Unequal engagement and participation in workshops, consultations, etc. throughout the project process. This could potentially exclude less empowered community members from decision-making processes.
List here the safeguard measures (i.e. avoidance, management or mitigation) identified for each impact that are supposed to be (or had to be) implemented during the reporting period. Please break down the safeguard measures by activity.	1. Avoid the dominance of certain groups in participation and engagement during workshops with preventive strategies as follow: a). The PMU identified, selected and determined each participant to get involved in workshops/trainings, as well as consultations within the project. b). PMU provided open access for information to all participants. c). The PMU provided open access for all communities who want to give suggestions. 2. The PMU facilitated community participation in implementation and to ensure open access information for all groups; to encourage stakeholders to get involved in supporting and to ensure equality and robust participation of all groups.
List the monitoring indicator(s) for each impact identified.	1. Indicators for prevention of the dominance representation toward certain groups: a) Percentage of participants involved in workshops, trainings and consultations that represent various groups. b) The amount of diversity in participants' feedback. c) Easy mechanism in the process of suggestions or giving ideas. 2. The percentage of the level of participation and diversity in community groups during the project activities; percentage of stakeholder meetings that have been held to support equality and participation

	of all groups, the maintenance group comes from all the stakeholders that involved in project.
State the baseline condition for each monitoring indicator	0
Describe each safeguard measure that has been implemented during the reporting period	During the course of the project so far, three workshops have been held by PMU to select participants with certain criterias and to ensure equal participation of various groups. The diversity and inclusive ideas from various community groups have been represented in the results of project design. The attendance of participants has also described the involvement of community from different background. The dissemination of the project information or knowledge during the workshops is conducted through television (TVRI Samarinda), radio (RRI and Gembira Raya Jaya radio), online newspapers, and social media. The Samarinda city government, from the city level to the sub-district level, has been actively involved in every project activity to ensure equal participation for all community groups.
Describe the residual impact for each impact identified - if any - using the monitoring indicator(s)	There is likely that community awareness is still low in understanding the availability of open access information, even though it that has been provided by PMU. Similarly, there is a lack of awareness in communities and involvement in project activities, even though the project has been designed using participatory methods.
Describe remedial action for residual impacts that will be taken	Conduct community outreach related to the access information that has been provided and create opportunity for the community participation as broadly as possible.
<b>3.Marginalized and vulnerable Groups</b>	
Are environmental or social risks present as per table II.K (II.L for REG) of the proposal?	Yes
During project/programme formulation, an impact assessment was carried out for the risks identified. Have impacts been identified that require management actions to prevent unacceptable impacts? (as per II.K/II.L)	Yes
List the identified impacts for which safeguard measures are required (as per II.K/II.L)	Potential risks include that traditionally vulnerable groups such as women, youth, children, the elderly, people with disabilities are not engaged appropriately throughout design and execution phases.
List here the safeguard measures (i.e. avoidance, management or mitigation) identified for each impact that are supposed to be (or had to be) implemented during the reporting period. Please break down the safeguard measures by activity.	1. Organise workshops/trainings/consultations with a basic needs approach for vulnerable groups. 2. A separate workshop that provides a safe space for expression and opinion without the intervention of a dominant group.
List the monitoring indicator(s) for each impact identified.	1. The outcomes of the project activities (workshop and FGD) accommodate the needs of vulnerable groups. 2. Number of activities that aimed to increase the capacity of vulnerable groups.

State the baseline condition for each monitoring indicator	0
Describe each safeguard measure that has been implemented during the reporting period	The result of design process have been accommodated the needs of vulnerable groups, for instance, disability-friendly design, nursery room, playgrounds, youth park and facilities for the elderly. During the identification of vulnerable groups' needs, there were several meetings separately to provide a safe space and convenient atmosphere to express their ideas.
Describe the residual impact for each impact identified - if any - using the monitoring indicator(s)	There is a possibility for the need vulnerable groups not accommodated.
Describe remedial action for residual impacts that will be taken	Monitoring regularly for the following action: 1. The outcomes of the project activities (workshop and FGD) accommodate the needs of vulnerable groups. 2. Number of activities that aimed to increase the capacity of vulnerable groups.
<b>4.Human rights</b>	
Are environmental or social risks present as per table II.K (II.L for REG) of the proposal?	Yes
During project/programme formulation, an impact assessment was carried out for the risks identified. Have impacts been identified that require management actions to prevent unacceptable impacts? (as per II.K/II.L)	Yes
List the identified impacts for which safeguard measures are required (as per II.K/II.L)	Principle that applies to community-related processes and interventions in public space.
List here the safeguard measures (i.e. avoidance, management or mitigation) identified for each impact that are supposed to be (or had to be) implemented during the reporting period. Please break down the safeguard measures by activity.	Consultation with the local community and related stakeholders to avoid disturbance during the project implementation, especially during construction process
List the monitoring indicator(s) for each impact identified.	Monitor the involvement of local labour to avoid domination of certain ethnicity involved in the construction; explore the contractor's commitment and track record prior to contract awarding (background check)
State the baseline condition for each monitoring indicator	0
Describe each safeguard measure that has been implemented during the reporting period	Consultation and communication with local informal leaders to collect information of any occurring conflict; involve community members of different ethnicity to have insights on their interactions;
Describe the residual impact for each impact identified - if any - using the monitoring indicator(s)	contractors prefer to employ labour from certain ethnicity; certain ethnicity impose their traditional influence to be integrated in the design of the public space
Describe remedial action for residual impacts that will be taken	conduct regular monitoring to ensure equal treatment by the contractors; conduct group discussion to re-determine the purpose and the function of the public space
<b>5.Gender equality and women's empowerment</b>	



Are environmental or social risks present as per table II.K (II.L for REG) of the proposal?	Yes
During project/programme formulation, an impact assessment was carried out for the risks identified. Have impacts been identified that require management actions to prevent unacceptable impacts? (as per II.K/II.L)	Yes
List the identified impacts for which safeguard measures are required (as per II.K/II.L)	Despite progress made, inequalities between men and women are still present across the country <sup>86</sup> . Among the issues that hinder gender equality are: deficient participation of women in paid employment, gender inequality in access to education, weak institutional framework for gender mainstreaming, low participation of women in decision making and violence against women. Risks identified are related to a potential lack of participation of women as well as their capacity in communicating their ideas.
List here the safeguard measures (i.e. avoidance, management or mitigation) identified for each impact that are supposed to be (or had to be) implemented during the reporting period. Please break down the safeguard measures by activity.	1. Provide assistance for women's groups to encourage them to communicate their ideas. 2. To make them get involved in certain time outside of working hours. If project activities were conducted by PMU during working hours, they will be compensated for the time spent. 3. Organise discussions with social issues related to the welfare of women. 4. Ensure institutions' commitment to support women participation in every phases of the project activities.
List the monitoring indicator(s) for each impact identified.	1. Number of ideas input from women. 2. Number of special time activities outside of working hours and participation of working women. 3. Number of educational discussions on women's issues. 4. The existence of rules/safeguards related to guaranteeing women's involvement in activities."
State the baseline condition for each monitoring indicator	0
Describe each safeguard measure that has been implemented during the reporting period	In the design workshop, the proposal of the women's group was accommodated through a balanced percentage of women's representation between men and women. To accommodate women workers, workshops have been held outside working hours on weekends and Saturdays and also provide compensation for the time used. Related to the issue of women and climate change, discussions have also been held via social media in the form of live Instagram with PMU and women activists. In addition, participatory needs assessment has been conducted involving university student. The assessment was not explicitly for women groups only, but they are part of the target priorities.
Describe the residual impact for each impact identified - if any - using the monitoring indicator(s)	There is possibility for women attendance with unidentified occupancy or unidentified potential working women participants
Describe remedial action for residual impacts that will be taken	Monitoring regularly and ensuring the following action: To facilitate women involvement outside of

	working hours. If project activities were conducted by PMU during working hours, they will be compensated for the time spent.
<b>6.Core labour rights</b>	
Are environmental or social risks present as per table II.K (II.L for REG) of the proposal?	Yes
During project/programme formulation, an impact assessment was carried out for the risks identified. Have impacts been identified that require management actions to prevent unacceptable impacts? (as per II.K/II.L)	Yes
List the identified impacts for which safeguard measures are required (as per II.K/II.L)	- Potential lack of adherence to the ILO labor Standards and national labor laws. - Communities may not apply safety and security measures during construction works related to the implementation of activities under output 2.
List here the safeguard measures (i.e. avoidance, management or mitigation) identified for each impact that are supposed to be (or had to be) implemented during the reporting period. Please break down the safeguard measures by activity.	Adherence to the ILO labor Standards and national labor laws is to be monitored throughout the process as a standard procedure. This includes the eight International Labor Organization Convention (ILO) core labor standards related to fundamental principles and rights of workers, as well as ILO Convention No. 169, which concerns rights of indigenous and tribal peoples. Contracts will be reviewed periodically to ensure compliance with these laws.
List the monitoring indicator(s) for each impact identified.	- Compliance of labor compensation with core labor standards - Checklist for the contract with the construction company - Compliance with safety and security measures for the construction workers
State the baseline condition for each monitoring indicator	0
Describe each safeguard measure that has been implemented during the reporting period	Coordination with Municipal Office of Manpower to ensure the core labor rights are maintained during the construction
Describe the residual impact for each impact identified - if any - using the monitoring indicator(s)	No violation of core labor rights during the construction process
Describe remedial action for residual impacts that will be taken	Evaluation of the contractual arrangements to ensure core labor rights are fulfilled
<b>7.Indigenous people</b>	
Are environmental or social risks present as per table II.K (II.L for REG) of the proposal?	Yes
During project/programme formulation, an impact assessment was carried out for the risks identified. Have impacts been identified that require management actions to prevent unacceptable impacts? (as per II.K/II.L)	No
List the identified impacts for which safeguard measures are required (as per II.K/II.L)	Indonesia is a country of great diversity and complexity in its culture, ethnicity, language, people, and geography <sup>87</sup> . There are 500 ethnic groups speaking more than 600 languages across the country. The Javanese form the majority ethnic group at 45% of the population. The Sundanese, Madurese,

	Coastal Malays, and other ethnic groups make up the rest. Muslims form the majority religious group at 89% of the total population <sup>89</sup> . The complexity of the context will require that this principle is monitored throughout the planning and implementation phases.
List here the safeguard measures (i.e. avoidance, management or mitigation) identified for each impact that are supposed to be (or had to be) implemented during the reporting period. Please break down the safeguard measures by activity.	Consultation with the local community to clarify and identify any possible ethnic conflict within the community
List the monitoring indicator(s) for each impact identified.	Monitor tightly any potential conflict between different ethnicity during the project implementation
State the baseline condition for each monitoring indicator	0
Describe each safeguard measure that has been implemented during the reporting period	Involved community members from different ethnicity in and consider any constructive input for the development of the public space design
Describe the residual impact for each impact identified - if any - using the monitoring indicator(s)	Occurring ethnic conflict within the communities involved
Describe remedial action for residual impacts that will be taken	Conduct dialogue, discussion and consultation with informal leaders to solve conflict
<b>8. Involuntary resettlement</b>	
Are environmental or social risks present as per table II.K (II.L for REG) of the proposal?	Yes
During project/programme formulation, an impact assessment was carried out for the risks identified. Have impacts been identified that require management actions to prevent unacceptable impacts? (as per II.K/II.L)	Yes
List the identified impacts for which safeguard measures are required (as per II.K/II.L)	The design of public spaces could potentially identify the need to demolish existing buildings. This could potentially lead to involuntary resettlement.
List here the safeguard measures (i.e. avoidance, management or mitigation) identified for each impact that are supposed to be (or had to be) implemented during the reporting period. Please break down the safeguard measures by activity.	Interventions under component 2 will be designed to avoid resettlement.
List the monitoring indicator(s) for each impact identified.	Conduct site mapping prior to the construction; communicate with the Municipal Government on the development plan for the public space construction site
State the baseline condition for each monitoring indicator	There has been relocation prior to the development of the Segiri market
Describe each safeguard measure that has been implemented during the reporting period	Involving the Municipal Development Office, Municipal Public Works and Community Settlement Office and the Mayor Office to consult on the municipal development plan for the public space construction site, as well as ensuring that the construction site is part of the municipal assets.
Describe the residual impact for each impact identified - if any - using the monitoring indicator(s)	Illegal settlement occurred on the public space construction site after site mapping has been conducted; changes in development plan for the use

	of the selected construction site
Describe remedial action for residual impacts that will be taken	Securing the commitment of the Municipal Government of Samarinda to support and to solve problem arising during the planning and construction process of the public spaces
<b>9. Protection of natural habitats</b>	
Are environmental or social risks present as per table II.K (II.L for REG) of the proposal?	No
During project/programme formulation, an impact assessment was carried out for the risks identified. Have impacts been identified that require management actions to prevent unacceptable impacts? (as per II.K/II.L)	
List the identified impacts for which safeguard measures are required (as per II.K/II.L)	
List here the safeguard measures (i.e. avoidance, management or mitigation) identified for each impact that are supposed to be (or had to be) implemented during the reporting period. Please break down the safeguard measures by activity.	
List the monitoring indicator(s) for each impact identified.	
State the baseline condition for each monitoring indicator	
Describe each safeguard measure that has been implemented during the reporting period	
Describe the residual impact for each impact identified - if any - using the monitoring indicator(s)	
Describe remedial action for residual impacts that will be taken	
<b>10. Conservation of biological diversity</b>	
Are environmental or social risks present as per table II.K (II.L for REG) of the proposal?	No
During project/programme formulation, an impact assessment was carried out for the risks identified. Have impacts been identified that require management actions to prevent unacceptable impacts? (as per II.K/II.L)	
List the identified impacts for which safeguard measures are required (as per II.K/II.L)	
List here the safeguard measures (i.e. avoidance, management or mitigation) identified for each impact that are supposed to be (or had to be) implemented during the reporting period. Please break down the safeguard measures by activity.	
List the monitoring indicator(s) for each impact identified.	
State the baseline condition for each monitoring indicator	
Describe each safeguard measure that has been implemented during the reporting period	

Describe the residual impact for each impact identified - if any - using the monitoring indicator(s)	
Describe remedial action for residual impacts that will be taken	
<b>11. Climate change</b>	
Are environmental or social risks present as per table II.K (II.L for REG) of the proposal?	No
During project/programme formulation, an impact assessment was carried out for the risks identified. Have impacts been identified that require management actions to prevent unacceptable impacts? (as per II.K/II.L)	
List the identified impacts for which safeguard measures are required (as per II.K/II.L)	
List here the safeguard measures (i.e. avoidance, management or mitigation) identified for each impact that are supposed to be (or had to be) implemented during the reporting period. Please break down the safeguard measures by activity.	
List the monitoring indicator(s) for each impact identified.	
State the baseline condition for each monitoring indicator	
Describe each safeguard measure that has been implemented during the reporting period	
Describe the residual impact for each impact identified - if any - using the monitoring indicator(s)	
Describe remedial action for residual impacts that will be taken	
<b>12. Pollution prevention and resource efficiency</b>	
Are environmental or social risks present as per table II.K (II.L for REG) of the proposal?	Yes
During project/programme formulation, an impact assessment was carried out for the risks identified. Have impacts been identified that require management actions to prevent unacceptable impacts? (as per II.K/II.L)	Yes
List the identified impacts for which safeguard measures are required (as per II.K/II.L)	Construction could lead to inadequate resource management and production of excessive waste
List here the safeguard measures (i.e. avoidance, management or mitigation) identified for each impact that are supposed to be (or had to be) implemented during the reporting period. Please break down the safeguard measures by activity.	1. Manage liquid waste treatment with filtration method in Segiri market. 2. Conduct solid waste treatment with waste sorting process (3R-Reduce, Reuse, Recycle).
List the monitoring indicator(s) for each impact identified.	1. Filtration ponds will be built and are included in the design of public spaces; there are filtration ponds for liquid waste treatment; there is lower pollutants in wastewater flowing into the Karang Mumus river. 2. There is disposal facility and waste storage, for instance, garbage can, waste baskets and bins for separate wastes.
State the baseline condition for each monitoring	0

indicator	
Describe each safeguard measure that has been implemented during the reporting period	The liquid waste treatment and the plan for separate wastes features are included in the public space design. It has been approved by the city government of Samarinda and local residents in the workshop of consultation for public space final design.
Describe the residual impact for each impact identified - if any - using the monitoring indicator(s)	Technically, there is still a possibility of physical disruption during construction related to the existing drainage path area. Despite the presence of separate 3R bins, there is still unchanged habits and behaviour of local community to separate waste and using 3R bins; there is possibility that the separated waste are being mixed during the collection, transportation and final disposal.
Describe remedial action for residual impacts that will be taken	1. Monitor existing drainage paths and the quality of wastewater in Segiri market. 2. Conduct public campaigns to raise awareness of residents about using 3R bins and to separate wastes.
<b>13.Public health</b>	
Are environmental or social risks present as per table II.K (II.L for REG) of the proposal?	Yes
During project/programme formulation, an impact assessment was carried out for the risks identified. Have impacts been identified that require management actions to prevent unacceptable impacts? (as per II.K/II.L)	No
List the identified impacts for which safeguard measures are required (as per II.K/II.L)	Public spaces have the potential of improving citizens' health and well-being. This can be achieved by creating green spaces, spaces that can be used for recreational and sports activities, etc. Opportunities are identified that can be enhanced through the project.
List here the safeguard measures (i.e. avoidance, management or mitigation) identified for each impact that are supposed to be (or had to be) implemented during the reporting period. Please break down the safeguard measures by activity.	1. Ensure that puddle is not contained in all drainage area. 2. Ensure that no accumulation of garbage which can cause disease; ensure that UPT Segiri market (technical unit) transporting waste on schedule; ensure that local residents and traders in Segiri market who involved in waste disposal activities is on schedule. 3. Conduct public campaigns related to extreme weather adaptation and the availability of clean water.
List the monitoring indicator(s) for each impact identified.	1. No more puddle in drainage area; A flawless drainage system that is integrated with the Karang Mumus river. 2. There are schedule and rules to control the waste collected by UPT Segiri and the schedule of waste disposal activities for local residents and traders in Segiri market.
State the baseline condition for each monitoring indicator	0
Describe each safeguard measure that has been implemented during the reporting period	Measures not yet implemented since construction work has not started
Describe the residual impact for each impact identified - if any - using the monitoring indicator(s)	1. There is still a possibility of public health problems due to extreme weather and the availability of clean

	water in Samarinda, causing puddle in drainage areas. 2. Schedules and rules are not implemented properly for waste collection and/or waste disposal activities
Describe remedial action for residual impacts that will be taken	1. Ensure that puddle is not contained in all drainage area. 2. Ensure that no accumulation of garbage which can cause disease; ensure that UPT Segiri market (technical unit) transporting waste on schedule; ensure that local residents and traders in Segiri market who involved in waste disposal activities is on schedule. 3. Conduct public campaigns related to extreme weather adaptation and the availability of clean water.
<b>14. Physical and cultural heritage</b>	
Are environmental or social risks present as per table II.K (II.L for REG) of the proposal?	Yes
During project/programme formulation, an impact assessment was carried out for the risks identified. Have impacts been identified that require management actions to prevent unacceptable impacts? (as per II.K/II.L)	No
List the identified impacts for which safeguard measures are required (as per II.K/II.L)	Project activities might affect unidentified cultural sites which exist in the targeted areas and are impacted by project activities
List here the safeguard measures (i.e. avoidance, management or mitigation) identified for each impact that are supposed to be (or had to be) implemented during the reporting period. Please break down the safeguard measures by activity.	Collect information on the historical data related to the construction site
List the monitoring indicator(s) for each impact identified.	Monitor tightly the site clearing activity for the public space construction
State the baseline condition for each monitoring indicator	0
Describe each safeguard measure that has been implemented during the reporting period	Maintain communication with the Municipal Office for Culture and History
Describe the residual impact for each impact identified - if any - using the monitoring indicator(s)	Cultural and/or historical traces found during site clearing
Describe remedial action for residual impacts that will be taken	Consult with the Municipal Office for Culture and History in the case of any findings
<b>15. Lands and soil conservation</b>	
Are environmental or social risks present as per table II.K (II.L for REG) of the proposal?	Yes
During project/programme formulation, an impact assessment was carried out for the risks identified. Have impacts been identified that require management actions to prevent unacceptable impacts? (as per II.K/II.L)	Yes
List the identified impacts for which safeguard measures are required (as per II.K/II.L)	No risks are identified for activities under components 1 and 3. Component 2 will require further assessment based on the activities that are defined after the designing phase. Given that the project is within an urban context and will promote urban agriculture at a small scale it is highly unlikely

	that any risks are triggered.
List here the safeguard measures (i.e. avoidance, management or mitigation) identified for each impact that are supposed to be (or had to be) implemented during the reporting period. Please break down the safeguard measures by activity.	1. Monitor and maintain the land with the public space design that does not cover the entire ground (Less than 50% of covered space). 2. Develop environmental management guidelines based on the statement letter of obligation to manage the environment surrounding the site (SPPL).
List the monitoring indicator(s) for each impact identified.	1. The public space design that does not cover all land (Less than 50% of covered space). 2. Guideline document for environment management based on the statement letter of obligation to manage the environment surrounding the site (SPPL).
State the baseline condition for each monitoring indicator	0
Describe each safeguard measure that has been implemented during the reporting period	Measures not yet implemented since construction work has not started
Describe the residual impact for each impact identified - if any - using the monitoring indicator(s)	1. Public space exceeds the space coverage 2. Incompliance with the guideline document
Describe remedial action for residual impacts that will be taken	Monitor frequently the construction process once it is ongoing to keep the public space coverage not to exceed 50% available space and the compliance with the guideline document

## Section 2: Monitoring for unanticipated impacts / corrective actions required

Has monitoring for unanticipated ESP risks been carried out?	Yes
Have unanticipated ESP risks been identified during the reporting period?	No
If unanticipated ESP risks have been identified, describe the safeguard measures that have been taken in response and how an ESMP has been prepared/updated	

## Section 3: Categorisation

Is the categorisation according to ESP standards still relevant?	Yes
If No, please describe the changes made at activity, output or outcome level, approved by the Board, that resulted in this change of categorization.	

## Section 4: Implementation arrangements

What arrangements have been put in place by the Implementing Entity during the reporting period to implement the required ESP safeguard measures?	The Implementing Entity arranged the schedule for report submission quarterly included the ESP safeguard monitoring.
Have the implementation arrangements been effective during the reporting period?	Yes
What arrangements have been put in place by each Executing Entity during the reporting period to implement the required ESP safeguard measures?	PMU developed activity report as a monitoring tool for every project activities.
Have the implementation arrangements at the EEs been effective during the reporting period?	Yes



**Section 5: Projects/programmes with unidentified sub-projects (USPs). This section needs to be completed only if the project/proramme includes USPs.**

Have the arrangements for the process described in the ESMP for ESP compliance for USPs been put in place?	
Is the required capacity for ESMP implementation present and effective with the IE and the EE(s)? Please provide details.	
Have all roles and responsibilities adequately been assigned and positions filled?	
Has the overall ESMP been updated with the findings of the USPs that have been identified in this reporting period?	

Identified USPs in the reporting period	Application of ESMP to the USP	ESP risks identified for the USP	Has an impact assessment been carried out?	Consultation held for risks and impacts identification for USP	Gender disaggregation to identify risks and impacts	Safeguard measures identified for the USP	Monitoring indicator(s) for each impact
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**Section 6: Grievances**

Was a grievance mechanism established capable and known to stakeholders to accept grievances and complaints related to environmental and social risks and impacts?	Yes
Were grievances received during the reporting period?	No

List all grievances received during the reporting period regarding environmental and social impacts; gender related matters; or any other matter of project/programme activities	For each grievance, provide information on the grievance redress process	Provide the status/outcome
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Comments

**GP Compliance**

**Section 1: Quality at entry**

Was an initial gender assessment conducted during the preparation of the project/programme's first submission as a full proposal? Yes
Does the results framework include gender-responsive indicators broken down at the different levels (objective, outcome, output)? Yes
List the gender-responsive elements that were incorporated in the project/programme results framework

<b>Gender-responsive element</b>	<b>Level</b>	<b>Indicator</b>	<b>Baseline</b>	<b>Target</b>	<b>Rated result for the reporting period</b>
Research conducted on climate-resilient public spaces, including best practices and lessons learned within the Asia-Pacific Region, and South-East cities in particular	Output	Output 1.1.1. Completed mapping and research regarding best practices and lessons learned of public space in the Asia-Pacific Region, and South-East cities.	0	40 participant from 100 participant are women (40%)	Good
Increase the awareness and the sense of belonging toward the public scape design through the process and participatory method	Outcome	Outcome 2.1. Targeted population aware of climate projections and expected impacts	0	260 (40%) participant from 560 participant are women	Good
Community profiling developed for targeted location in the City of Samarinda	Output	Output 2.1.1. Number of community profiles that have been developed for the targeted location.	0	260 (40%) participant from 600 participant are women	Good
Targeted communities are engaged in design processes through a participatory approach (e.g., workshops, interactive debates, etc.), focused on climate- resilient public spaces	Output	Output 2.1.2. Design strategies are formulated together with the local communities.	0	20 (40%) participant from 50 participant are women	Good
Develop the community-based infrastructure to strengthened adaptive capacity	Outcome	Outcome 2.2. Improve physical infrastructure that adaptive to climate change and to consider the induced stress variable in targeted group that involved in	0	60 (40%) participant from 150 participant are women	Good

		the development process			
Climate-resilient public space is co-developed and built in the selected communities (in the city of Samarinda) based on previous findings	Output	Output 2.2.1. The public space is built with certain conditions based on climate change variables and type of asset	0	40 (40%)	Good
Community groups are established, based on existing governance structures (if present), to ensure adequate maintenance of the public spaces	Output	Output 2.2.2. 1 community groups established	0	20 (40%)	Good
Increased capacity at the city- and community-levels on climate-resilient strategies and design options for public spaces	Outcome	Outcome 3.1. Increase the capacity of targeted beneficiaries that involved in project and to minimize the climate risk variable.	0	60 (40%)	Good
Training for community groups to disseminate the findings of the project and the methodology of the intervention	Output	Output 3.1.1. People aware of the impacts of climate change, they will respond to those issues and the project as well as the methodologies have been implemented during the intervention	0	40 (40%)	Good
Training for government officials in key sectors (e.g., planning departments). The project findings, methodologies	Output	Output 3.1.2. 1 Book 1 Documented Video and people aware of the benefit of the development of public space through	0	50 (40%)	Good

and approaches will be applied for replication		international seminar 3 News media in the local press level covering the topic.			
Knowledge sharing and increased awareness on project results among targeted audience (communities, government institutionals or local departments or agencies, general public)	Outcome	Outcome 3.2. Targeted population aware of the impacts of climate change, and they will respond to those issues.	0	50 (40%)	Good
Lessons learned and best practices on climate- resilient public spaces and community adaptive capacity building are captured and disseminated for regional replication	Output	Output 3.2.1. People aware of the benefit of the development of public space through international seminar 3 news media in the local press level covering the topic	0	50 (40%)	Good
Increased understanding and awareness of the impact of the intervention	Outcome	Outcome 4.1. Evaluation of the project impacts. Participants will engage in terms of data collection	0	24 (40%)	Good
"Evaluation of the quality before the intervention, at completion of the intervention, and two years after the completion of the interventions"	Output	Output 4.1.1. Evaluation report in the project location, participants will engage in terms of data collection	0	24 (40%)	Good
High-level internal meeting with Samarinda Government Municipalities	Outcome	Outcome 4.2. Multi-dialogue stakeholder meeting will be held while post project completion.	0	40 (40%)	Good

		Participants will discuss the further steps related to climate-resilient and mainstreaming the agenda			
Policy advocacy related to the public space development benefits and further climate-resilient mainstreaming agendas	Output	Output 4.2.1. 1 Internal meeting with the government municipal	0	40 (40%)	Good

## Section 2: Quality during implementation and at exit

List gender equality and women's empowerment issues encountered during implementation of the project/programme. For each gender equality and women's empowerment issue describe the progress that was made as well as the results.

Gender equality and women's empowerment issues	Rated result for the reporting period	Provide justification of the rating provided
Women near designated area in many contexts are therefore disproportionately affected by natural disasters and extreme weather events, such as floods, droughts, and mudslides. Women near designated are under-represented in decision making at the household, community and societal level, including policy formulation, implementation, and monitoring and evaluation processes. This limits their ability to contribute their unique and valuable perspectives and gender-specific expertise. In order for this situation to improve, there is a need for gender- sensitive policies and strategies. This phenomenon reflected on basic infrastructure (water and sanitation) near the designated area that has not accommodate women and vulnerable groups' needs. Low understanding of how societal expectations, roles, status, and economic power of women and men affect, and are affected differently by climate change will consequently improve actions taken to reduce vulnerability and combat climate change in the developing world. Low understanding related to adaptation efforts should systematically and effectively address gender-specific impacts of climate change in the areas of energy, water, food security, agriculture and fisheries, biodiversity and ecosystem services, health, industry, human settlements, disaster management, and conflict and security	Good	Needs assessment has been conducted prioritising women and vulnerable groups. The process of the designing has also been carried out involving women and vulnerable groups, for them to address their needs for adoption in the design of the public space
The development process may involve workers from outside the region / location. This can have social impacts, such as violence against women and children Based on data from the Centre for Integrated Services for Women's Empowerment and Child Protection (P2TP2A), East	Poor	During this reporting period, the construction work has not been commenced,

<p>Kalimantan Province, in 2014 there were 369 cases of violence against women and in 2015 there were an increase of 613 cases. Meanwhile, based on data per city / district, in Samarinda Municipality there are 344 cases. Data from the Women Empowerment and Child Protection Agency (DP2PA) in 2019 recorded 132 cases of violence. Of the total number of cases of violence, 107 cases of violence against children and 25 cases of violence against women, with the most types of violence were sexual violence and psychological violence. Based on the gender profile book of Samarinda City, it is known that child marriage often occurs throughout 2019. The total number of child marriage cases that occurred in 2019 was 91 children (aged 15-18 years).</p>		<p>so measures to mitigate possible occurrence of violation has not been implemented yet, although the mitigation plan has been discussed.</p>
<p>The Gender Development Measurement/Index for Samarinda City in 2019 is 89.41, while the Gender Empowerment Measurement/ Index for Samarinda City in 2019 is 66.29. Based on data, Kota Samarinda is still dealing with gender disparities in the role / participation of women in politics, economy and decision making, as well as control of economic resources.</p>	<p>Poor</p>	<p>The initial gender assessment needs to be followed up in the second year.</p>
<p>The government of Indonesia has put strong efforts in promoting gender equality. The country has put in place a number of laws, regulations and programmes that provide support to girls and women. However, gender inequality is still persisting, although there are already progress to date. Legal barriers in some key areas, coupled with unequal gender norms and gender role practiced in the community continue to prevent girls and women from fulfilling their rights. Gender mainstreaming is important in development planning, especially in urban and regional planning, in accordance with the mandate of Presidential Instruction No. 9 Year 2000 which obliges government agencies at all levels to carry out gender mainstreaming, namely placing gender as one of the bases for consideration of developing work programs. More recently, the Indonesian Government committed to improving gender equality in RPJMN 2020-2024, which includes targets on access to education, employment, health, violence, and representation in politics. Decree of the Minister of Public Works regarding the Establishment of the Gender Mainstreaming Team of the Ministry of Public Works No. 165 / KPTS / M / 2013 Guidelines for Gender Responsive Planning and Budgeting The Samarinda City Government has a Regional Regulation on Gender Mainstreaming in the Region No.2 /2020</p>	<p>Poor</p>	<p>The planned gender analysis of all budget lines and financial instruments for climate change initiatives has not been conducted. This will follow in the second year.</p>

<p><b>Section 3: Implementation arrangements</b></p>	
<p>What arrangements have been put in place by the Implementing Entity during the reporting period to comply with the GP</p>	<p>The implementing entity has required the submission of quarterly progress reports to the executing entity to ensure that project implementation is monitored in compliance with the safeguard GP.</p>
<p>Have the implementation arrangements at the IE been effective during the reporting period?</p>	<p>Yes</p>
<p>What arrangements have been put in place by each Executing Entity during the reporting period to comply with the GP?</p>	<p>Executing Entity reports on monitoring and evaluation activities related to the GP. Participatory designing and planning of the public space have been conducted involving all stakeholders to make sure that the GP compliance is fulfilled</p>
<p>Have the implementation arrangements at the EE(s) been effective during the reporting period?</p>	<p>Yes</p>
<p>Have any capacity gaps affecting GP compliance been identified during the reporting period and if so,</p>	<p>Yes</p>

what remediation was implemented?	
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### Section 4: Grievances

Was a grievance mechanism established capable and known to stakeholders to accept grievances and complaints related to gender equality and women's empowerment?	Yes
Were grievances received during the reporting period?	No

List all grievances received through the grievance mechanism during the reporting period regarding gender-related matters of project/programme activities [6]	For each grievance, provide information on the grievance redress process used	Provide the status/outcome used
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Comments

## Rating

### Implementing Entity

Project components/outcomes	Alignment with AF outcomes	Expected Progress	Progress to date	Rating
Research and Development on city-wide adaptation to climate change through public spaces	Outcome 8	1 Complete document related to Collective approaches and theoretical model for a new typology of Public Space related to the traditional market and riverside area improvement as the social and economic activities epicenter in adapting climate change	Delayed	Satisfactory
Awareness raising and local resilience strengthening through the design and implementation of a new Public Space typology	Outcome 3	650 (390 male, 260 female) of targeted population aware of climate projections and expected impacts	Delayed	Unsatisfactory
Community-based infrastructure developed resulting in a strengthened adaptive capacity	Outcome 4	1 public space	Delayed	Satisfactory
Capacity building, knowledge management and communication	Outcome 2	20 staff trained	Delayed	Marginally Unsatisfactory

Please provide the Name and Contact information of the person(s) responsible for completing the Rating section

Name	Email
Abimanyu Sasongko Aji	abimanyu.aji@kemitraan.or.id

**Please justify your rating. Outline the positive and negative progress made by the project since it started. Provide specific recommendations for next steps.**

The project has been delayed from the start, which affected the whole project component. Indeed, the bottom-up approach and community engagement of the project has been well implemented and the coordination with the municipal government can be set as a good practice. The main delay occurred due to the administrative arrangements between the involved executing parties, which are to academic entities siting in two different continents. to mitigate such administrative hurdles, better scheduling of activities in which both parties are involved, need to be well arranged.

### Executing Entity / Project Coordinator

Project components/outcomes	Alignment with AF outcomes	Expected Progress	Progress to date	Rating
Research and Development on city-wide adaptation to climate change through public spaces	Outcome 8	1 Complete document related to Collective approaches and theoretical model for a new typology of Public Space related to the traditional market and riverside area improvement as the social and economic activities epicenter in adapting climate change	Delayed	Marginally Satisfactory
Awareness raising and local resilience strengthening through the design and implementation of a new Public Space typology&#160;	Outcome 3	650 (390 male, 260 female) of targeted population aware of climate projections and expected impacts	Delayed	Marginally Satisfactory
Community-based infrastructure developed resulting in a strengthened adaptive capacity	Outcome 4	1 public space	Delayed	Marginally Satisfactory
Capacity building, knowledge management and communication	Outcome 2	20 staff trained	Delayed	Marginally Unsatisfactory

**Please provide the Name and Contact information of the person(s) responsible for completing the Rating section**

Name	Email	Institution
R.A Retno Hastijanti	retno.hastijanti@cecur.or.id	Centre of Climate Urban Resilience (CeCUR)

**Please justify your rating. Outline the positive and negative progress made by the project since it started. Provide specific recommendations for next steps.**

Component 1, the activities of this component have produced instructions for replicating climate-resilient public spaces, which regulate instructions for selecting types of waste and waste management in the Segiri Market area; determining the type of renewable technology that will be used in climate-resilient public spaces and selecting local vegetation that will be planted in climate-resilient public spaces. This component activity also produces methodologies and tools used for design processes involving many parties on a large and extensive scale. Component 2, the activities in this component have produced several outcomes, such as increasing knowledge and awareness regarding the living environment through mapping activities of the village where residents live and the market environment. The community knows their needs regarding the benefits of the climate-resilient public space that will be built. In this activity, people who will be involved



as management groups have been identified to ensure the project's sustainability. The activity currently being carried out in component 2 is procuring contractors to construct climate-resilient public spaces

**Other**

Project components/outcomes	Alignment with AF outcomes	Expected Progress	Progress to date	Rating
Research and Development on city-wide adaptation to climate change through public spaces	Outcome 8	1 Complete document related to Collective approaches and theoretical model for a new typology of Public Space related to the traditional market and riverside area improvement as the social and economic activities epicenter in adapting climate change	Delayed	Unsatisfactory
Awareness raising and local resilience strengthening through the design and implementation of a new Public Space typology	Outcome 3	650 (390 male, 260 female) of targeted population aware of climate projections and expected impacts	Delayed	Unsatisfactory
Community-based infrastructure developed resulting in a strengthened adaptive capacity	Outcome 4	1 public space	Delayed	Unsatisfactory
Capacity building, knowledge management and communication	Outcome 2	20 staff trained	Delayed	Satisfactory

**Please provide the Name and Contact information of the person(s) responsible for completing the Rating section**

Name	Email
Marselino	marselino.djeer@kemitraan.or.id

**Please justify your rating. Outline the positive and negative progress made by the project since it started. Provide specific recommendations for next steps.**

There are some progresses shown at the field but considering the time frame of the project, delays in the achievement of outputs has raised concerns about the strategy of implementation that needs to change.

**Overall Rating**

**Overall rating**

Unsatisfactory

**Please justify your rating. Outline the positive and negative progress made by the project since it started. Provide specific recommendations for next steps.**

The project has been delayed from the start, which affected the whole project component. Indeed, the bottom-up approach and community engagement of the project has been well implemented and the coordination with the municipal government can be set as a good practice.

# Project Indicators

## List of indicators

Type of Indicator (indicators towards Objectives, Outcomes, etc...)	Indicator	Baseline	Progress Since Inception	Target for Project End
Outcomes	Outcome 1.1 Collective approaches (In one module) and theoretical model for a new typology of Public Space related to the traditional market and riverside area improvement as the social and economic activities epicenter in adapting climate change	0	85% and will be completed in second year	1 Complete document related to Collective approaches and theoretical model for a new typology of Public Space related to the traditional market and riverside area improvement as the social and economic activities epicenter in adapting climate change
Outputs	Output 1.1.1. Complete mapping and research regarding best practices and lessons learned of public space in the Asia-Pacific Region, and South-East cities	0	In progress 90%	1 Complete document of mapping and research finding regarding best practices and lessons learned of public space in the Asia-Pacific Region, and South-East cities
Outputs	Output 1.1.2. Complete guideline of tool and methodology for the evaluation of climate-resilient public space	0	In progress 75%	1 Complete guideline of tool and methodology for the evaluation of climate-resilient public space
Outputs	Output 1.1.3. Complete guideline of new public space typologies best practices	0	In Progress 90%	1 Complete document of new public space typologies best practices
Outcomes	Outcome 2.1. 650 (390 male, 260 female) of targeted population aware of climate projections and expected impacts	0	205 (31,5%) consist of 103 males and 102 females	50% participating from 650 (390male, 260 female)
Outputs	Output 2.1.1.	0	1 document	1 document

	Complete community profiles document. 600 participants involve (360 male, 240 female)		community profile and involve 667 (111%) consist of 338 males and 329 females	community profile and 50% participants involve
Outputs	Output 2.2.2. 1 Design strategies are formulated at with the local communities. 50 participants involve (30 male, 20 female)	0	1 design and 50% participant involved	1 design and 50% participant involve
Outcomes	Outcome 2.2. Physical infrastructure improved to with stand climate change and variability-induced stress. 150 (90 male, 60 female) of targeted group involved in the development process	0	0% will be constructed in second year	1 public space
Outputs	Output 2.2.1. 1 physical public space build constructed to withstand conditions resulting from climate variability and change (by asset types). 100 participants involve (60 male, 40 female)	0	0% will be constructed in second year	1 public space and 50% participants involve
Outputs	Output 2.2.2. Community groups are established, based on existing governance structures (if present), to ensure adequate maintenance of the public spaces	0	0%	1 formalized entity
Outcomes	Outcome 3.1. Increased capacity at the city- and community-levels on climate-resilient strategies and design options for public spaces	0	0% will be involved in second year	150 (90 male, 60 female) targeted beneficiaries involve with increased capacity to minimize exposure to climate variability risks
Outputs	Output 3.1.1.	0	0% will be trained in	1 training (at least

	Number of people aware of predicted adverse impacts of climate change, appropriate responses and project and methodologies implemented in the intervention		second year	50% women participating)
Outputs	Output 3.1.2. Number of government officials in key sectors (e.g., planning departments) trained on project findings, methodologies and approaches applied for replication	0	0% will be trained in second year	20 staff trained
Outcomes	Outcome 3.2. Knowledge sharing and increased awareness on project results among targeted audience (communities, governmental bodies, general public)	0	0% will be trained in second year	125 (75 male, 50 female) targeted population aware of predicted adverse impacts of climate change, and of appropriate responses
Outputs	Output 3.2.1. Number of products, news outlets and people aware of the benefit of the developed public space through international seminar.	0	0% will be made in second year	1 book 1 documented video and 50% participant from 125 (45 male, 30 female), 1 new outlet the local press and media covering the topic
Outcomes	Outcome 4.1. 1 Evaluation report in the project implementation 60 (36 male, 24 female) participants will engage in terms of data collection	0	0%	1 report
Outputs	Output 4.1.1. Number of evaluation report and participants engaged in terms of data collection	0	0%	1 report and 50% participant involvement. Evaluation of the project impacts. 60 (36 male, 24 female) participants will

				engage in terms of data collection
Outcomes	Outcome 4.2 High-level internal meeting with Samarinda Government Municipalities	0	0%	Multi-dialogue stakeholder meeting post project completion. Will involve 100 (60 male, 40 female) participants to discuss the further steps related to climate-resilient mainstreaming agenda
Outputs	Outcome 4.2.1. Policy advocacy related to the public space development benefits and further climate-resilient mainstreaming agendas	0	0%	1 Internal meeting with the government municipal that involve 100 (60 male, 40 female) participants

## Comments

## Lessons Learned

<b>Implementation and Adaptive Management</b>		
Describe any changes undertaken to improve results on the ground or any changes made to project outputs (i.e. changes to project design)	Challenges	Speed up the DED and construction tender process and change the procurement method to a design and build model.
Have the environmental and social safeguard measures that were taken been effective in avoiding unwanted negative impacts?	Challenges	Yes
How have gender considerations been taken into consideration during the reporting period? What have been the lessons learned as a consequence of inclusion of such considerations on project performance or impacts? List lessons learned specific to gender, detailing measures and project/programme-specific indicators highlighting the role of women as key actors in climate change adaptation.	Challenges & Opportunities	- The data report includes gender sorting and relevant gender analysis. - The project becomes more targeted, relevant, sustainable, and promotes inclusive empowerment.
Were there any delays in implementation? If so, include any causes of delays. What measures have been taken to reduce delays?	Challenges	Yes, the design concept creation process takes too long, speeding up the DED tender and construction process.

What implementation issues/lessons, either positive or negative, affected progress?	Challenges	1. Administration issue such as land status and permit 2. AI tool and technology used in the project 2. Human resource 3. Stakeholder Coordination Issue
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**Has the project already reached mid term or project completion?(yes/no).**

Yes

<b>Climate Resilience Measures</b>	
What have been the lessons learned, both positive and negative, in implementing climate adaptation measures that would be relevant to the design and implementation of future projects/programmes for enhanced resilience to climate change?	the participatory process involved during project implementation is highly appraised by the municipal government of Samarinda, since such process has never been applied in the development of public space although it is aimed for community welfare. Changing in local government policy can influenced the intervention of the project and lead to changing in the project strategy.
What is the potential for the climate resilience measures undertaken by the project/programme to be replicated and scaled up both within and outside the project area?	Samarinda is a growing city that is in the middle of improving its public facilities. This project has become a focus and the center of the municipal government's interest in terms of adopting not only the development method and process, but also its adaptive aspects, into its spatial planning.
<b>Readiness Interventions (Applicable only to NIEs that received one or more readiness grants)</b>	
What have been the lessons learned, both positive and negative, in accessing and implementing climate finance readiness support that would be relevant to the preparation, design and implementation of future concrete adaptation projects/programmes?	NIE has not yet received any readiness grant, so there is no lesson to be learned here
How have the outputs (such as manuals, guidelines, procedures or the experience from providing peer support, etc) from employing readiness grants been used to inform institutional capacity needs, gender issues, and environmental and social aspects in developing and implementing concrete projects/programmes for enhanced resilience to climate change?	NIE has not yet received any readiness grant, so there is no lesson to be learned here
<b>Concrete Adaptation Interventions</b>	
What have been the lessons learned, both positive and negative, in implementing concrete adaptation interventions that would be relevant to the design and implementation of future projects/programmes implementing concrete adaptation interventions?	Low level of community's awareness about climate change in the city is different than in rural areas. In the city, where most of the communities do not have direct connection to the nature in their daily activities, awareness on climate change at micro level are lower than those in rural areas. Changing in temperature and weather does not significantly realised by the city population, so it become a "daily routine", whereas rural communities who deal with agriculture and fishery are more sensitive to changing climate and weather condition that affect their

	livelihood. It takes more effort to conduct awareness raising in cities, so innovative approach needs to be applied. This will be very much relevant in terms of developing concrete intervention design in municipalities.
What is the potential for the concrete adaptation interventions undertaken by the project/programme to be replicated and scaled up both within and outside the project area?	This project has a significant potential for replication considering that many other municipalities in Indonesia are facing similar problems like Samarinda. Other growing or developing municipalities can adopt this project in their spatial planning and development plan.
<b>Knowledge Management</b>	
How has existing information/data/knowledge been used to inform project development and implementation? What kinds of information/data/knowledge were used?	The participatory development process of this project requires data and information related to the existing community groups and the real needs of those different community groups towards a public space. Data and information used for the development process include existing vulnerable groups, different ethnic groups, women groups and other groups that might routinely utilized public space for their activities. This knowledge is used to design the public space that can accommodate their needs that also relates to adaptive capacity of those groups.
Has the existing information/data/knowledge been made available to relevant stakeholder? If so, what channels of dissemination have been used?	Yes. It will be prepared as a knowledge product that will be disseminated in seminars, local/national events, as well as through social and news media.
Please list any knowledge products generated and include hyperlinks whenever possible (e.g. project videos, project stories, studies and technical reports, case studies, training manuals, handbooks, strategies and plans developed, etc.)	At this stage, the knowledge products are still in development.
If learning objectives have been established, have they been met? Please describe.	Learning objective has indeed been made by the NIE. The project is currently developing its knowledge product to comply with the objectives.
Describe any difficulties there have been in accessing or retrieving existing information (data or knowledge) that is relevant to the project. Please provide suggestions for improving access to the relevant data.	The challenge faced by the project to access existing information depends on the type of information to be retrieve. In this project it is in the beginning challenging to retrieve data or information from the municipal offices that relevant to the project. But through intensive engagement and involvement of relevant municipal offices, this challenge has been overcome and so far relevant information are much easier to obtain.
Has the identification of learning objectives contributed to the outcomes of the project? In what ways have they contributed?	Yes. It helps to improve the adaptive objective of the project and provide better understanding of municipal government related to adaptive public facility.
<b>Innovation</b>	
Describe any innovative practices or technologies that figured prominently in this project.	The application of renewable energy source and the waste water treatment component linked to the waste water from the adjacent traditional market
<b>Complementarity/ Coherence with other climate finance sources</b>	
Has the project been scaled-up from any other climate finance? Or has the project build upon any	No

other climate finance initiative?	
If you answered yes, kindly specify the name of the Fund/Organization.	

## Results Tracker

**Goal:** Assist developing-country Parties to the Kyoto Protocol and the Paris Agreement that are particularly vulnerable to the adverse effects of climate change in meeting the costs of concrete adaptation projects and programmes in order to implement climate-resilient measures.

**Impact:** Increased resiliency at the community, national, and regional levels to climate variability and change.

**Is this the mid-term or terminal project performance report?**

**Impact: Increased resiliency at the community, national, and regional levels to climate variability and change**

<b>Core Indicator: No. of beneficiaries</b>				
		<b>Total</b>	<b>% of female beneficiaries</b>	<b>% of Youth beneficiaries</b>
Baseline information	Direct beneficiaries supported by the project	0	0	0
Baseline information	Indirect beneficiaries supported by the project	0	0	0
Baseline information	Total (direct + indirect beneficiaries)	0	0	0
Target performance at completion	Direct beneficiaries supported by the project	1335	29.96	6.89
Target performance at completion	Indirect beneficiaries supported by the project	702	61.4	44.87
Target performance at completion	Total (direct + indirect beneficiaries)	2037	45.68	25.88
Performance at mid-term	Direct beneficiaries supported by the project	416	15.13	3.15
Performance at mid-term	Indirect beneficiaries supported by the project	734	49	13.25
Performance at mid-term	Total (direct + indirect beneficiaries)	1150	32.07	8.2
Performance at completion	Direct beneficiaries supported by the project			



Performance at completion	Indirect beneficiaries supported by the project			
Performance at completion	Total (direct + indirect beneficiaries)	0	0	0

### Outcome 1: Reduced exposure to climate-related hazards and threats

**Indicator 1: Relevant threat and hazard information generated and disseminated to stakeholders on a timely basis**

	Number of targeted stakeholders - Total	Number of targeted stakeholders - % of female targeted	Hazards information generated and disseminated	Overall effectiveness
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#### Output 1.1 Risk and vulnerability assessments conducted and updated

Indicator 1.1: No. of projects/programmes that conduct and update risk and vulnerability assessments

	No. of projects/programme that conduct and update risk and vulnerability assessments	Sector	Scale	Status
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#### Output 1.2 Targeted population groups covered by adequate risk reduction systems

Core Indicator 1.2: No. of Early Warning Systems

	No. of adopted Early Warning Systems	Category targeted	Hazard	Geographical coverage	Number of municipalities
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### Outcome 2: Strengthened institutional capacity to reduce risks associated with climate-induced socioeconomic and environmental losses

**Indicator 2: Capacity of staff to respond to, and mitigate impacts of, climate-related events from targeted institutions increased**

	Number of staff targeted - Total	Number of staff targeted - % of female targeted	Sector	Capacity level
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#### Output 2.1 Strengthened capacity of national and sub-national centres and networks to respond rapidly to extreme weather events

Indicator 2.1.1: No. of staff trained to respond to, and mitigate impacts of, climate-related events

	Total staff trained	% of female staff trained	Type
Baseline information	0	0	
Target performance at completion	20	40	

Performance at mid-term	14	21.43	Public
Performance at completion			

Indicator 2.1.2: No. of targeted institutions with increased capacity to minimize exposure to climate variability risks

	Type	Scale	Sector	Capacity Level
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### Output 2.2. Increased readiness and capacity of national and sub-national entities to directly access and program adaptation finance

Indicator 2.2.1: No. of targeted institutions benefitting from the direct access and enhanced direct access modality

	Number of beneficiaries	Scale	Sector	Capacity Level
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## Outcome 3: Strengthened awareness and ownership of adaptation and climate risk reduction processes

### Indicator 3.1: Increase in application of appropriate adaptation responses

	Percentage of targeted population applying adaptation measures	Sector
Baseline information	0	Urban development
Target performance at completion	50	Urban development
Performance at mid-term	12	Urban development
Performance at completion		

### Output 3.1: Targeted population groups participating in adaptation and risk reduction awareness activities

Indicator 3.1.1: Percentage of targeted population awareness of predicted adverse impacts of climate change, and of appropriate responses

	No. of targeted beneficiaries	% of female participants targeted	Level of awareness
Baseline information	0	0	2: Partially not aware
Target performance at completion	650	40	4: Mostly aware
Performance at mid-term	202	20.79	2: Partially not aware
Performance at completion			

### Output 3.2: Strengthened capacity of national and subnational stakeholders and entities to capture and disseminate knowledge and learning

Indicator 3.2.1: No. of technical committees/associations formed to ensure transfer of knowledge

	No. of technical	% of women represented	Level of awareness
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	committees/associations	in committes/associations	
Baseline information	0	20% to 39%	2: Partially not aware
Target performance at completion	1	40% to 60%	4: Mostly aware
Performance at mid-term	0	20% to 39%	2: Partially not aware
Performance at completion			

Indicator 3.2.2: No. of tools and guidelines developed (thematic, sectoral, institutional) and shared with relevant stakeholders

	No. of tools and guidelines	Type	Scale

#### **Outcome 4: Increased adaptive capacity within relevant development sector services and infrastructure assets**

**Indicator 4.1: Increased responsiveness of development sector services to evolving needs from changing and variable climate**

	Project/programme sector	Geographical scale	Response level
Baseline information	Urban development	Local	2: Partially responsive (Lacks most elements)
Target performance at completion	Urban development	Local	4: Mostly responsive (Most defined elements)
Performance at mid-term	Urban development	Local	2: Partially responsive (Lacks most elements)
Performance at completion			

**Core Indicator 4.2: Assets produced, developed, improved or strengthened**

	Sector	Targeted asset	Changes in asset (quantitative or qualitative)
Baseline information	Water management	2: Physical asset (produced/improved/strengthened)	2: Somewhat improved
Target performance at completion	Water management	2: Physical asset (produced/improved/strengthened)	5: Fully improved
Performance at mid-term	Water management	2: Physical asset (produced/improved/strengthened)	2: Somewhat improved
Performance at completion			

**Indicator 4.1.1: Vulnerable development sector services and infrastructure assets strengthened in response to climate change impacts, including variability**

Indicator 4.1.1: No. and type of development sector services to respond to new conditions resulting from climate variability and change

	Number of services	Type	Sector

### Outcome 5: Increased ecosystem resilience in response to climate change and variability-induced stress

**Indicator 5: Ecosystem services and natural resource assets maintained or improved under climate change and variability-induced stress**

	Natural resource improvement level	Sector	Type

**Output 5: Vulnerable ecosystem services and natural resource assets strengthened in response to climate change impacts, including variability**

Core Indicator 5.1: Natural Assets protected or rehabilitated

	Natural asset or Ecosystem (type)	Total number of natural assets or ecosystems protected/rehabilitated	Unit	Effectiveness of protection/rehabilitation

### Outcome 6: Diversified and strengthened livelihoods and sources of income for vulnerable people in targeted areas

**Indicator 6.1: Increase in households and communities having more secure access to livelihood assets**

	No. of targeted households	% of female headed households	Improvement level

**Indicator 6.2: Increase in targeted population's sustained climate-resilient alternative livelihoods**

	No. of targeted households	% of female headed households	% increase in income level vis-à-vis baseline	Alternate Source

**Output 6 Targeted individual and community livelihood strategies strengthened in relation to climate change impacts, including variability**

Indicator 6.1.1: No. and type of adaptation assets created or strengthened in support of individual or community livelihood strategies

	Number of Assets	Type of Assets	Sector	Adaptation strategy

**Core Indicator 6.1.2: Increased income, or avoided decrease in income**

	Number of households (total number in the project area)	Income source	Income level (USD)

### Outcome 7: Improved policies and regulations that promote and enforce resilience measures

**Indicator 7: Climate change priorities are integrated into national development strategy**

				Integration level
<b>Output 7: Improved integration of climate-resilience strategies into country development plans</b>				
Indicator 7.1: No. of policies introduced or adjusted to address climate change risks				
	No. of Policies introduced or adjusted	Sector	Scale	Type
<b>Indicator 7.2: No. of targeted development strategies with incorporated climate change priorities enforced</b>				
	No. of Development strategies	Regulation	Effectiveness	

**Outcome 8: Support the development and diffusion of innovative adaptation practices, tools and technologies**

**Indicator 8: Innovative adaptation practices are rolled out, scaled up, encouraged and/or accelerated at regional, national and/or subnational level**

	Sector of innovative practice	Geographic Scale	Type
Baseline information			
Target performance at completion	Water management	Local	Innovation replicated
Performance at mid-term	Water management	Local	Innovation replicated
Performance at completion			

**Output 8: Viable innovations are rolled out, scaled up, encourages and/or accelerated**

Indicator 8.1: No. of innovative adaptation practices, tools and technologies accelerated, scaled-up and/or replicated

	No. of innovative practices/ tools technologies	Sector	Status	Effectiveness
Baseline information	0	Water management	No innovative practices	1: Ineffective
Target performance at completion	1	Water management	Undertaking innovative practices	3: Moderately effective
Performance at mid-term	1	Water management	Undertaking innovative practices	1: Ineffective
Performance at completion				

**Indicator 8.2: No. of key findings on effective, efficient adaptation practices, products and technologies generated**

	No. of key findings generated	Type	Effectiveness
Baseline information	0	Innovative practice	1: Ineffective

Target performance at completion	1	Innovative practice	3: Moderately effective
Performance at mid-term	1	Innovative practice	1: Ineffective
Performance at completion			